1   **Auxiliary consumption: a necessary energy that affects thermoelectric**

2   **generation**

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11   **Abstract**

12   Waste heat recovery can apply to a wide range of applications, from transportation, or

13   industries to domestic applications. The attempts to increase the efficiency of

14   thermoelectric generators to make this technology appealing for waste heat harvesting

15   include the study of novel heat exchangers to be located at the generators. A liquid cooling

16   fluid presents high heat transfer coefficients. However, it needs a pump to make it

17   circulate through the system. Besides, to have a constant input of fresh refrigerant, the

18   dissipation systems need secondary heat exchangers to cool down the refrigerant, so as it

19   can be reused again. A computational methodology, which simulates the behavior of

20   thermoelectric generators has been used to determine the potential of waste heat

21   harvesting. The optimal thermoelectric energy obtained using heat dissipation systems

22   with liquid as the heat-carrier is 119 MWh/year, while the maximum net energy, the

23   usable energy, is 73 MWh/year due to the consumption of the auxiliary equipment. The

24   latest value does represent not only a 40 % reduction from the optimal thermoelectric

25 generation but also a different optimal working point. The complete elimination of the

26 auxiliary equipment at this application could produce up to 128 MWh/year.

27

28 **Nomenclature**

δ	Occupancy ratio	
ρ_l	Density of the water	Kg/m^3
Δp_{CP}	Hydraulic losses of the cold plate	kPa
Δp_f	Hydraulic losses of the fan-coil	kPa
Δp_{23}	Hydraulic losses of the refrigeration system between the exit of the cold plate and the entry of the fan-coil	kPa
Δp_{41}	Hydraulic losses of the refrigeration system between the exit of the fan-coil and the entry of the cold plate	kPa
η_{pump}	Efficiency of the pump	
A_{TEM}	Area of a TEM	m^2
A_{dis}	Available dissipative area	m^2
M_{TEM}	Number of TEMs	
$\dot{m}_a$	Mass flow of the air	kg/s
$\dot{m}_{gas}$	Mass flow of the flue gases	kg/s
$\dot{m}_l$	Mass flow of the refrigerant liquid	kg/s
$\dot{Q}_C$	Calorific power to dissipate	W
$\dot{Q}_{CP}$	Calorific power dissipated by the cold plate	W
$\dot{Q}_f$	Calorific power dissipated by the fan-coil	W
$\dot{Q}_{23}$	Calorific power dissipated by the refrigeration system between the exit of the cold plate and the entry of the fan-coil	W

$\dot{Q}_{41}$	Calorific power dissipated by the refrigeration system between the exit of the fan-coil and the entry of the cold plate	W
R_C^{TEM}	Thermal resistance of the heat exchanger located on the cold side per thermoelectric module	K/W
R_C	Thermal resistance of the heat exchanger located on the cold side	K/W
R_{CP}	Thermal resistance of the cold plate	K/W
T_1	Temperature at the entry of the cold plate	K
T_2	Temperature at the exit of the cold plate	K
T_3	Temperature at the entry of the fan-coil	K
T_4	Temperature at the exit of the fan-coil	K
T_{amb}	Ambient temperature	K
T_{CP}	Temperature of the cold plate	K
U	Overall heat transfer coefficient	W/m^{2}K
$\dot{W}_{aux}$	Consumption of the auxiliary equipment	W
$\dot{W}_{fan}$	Electrical consumption of the fans	W
$\dot{W}_{pump}$	Electrical consumption of the pump	W
$\dot{W}_{TEM}$	Thermoelectric generation	W
$\dot{W}_{net}$	Net generation	W

29

30 **1. Introduction**

31 Despite the gratuity of waste heat, the low efficiency that thermoelectric generation

32 presents is a deterrent for the applicability and profitability of big scale systems. Thus,

33 great efforts to improve the efficiency of thermoelectric generators (TEGs) are being

34 made. The two most important actions are the improvement of the thermoelectric

3

35   materials and the thermal optimization of the TEG as a whole. To fulfill the latter aspect

36   new different types of heat exchangers are nowadays studied aiming to reduce the thermal

37   resistances on both sides of the thermoelectric modules (TEMs), as they would procure

38   higher thermoelectric generation [1,2]. One of the approaches is to include liquid

39   refrigerants into the heat dissipation systems to improve the thermal resistances [3–5].

40   Due to their heat transfer coefficients their thermal resistances are good, however extra

41   power consumption is needed since a pump is required to make the refrigerant circulate

42   along the circuit. Thus, to optimize the net generation (the thermoelectric generation

43   minus the consumption of the auxiliary equipment), the increase on thermoelectric

44   generation and the increment of the auxiliary consumption have to be born in mind [6,7].

45   The effect of longitudinal vortex generators and the introduction of inserts or metal foams

46   enhance heat transfer, but they provoke higher pressure drops, and hence the consumption

47   of the pumps greatly increases [6,8–10]. The increase in thermoelectric generation could

48   not be as noticeable as the growth in the auxiliary consumption, obtaining lower net

49   generations [6,11,12], a totally non-desirable scenario.

50   Moreover, most of the studies of the literature do not take into account the necessity of

51   reducing the temperature of the refrigerant. Due to the heat absorbed by the fluids when

52   they circulate through the heat exchangers, their temperature increases. Therefore, the

53   temperature of the refrigerants needs be lowered for continuous operation. The dissipator

54   in charge of decreasing the temperature of the fluid increases the thermal resistance of the

55   refrigeration system, worsening the thermoelectric generation. Besides, this exchanger

56   could include auxiliary equipment, hence extra consumption needs to be redrawn from

57   the thermoelectric generation [13,14].

58   To complete eliminate the auxiliary equipment, novel heat exchangers are used where the

59   fluid circulates thanks to phase change and sometimes gravity. These devices improve

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60 even more the heat transfer due to the use of the latent heat of the internal fluid [15]. The

61 fluid evaporates due to the heat absorbed at the evaporator. It flows up to the condenser,

62 where it releases heat to the ambient and condensates. Finally, the liquid returns to the

63 evaporator closing the loop. Thermosyphons could eliminate the necessity of including

64 any auxiliary equipment if they present free convection with the ambient, however as they

65 lack of wick, they need to work vertically so gravity brings back the condensed fluid to

66 the evaporator [16]. Many novel applications are including these devices into TEGs,

67 variable conductance heat pipe/thermosiphon allow the TEG to work at constant hot face

68 temperature during a driving cycle [17], waste heat recovery using heat pipes and TEG to

69 produce electricity [18] or power generation from solar ponds using combined

70 thermosiphon and TEGs [19].

71 The optimization of the thermal design of TEGs is essential to improve their efficiency.

72 Not only the heat exchangers and their mass flows have to be optimized, but also the

73 number of TEMs used. The occupancy ratio (equation (1)), determines the thermoelectric

74 generation. A higher number of TEMs seems to produce higher thermoelectric

75 generation, but as the thermal resistance per TEM of each heat exchanger worsens, it is

76 not always true that a bigger δ produces higher thermoelectric generation [20–22].

$$\delta = \frac{M_{TEM} A_{TEM}}{A_{dis}} \tag{1}$$

77 This paper studies two dissipators: a heat dissipation system with water as the heat-carrier

78 and a thermosyphon that refrigerate a TEG located at the exhaust of a real furnace. To

79 that purpose, a general methodology makes two validated computational models [13,23]

80 interact to optimize the net thermoelectric generation modifying the occupancy ratio, the

81 mass flow of the refrigerants and the consumption of the auxiliary equipment. The

82 influence on the net generation of including the secondary heat exchanger in charge of

83 reducing the temperature of the water and the auxiliary consumption is quantified,

5

84 highlighting the fact that those influences are not negligible and should be taken into

85 account for the design of TEG systems.

## 2. Thermoelectric generation optimization methodology

87 TEG systems produce electric energy out of temperature gradients. TEGs can be applied

88 to any application where a difference of temperature exists, however, applications, where

89 waste heat exists, are very promising, as waste heat is costless and it appears at any

90 application. To optimize the net thermoelectric generation (equation (2)) two validated

91 computational models interact and seek the optimal working point. One computational

92 model simulates the thermal resistance of the dissipation system with liquid as the heat-

93 carrier (Refrigeration System computational model (RS model)) and obtains the

94 consumption of the auxiliary equipment (equation (3)) [13]. The second one simulates

95 the thermoelectric generation of any application (Thermoelectric Generator

96 computational model (TEG model)), but especially those which present waste heat

97 conducted through a pipe to the ambient [23]. The whole methodology includes the

98 occupancy ratio, the mass flow of the refrigerants, the calorific power to dissipate and the

99 temperature variation of the heat source.

$$\dot{W}_{net} = \dot{W}_{TEM} - \dot{W}_{aux} \tag{2}$$

$$\dot{W}_{aux} = \dot{W}_{pump} + \dot{W}_{fan} \tag{3}$$

100 Figure 1 presents the methodology to compute the net thermoelectric generation of any

101 application, especially those applications where electric energy is obtained from waste

102 heat emitted as flue gases to the atmosphere. The presented interaction includes heat

103 exchangers with liquid as the heat-carrier on the cold side of the generators, but the same

104 methodology could be used to obtain the generation of any system involving any other

105 kind of heat dissipators.

106   The RS model obtains the thermal resistance of the heat exchanger as a function of the

107   calorific power to dissipate, an output of the thermoelectric generation model. To solve

108   this aspect, firstly the calorific power is estimated to obtain the thermal resistance, a

109   necessary input for the TEG model. The latter computational model solves the

110   thermoelectric phenomena and obtains the calorific power dissipated by the cold side heat

111   exchanger, the new value that closes the iteration loop. Once the solution is reached, the

112   thermoelectric generation is obtained and given the consumption of the auxiliary

113   equipment; the net generation is computed, the parameter to optimize.
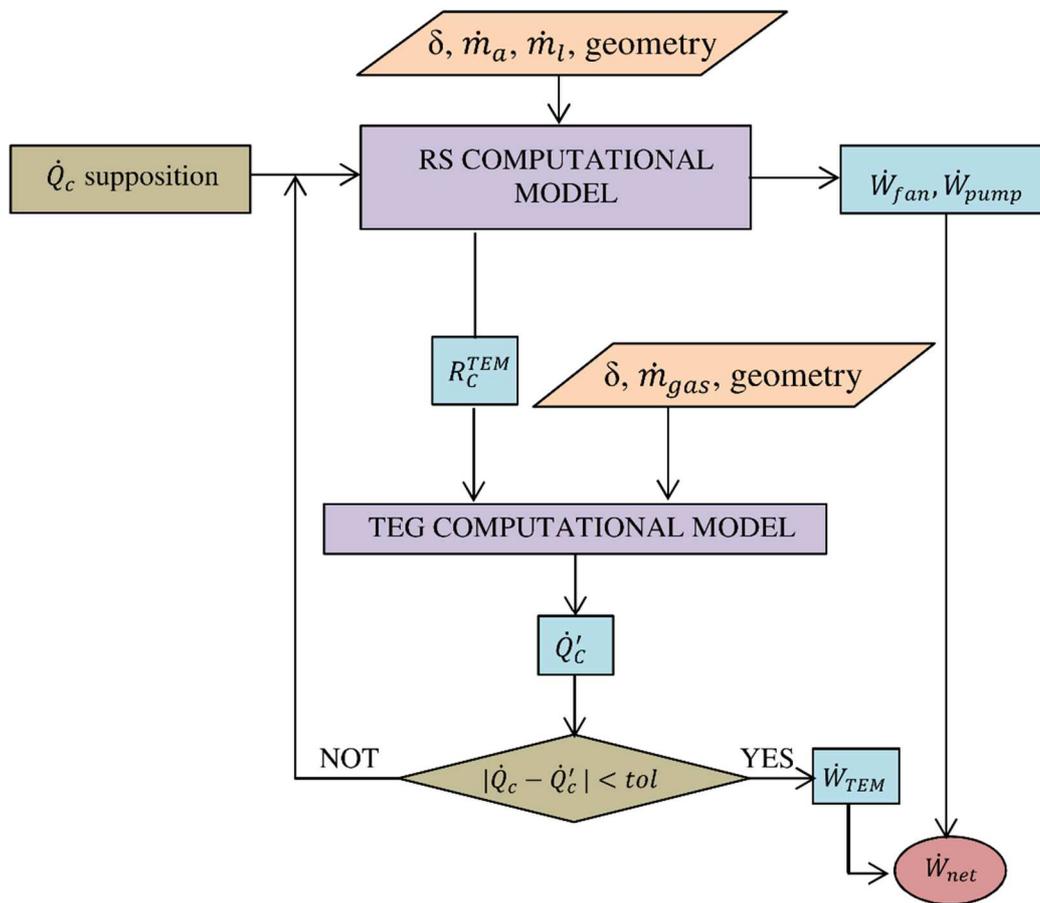
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115   Figure 1. Interaction between the refrigeration system and the thermoelectric

116   generation computational model

117   **a. Thermoelectric Generator computational model**

118 This model is specially developed for applications where TEGs harvest heat from flue

119 gases which flow along a pipe. This model includes the temperature drop of the flue gases

120 while they exchange heat with the TEG system, a significant variable variation as the

121 thermoelectric generation of a built prototype was reduced by a 65 % due to the

122 temperature drop of the gases between two different levels. The error of this

123 computational model is the ±12 % [23].

124 The TEG computational model uses the finite differences method to solve the

125 thermoelectric phenomena. Moreover, this model includes each component of the system:

126 the contacts, the ceramics of the TEMs, each thermoelectric effect, novel parameters such

127 as the mass flow of the refrigerants, the occupancy ratio and the temperature decrease of

128 the flue gases, as well as it takes into account the temperature dependence of the

129 thermoelectric properties, and it solves the transient state.

130 The TEG computational model needs the thermal resistances of the different elements of

131 the generator to calculate the thermoelectric generation. Including heat exchangers with

132 fluid as the heat-carrier into a TEG improves the thermoelectric generation, but the net

133 generation has to be computed, as it is not that trivial to assure that in any case, the

134 incorporation of this kind of heat dissipators is right for this generation. Hence a

135 refrigeration system computational model is developed and included in the calculation

136 methodology.

137 **b. Refrigeration system computational model**

138 The use of a heat exchanger with liquid as a heat-carrier to dissipate the heat emitted by

139 a TEG involves the inclusion of extra elements, such as a pump to drive the fluid and a

140 secondary heat exchanger to cool down the refrigerant to be reused again. Figure 2

141 presents a conventional heat dissipation system located on the cold side of a TEM. The

142 heat to be dissipated ($\dot{Q}_C$) is absorbed by the refrigerant fluid which is driven through the

143   whole circuit by the pump. The secondary heat exchanger cools down the temperature of

144   the refrigerant. This heat dissipator normally transfers heat to the surrounding air and it

145   is commonly provided with a fan to improve the convection with the ambient.
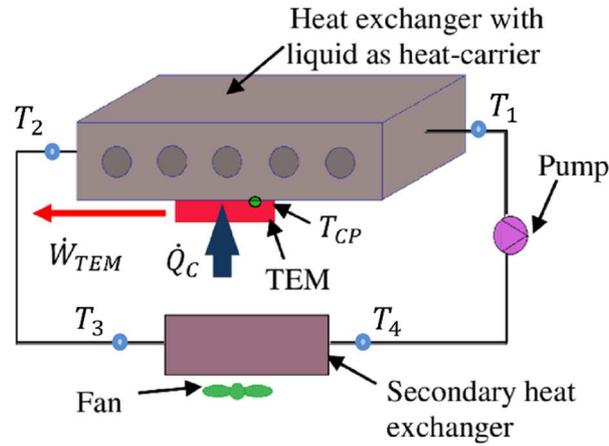
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147   Figure 2. Heat dissipation system including a heat exchanger with liquid as the heat-

148   carrier [14]

149   A computational methodology, which includes influential parameters such as the

150   occupancy ratio (δ), the mass flow of the refrigerants ($\dot{m}_a$,$\dot{m}_l$) and the calorific power to

151   dissipate ($\dot{Q}_C$) was developed to characterize the thermal resistance per TEM of the whole

152   refrigeration system [13]. This model presents an accuracy of the 93 % and its schematic

153   can be consulted in Figure 3.

154   A range of mass flows are simulated in order to obtain the working point of the

155   refrigeration system, The upper limit of the mass flow is denoted as M and can be selected

156   by the user. Within each mass flow, the computational model calculates the heat transfer

157   coefficients (U) of the different elements of the system through an iteration loop using

158   the entry and exit temperatures of the cold plate and fan-coil (T_1, T_2, T_3 and T_4) and the

159   pressure drops are obtained to calculate the working point of the system, obtaining the

160   thermal resistance of the system and the consumption of the auxiliary equipment.

161   The thermal resistance per TEM of the whole refrigeration system is defined by equation

162   (4). The temperature difference between the cold plate and the ambient is divided by the

163   calorific power dissipated and the number of TEMs. This thermal resistance includes the

164   thermal resistance of the heat exchanger located on the cold side of the TEMs, known as

165   a cold plate, the resistance of the secondary heat exchanger and that of the pipes and the

166   rest of the devices involved in the refrigeration system. The auxiliary consumption of the

167   fan of the secondary heat exchanger as well as the consumption of the pump are included

168   into the total auxiliary consumption (equation (2)), the electrical power to be redrawn

169   from the thermoelectric generation to obtain the usable electrical generation ($\dot{W}_{net}$)

170   expressed in equation (1).

$$R_C^{TEM} = \frac{T_{CP} - T_{amb}}{\dot{Q}_C / M_{TEM}} \qquad (4)$$

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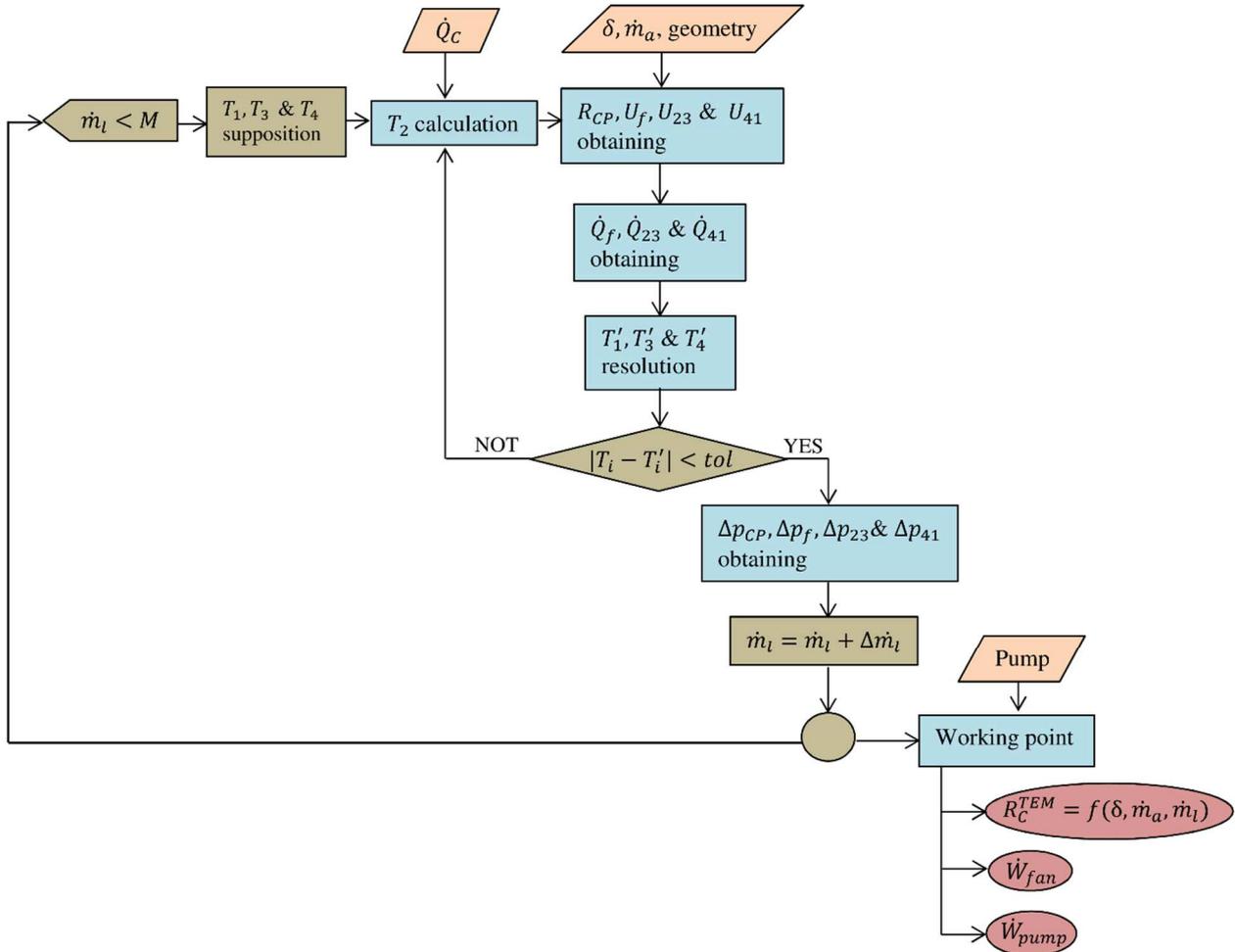
172   Figure 3. Schematic of the computational model that obtains the thermal resistance of

173   the heat dissipation system [13]

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175   **3. Optimization study**

176   To estimate the potential of the optimization methodology a real Spanish industry has

177   been selected. The consumption of thermal energy of this industry is massive, as it

178   includes ceramic cooking processes. Its waste heat, as high-temperature smoke emitted

179   to the ambient through the chimney, can be easily harvested through TEGs. The chimney

180   has a height of 12 m and a diameter of 0.8 m, a total of 33.6 m^2 to locate the thermoelectric

181   generator, while the flue gases have a mass flow of $\dot{m}_{gas}$ =5.49 kg/s and a temperature

182   of 187 ^{o}C. The ambient temperature is selected as T_{amb}=17 ^{o}C, the mean temperature of

183   the area where the industry is.

184   Every element has to be defined through its thermal resistance and heat capacity as Figure

185   2 depicts. The thermoelectric modules simulated are TG12-8-01-L from Marlow

186   Industries, which support up to 250 ^{o}C on one of their sides due to special welding [24].

187   Their properties define their particularities. The hot side heat exchanger is selected to be

188   a finned dissipator with a fin spacing of 10 mm, a fin high of 50 mm and a fin thickness

189   of 1.5 mm. The base thickness is 4mm, and the finned dissipator is located in the interior

190   of the chimney. To get the thermal resistance of the heat dissipator a CFD software was

191   used, ANSYS Fluent. The influence of the occupancy ratio, as well as the velocity of the

192   flue gases, have been evaluated, as Figure 4 depicts. The tendencies are similar, a higher

193   number of TEMs (higher occupancy ratios) present higher thermal resistances, due to the

194   reduction of the effective dissipative area per TEM, and higher velocities (higher mass

195   flow rates) procure smaller thermal resistances since the heat transfer coefficients

196   improve.
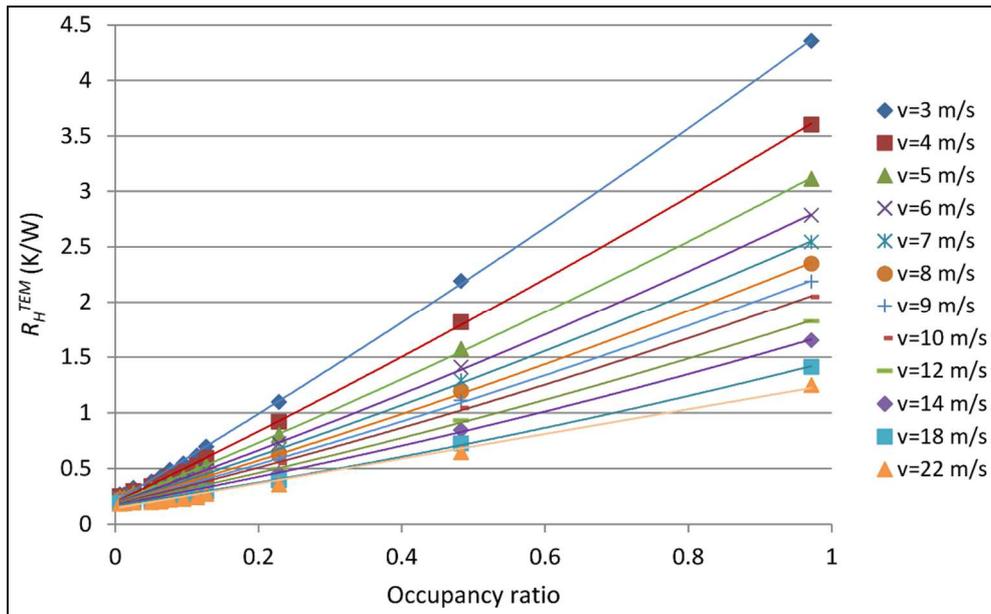
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198   Figure 4. Thermal resistance per thermoelectric module of the finned dissipator located

199   on the hot side of the TEG as a function of the occupancy ratio and the velocity of the

200   flue gases

201   **a.**   **Cold side heat exchanger thermal characterization**

202   The RS computational model is used to characterize the cold side heat exchanger

203   thermally. The dissipation system is mainly composed of a cold plate formed by 6.2 mm

204   parallel channels separated 3 mm, a fan-coil provided with 8 mm copper tubes,

205   longitudinal fins with a thickness of 0.2 mm and separated 1.6 mm provided with fans

206   that make the air circulate through its fins and a pump that makes the water circulate along

207   the circuit. The cold plate is located on the hot side of the TEMs while the fan-coil is

208   located at the bottom of the chimney, where the water is cooled down to be reused again.

209   The thermal characterization has been done modifying four parameters: the calorific

210   power to dissipate ($100 < \dot{Q}_C < 500$ W), the occupancy ratio ($0.073 < \delta < 0.625$), the water

211   mass flow ($0.024 < \dot{m}_l < 0.055$ kg/s) and the air mass flow along the fan-coil

212   ($0.090 < \dot{m}_a < 0.243$ kg/s).

12

213   Figure 5 presents the influence of the calorific power to dissipate on the thermal resistance

214   by TEM of the dissipation system for different air mass flows. As the figure depicts, the

215   range of calorific powers simulated slightly influences the thermal resistance as the

216   calorific power to dissipate influences the temperature of the water that circulates through

217   the system. Due to its high specific heat, the maximum difference in temperature is 4 ⁰C,

218   a very small temperature difference to cause significant changes in the heat transfer

219   coefficients, and thus on the thermal resistance of the system. Even though there is a

220   decreasing tendency, the slope is so small as to neglect the influence of the calorific power

221   to dissipate on the thermal resistance per TEM of the system.
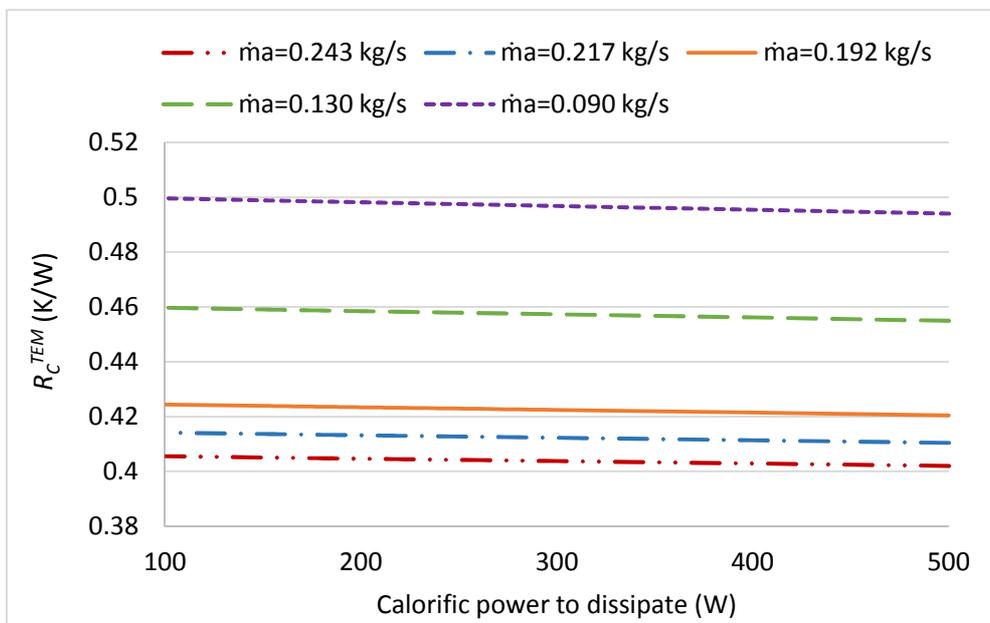
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223   Figure 5. Thermal resistance per TEM of the dissipation system with liquid as the heat-

224   carrier as a function of the calorific power to dissipate and the air mass flow for a

225   constant water mass flow of $\dot{m}_l = 0.055$ kg/s.

226   Figure 6 and Figure 7 present the influence of the occupancy ratio (the number of TEMs

227   installed) and the mass flow of the refrigerants on the thermal resistance of the

228   refrigeration system. As the occupancy ratio increases, adding more TEMs, the thermal

229   resistance per TEM increases. The increment of the number of TEMs means a reduction

230 in the effective dissipative area devoted to each TEM, and thus an increase in the thermal

231 resistance. Therefore, an increase of δ does not always mean an increment on the

232 thermoelectric generation, as it will be analyzed later on.
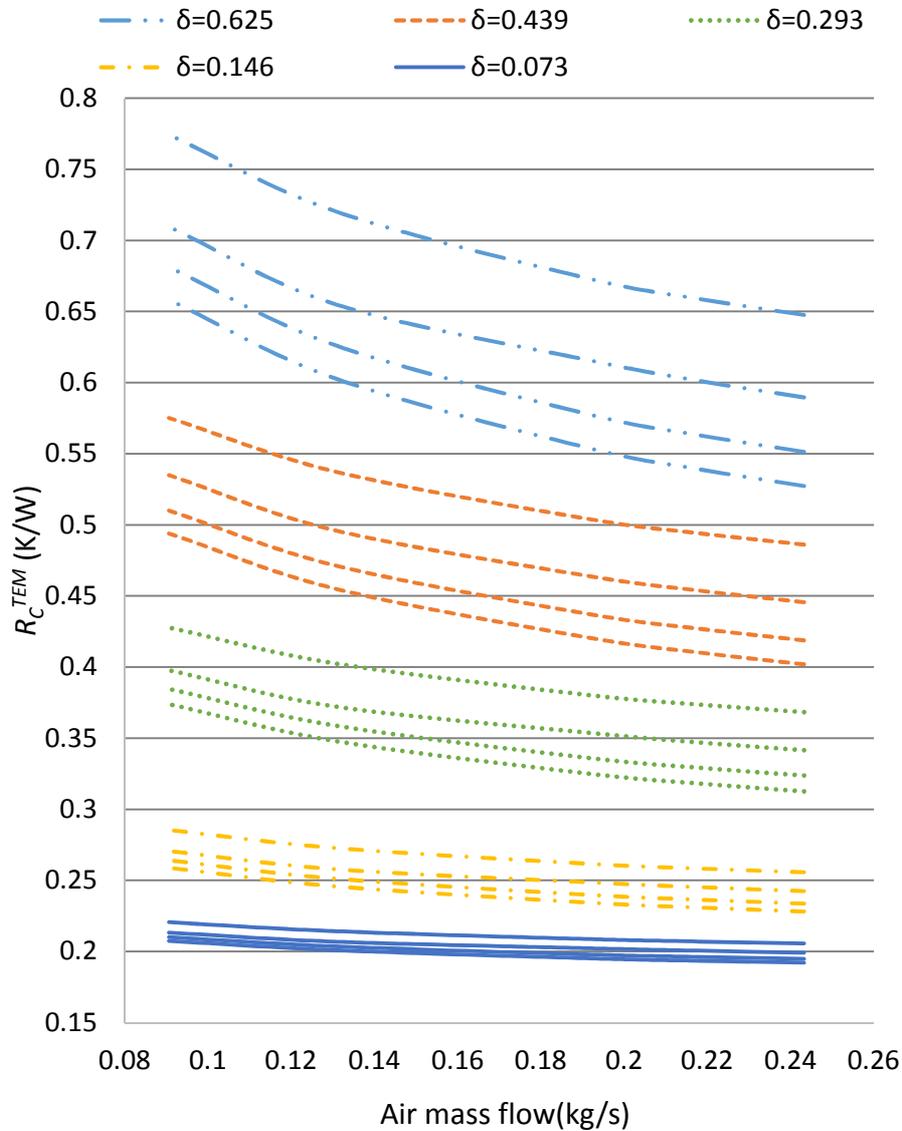
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234 Figure 6. Thermal resistance per TEM of the dissipation system with liquid as the heat-

235 carrier as a function of the occupancy ratio and the air and water mass flows. $\dot{m}_l$=0.024;

236 0.035; 0.044 and 0.055 kg/s.

237

238 The four different curves of each occupancy ratio that can be seen in Figure 8 correspond

239 to the four different water mass flows simulated ($\dot{m}_l$=0.024; 0.035; 0.044 and 0.055 kg/s),

14

240 the biggest water mass flow is represented by the bottom curve while the smallest by the

241 upper one of each δ. The mass flow of the air highly influences the thermal resistance of

242 the system for high occupancy ratios, whereas when small occupancy ratios the influence

243 is less significant. High occupancy ratios procure small effective dissipative areas per

244 TEM. Hence, an improvement of the heat transfer coefficients, through the increment of

245 the refrigerant mass flows, greatly influences the thermal resistance. Figure 9 presents the

246 same tendency for the water mass flow for different occupancy ratios. The surfaces of the

247 smallest δ are practically horizontal, while the biggest occupancy ratios surfaces present

248 a wider range of thermal resistances.

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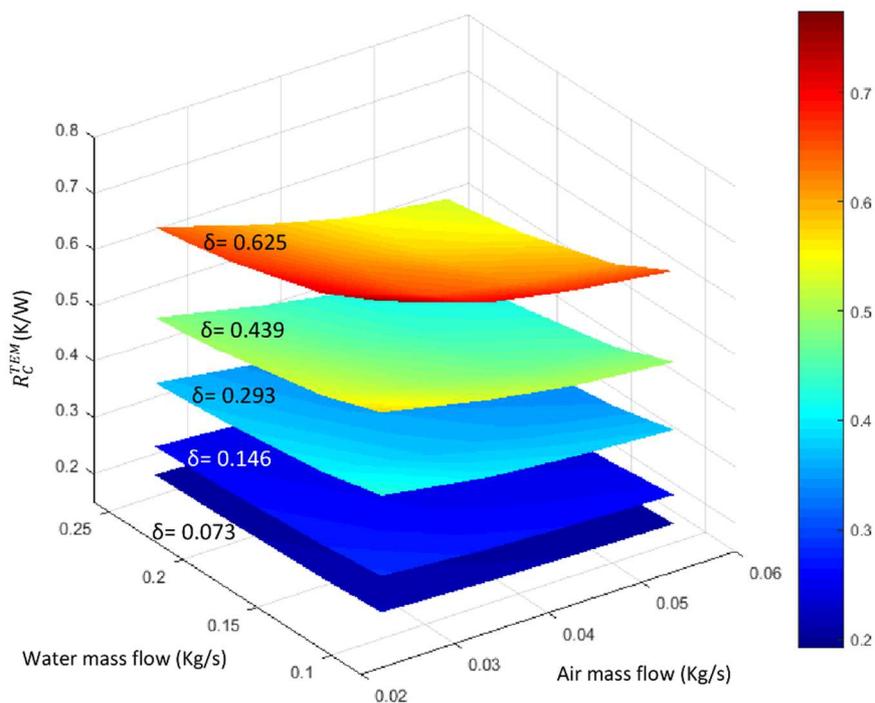
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251 Figure 7. 3D plot of the influence of the occupancy ratio and the refrigerant mass flows

252   on the thermal resistance of the refrigeration system per TEM

253 The occupancy ratio (δ) and the refrigerant mass flows ($\dot{m}_a, \dot{m}_l$) are factors that determine

254 the thermal resistance per TEM of the refrigeration system with water as the heat-carrier

255 as Figure 6 and Figure 7 present. However, the calorific power to dissipate ($\dot{Q}_C$) is not

256   influential as Figure 7 depicts. The thermal resistances of the heat exchangers are decisive

257   for the thermoelectric generation, thus these parameters are going to be introduced into

258   the general computational methodology to maximize the net thermoelectric generation.

259   **b.**   **Net thermoelectric generation**

260   Figure 8 shows the thermoelectric generation as a function of the occupancy ratio and the

261   mass flows of the refrigerants, the water that circulates through the system and the air that

262   helps to refrigerate the water at the fan-coil. This figure presents the importance of

263   selecting the optimal occupancy ratio as the maximum thermoelectric generation occurs

264   when $\delta=0.439$ (when the 43.9 % of the surface of the chimney is covered by TEMs),

265   producing 14156 W. The thermal resistance of the heat dissipation systems worsens if the

266   occupancy ratio increases, thus even a higher number of TEMs seems to provide higher

267   generation, as each module is producing less power the total generation could decrease,

268   as it happens for occupancy ratios greater than 0.439. The thermoelectric generation rises

269   with the increase of both mass flows of the refrigerants. Higher mass flows procure better

270   thermal resistance, thus the difference in temperature between the hot and the cold sides

271   of the TEMs increase, producing more electric power.

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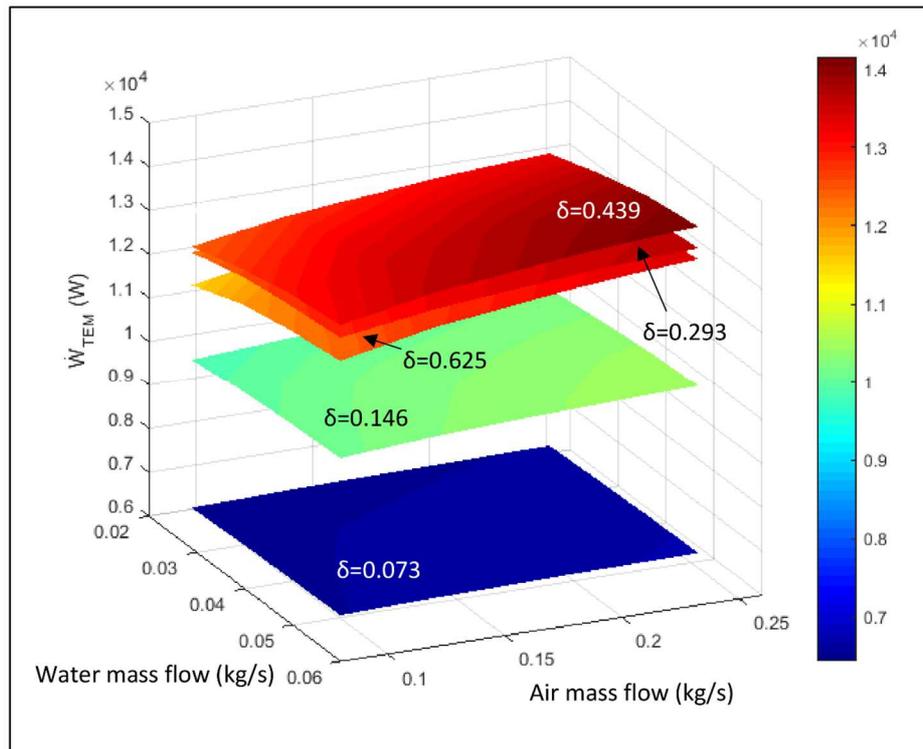
Figure 8. Thermoelectric generation as a function of the mass flow of the refrigerants

and the occupancy ratios

Nevertheless, the increasing tendency of the thermoelectric generation with the increasing of the mass flow of the refrigerants is not represented in Figure 9. The latter figure presents the net generation, the thermoelectric generation minus the consumption of the auxiliary equipment, as a function of the mass flows of the refrigerants and the occupancy ratio. The maximum net generation equals 8703 W, and it is found around an occupancy ratio of 0.4. The increase in the mass flow of the refrigerants does not provide the same tendency as with the thermoelectric generation. The net generation decreases if the mass flows increase. This reduction is obtained by the increase of the consumption of the auxiliary equipment, which negatively influences the net generation. The increase of the thermoelectric generation due to the increments on the mass flows is less pronounced that the increase of the consumption of the auxiliary equipment. Some of the scenarios present in Figure 11 are not desirable because the net generation is negative, meaning that the auxiliary consumption is higher than the thermoelectric generation.

17

288 The consumption of the auxiliary equipment has been calculated as the sum of the

289 consumption of the pump and that of the fans located at the fan-coil. The consumption of

290 the pump is obtained using equation (5) [25], where ΔP_{CP} stands for the pressure drop at

291 the cold plate and the efficiency of the pump (η_{pump}) was selected to be the 80 %. To

292 account for the consumption of the fans at the fan-coil, the total electric consumption of

293 the fan-coil of the prototype defined by the air mass flow has been multiplied by 769, as

294 769 cold plates like the prototype one could fit on the surface of the chimney.
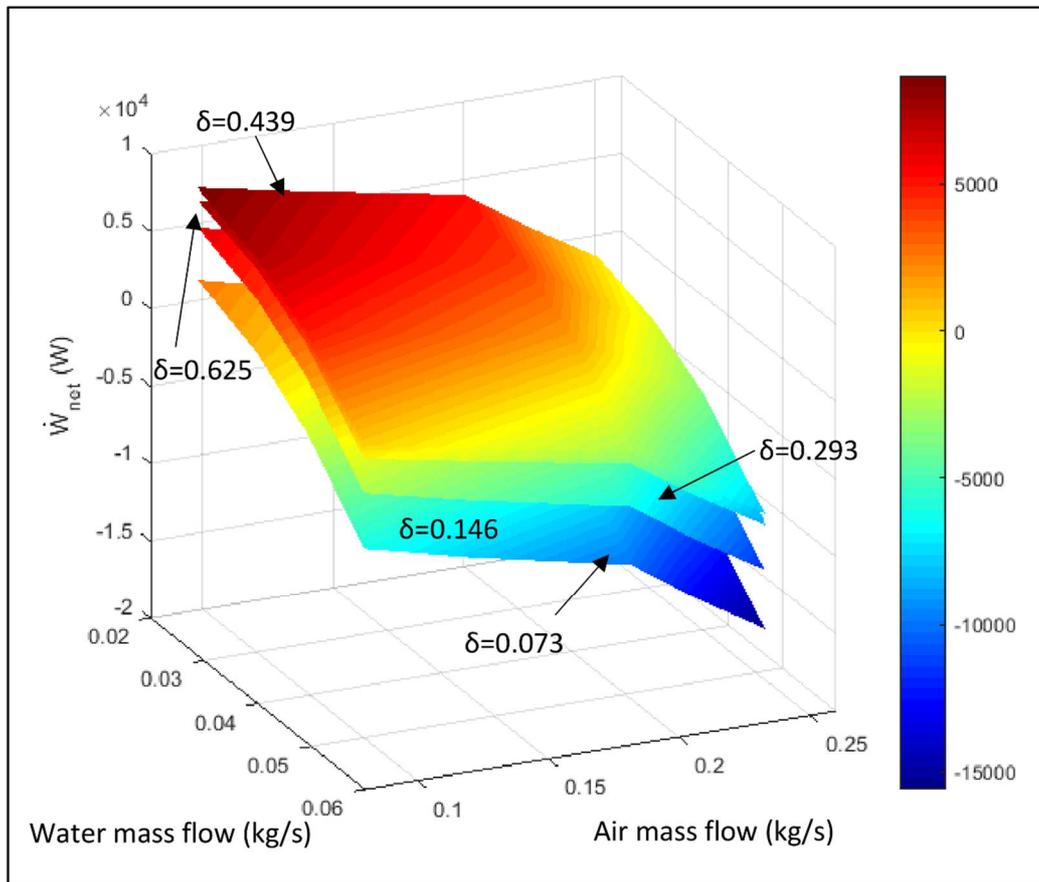
$$\dot{W}_{pump} = \frac{\Delta P_{CP}\, \dot{m}_l/\rho_l}{\eta_{pump}} \tag{5}$$

296 Figure 9. Net generation as a function of the mass flow of the refrigerants and the

297 occupancy ratios

298 The influence of accounting for all the consumption of the auxiliary equipment is

299 notorious. The maximum thermoelectric generation is 14156 W (119 MWh/year given

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300   that the furnace works 350 days a year), while the maximum net generation is reduced by

301   a 40 %, resulting in 8703 W (73 MWh/year). Besides, the optimum scenario is not

302   anymore high refrigerant mass flows, but low ones as the consumption of the auxiliary

303   equipment are smaller. The optimization of the working point is essential, as the net

304   generation is drastically reduced if the working point is shifted.

305   Not to rely on the fan-coil, a second study is conducted assuming that the system is located

306   by a water reservoir. Figure 10 includes the thermoelectric and net generations if the

307   treatment of the water is not included, as the water is being continuosly taken from the

308   water reservoir (a lake, the sea or a river). The thermoelectric generation is from an 8 to

309   a 14 % higher if just the cold plate is simulated. This increase is due to the reduction of

310   the thermal resistance of the system if the fan-coil is eliminated. Nevertheless, the biggest

311   change corresponds to the net generation which highly increases if the fan-coil is

312   eliminated from the refrigeration system. Increases of up to the 118 % can be found, a

313   maximum net generation of 121 MWh/year around occupancy ratios of 0.4. Unlike the

314   previous study, practically all the net generations are positive, meaning that the

315   thermoelectric generation is higher than the consumption of the auxiliary equipment.

316   There is just a small region - highest water mass flow and smallest occupancy ratio- where

317   the net generation is negative due to the considerable consumption of the pump regarding

318   the thermoelectric generation. For low occupancy ratios, the thermal resistances were

319   barely dependent on the mass flow of the refrigerants. Thus high mass flows procure low

320   net generations.

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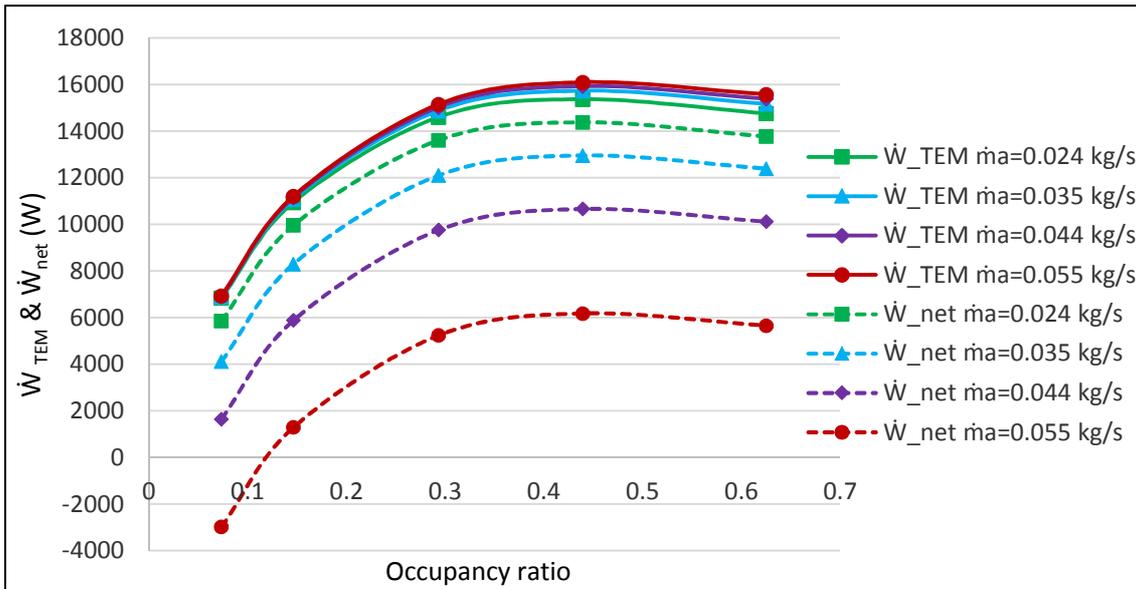
Figure 10. Net and thermoelectric generation of the cold plate as a function of the water

mass flow and the occupancy ratio

The comparison between Figure 8, Figure 9 and Figure 10 present the great difference

between the results if the treatment of the refrigerant is included into the calculations or

not. Excluding the fan-coil, is feasible but the application must be nearby a water resource

and sadly not many applications present this scenario. A cooling tower could be a good

solution because it presents small electric consumption. Nevertheless, its installation

requires a lot of space and a big investment, resulting in a non-attractive solution unless

the TEG is installed into a big application provided with much free space. Between the

maximum net generation of the first study (where the fan-coil and the consumption of its

fans are taken into account) and the one from the second one (where no fan-coil is

included), there could be different solutions as the consumption of the auxiliary

equipment could be optimized. The consumption of the auxiliary equipment is a deterrent,

as it shows the dramatic decrease in the net generation, hence it has to be taken into

account.

4. Comparison with a novel technology

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338 The thermal resistances of the heat exchangers which involve refrigerants are very low,

339 producing high thermoelectric generations, however, as auxiliary equipment is necessary

340 to make the system work, the net generation is not as appealing as we could have thought.

341 Hence, a new dissipation system is studied, a phase change thermosyphon which includes

342 a refrigerant that changes phase and naturally moves through the system thanks to gravity,

343 meaning that no pump is needed. The fluid absorbs heat at the evaporator section, it

344 evaporates and ascends to the condenser, once condensed it returns to the evaporator

345 driven by the gravity force. To eliminate any auxiliary equipment, the condenser presents

346 a significant area provided with extended surface as the heat transfer coefficients are low

347 due to free convection.

348 A thermosyphon has been built and experimented to thermally characterize it as a function

349 of the occupancy ratio and the calorific power to dissipate. Figure 11 presents its diagram,

350 the hot plates simulate the heat flux that the system has to evacuate to the ambient. The

351 evaporator absorbs the heat and the inside liquid evaporates. The vapor ascends to the

352 condenser, where thanks to the extended surface it condenses and returns to the

353 evaporator, closing the cycle. The condenser area is 6.92 m^2 and it is formed by 6 pipes,

354 of 10 mm, distributed in 7 levels and crossed by longitudinal fins. The working fluid is

355 R134a.
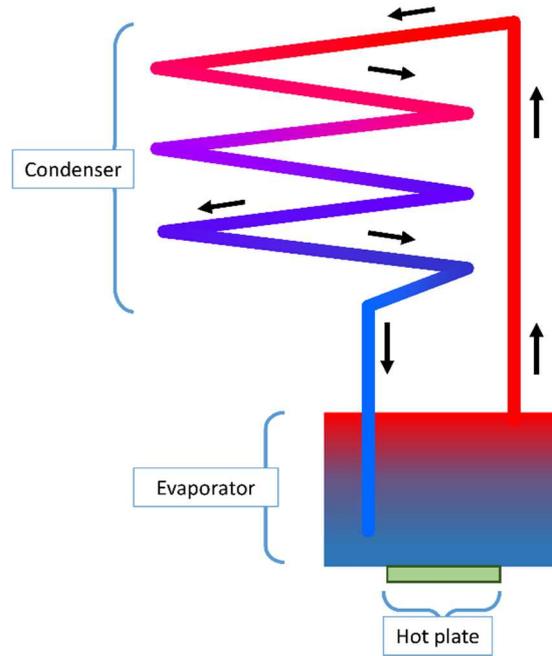
Figure 11. Thermosyphon diagram

Five values of occupancy ratios and six of calorific powers to dissipate have been selected to compute the thermal resistance. Three replicates have been made for each configuration to reduce the uncertainty. The thermal resistance has been calculated using equation (5), in this case T_{CP} stands for the temperature of the thermosyphon, T_{amb} is the ambient temperature and $\dot{Q}_C$ the calorific power to dissipate, this power is calculated multiplying the voltage and intensity supplied to the hot plates, whose size is similar to that of the TEMs. The overall uncertainty has been calculated using Coleman's work [26]. The temperature probes used present an uncertainty of ± 0.5 ⁰C while the accuracy of the voltmeters and ammeters are ± 0.2 V and ± 0.02 A, respectively. The overall uncertainty in percentage is smaller than the 8.5 % in all cases.
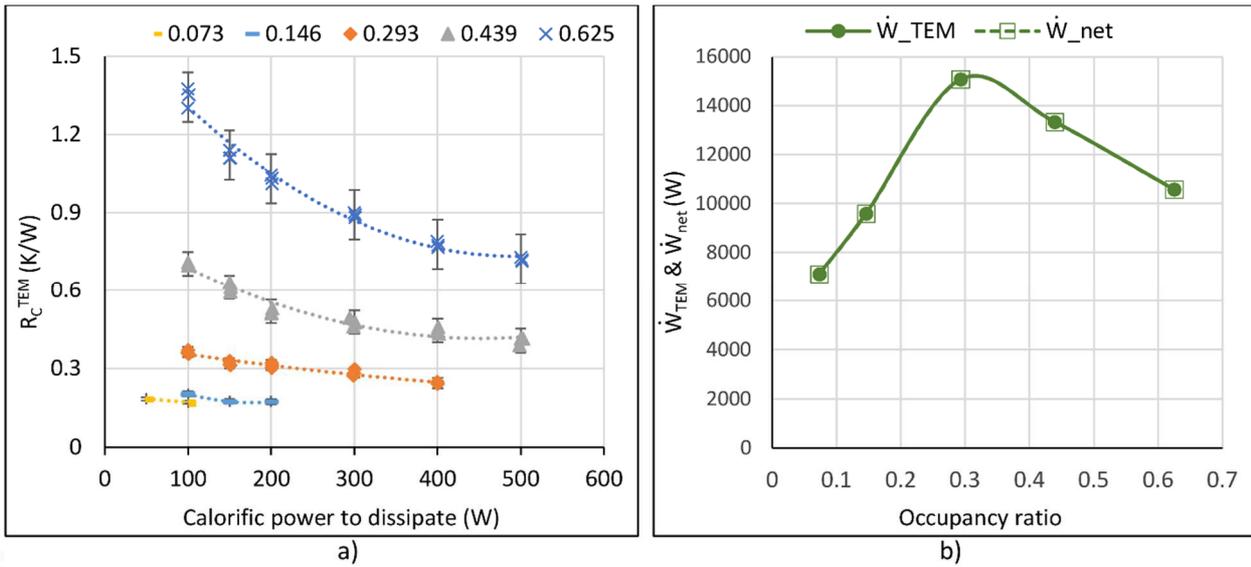
Figure 12. Thermosyphon, a) thermal resistance per TEM as a function of the calorific

power to dissipate and the occupancy ratio, a) net and thermoelectric generation

Figure 12a) includes the thermal resistances of the thermosyphon along with their

uncertainty. In this case, the thermal resistance depends on both, the occupancy ratio and

the calorific power to dissipate. The increase of the calorific power to dissipate increases

the temperature of the working fluid and thus the heat transfer inside the thermosyphon

improves. Moreover, the free convection that takes place outside the heat dissipation

system improves as the temperature difference between the ambient and the outer wall

increases. Due to the previous facts, the influence of the calorific power to dissipate is

that influential on the thermal resistance of the system, as Figure 12 a) presents.

The thermoelectric generation and the net generation have been computed using the

general methodology shown previously for the real case of the Spanish industry. For the

thermosyphons, the net generation is similar to the thermoelectric generation because

there is no auxiliary consumption.

Comparing Figure 7 and Figure 12 a) it can be seen that the thermal resistances of the

thermosyphon for high occupancy ratios and low calorific powers to dissipate are higher

than that for the refrigeration system. Nevertheless, as the calorific power to dissipate

23

386   decreases, the thermal resistances considerably improve. The reduction of the thermal

387   resistances obtains higher thermoelectric generations than for the refrigeration system

388   with water, as Figure 12 b) shows. The optimal thermoelectric generation for the

389   thermosyphons, which occurs around an occupancy ratio of 0.3, is 15200 W. As the

390   thermosyphons do not need auxiliary equipment, the net generation corresponds with the

391   thermoelectric one. Thus an annual energy of 128 MWh can be produced from the waste

392   heat of a real Spanish Industry. This net generation is a 75 % higher than that of the

393   refrigeration system with water and a 6 % higher than the net generation obtained if there

394   is a water reservoir next to the dissipation system with a liquid as the heat-carrier.

395   The use of thermosyphons seems appealing. On the one hand, they operate by themselves,

396   without any auxiliary consumption or the necessity of a water reservoir next to them.

397   Nevertheless, on the other hand, they need a big surface area to assure that the fluid

398   condensates by free convection and they need to be located vertically, so gravity brings

399   back the condensates to the evaporator. Refrigeration systems with a liquid as the heat-

400   carrier need a smaller space to be located on the chimney, and if necessary, the heat

401   exchanger in charge of reducing the temperature of the refrigerant could be located

402   outside the installation. Thus, if the application presents restricted space, or the

403   refrigeration system cannot be found vertically, cold plates have to be used to refrigerate

404   the TEG, a very interesting solution that has to take into account the consumption of the

405   auxiliary equipment if the net generation wants to be optimized.

406

407   **5. Conclusions**

408   The computational methodology presents a net generation of 73 MWh/year if a TEG

409   provided with a dissipation system with a fluid as the heat-carrier is installed in a real

410   application, a furnace located in Spain. However, the net generation could be up to 121

411   MWh/year (a 66 % higher) if the application was installed nearby a natural resource of

412   water.

413   All the applications where a TEG with dissipators including liquids as heat-carriers is

414   installed require a secondary heat exchanger to treat the refrigerant unless being nearby a

415   natural resource. The inclusion of a secondary heat exchanger, being in charge of reducing

416   the temperature of the refrigerant, influences on two parameters. Firstly, the thermal

417   resistance of the dissipation system is modified, as a reduction between the 8 and 14 %

418   in the thermoelectric generation shows. Secondly, the secondary heat exchanger normally

419   has auxiliary consumption that has to be taken into account. The studied case presents

420   reductions in the net generation of up to the 118 %, just because of including the auxiliary

421   consumption of the secondary heat exchanger. The thermoelectric generation is

422   important, but much more is the net generation. Any application where TEGs are installed

423   employ the net generation for other purposes, not the thermoelectric generation. Thus

424   each component included into a TEG, and its auxiliary consumption has to be borne in

425   mind when optimizing the application.

426   The installation of thermosyphons, which do not include any auxiliary equipment,

427   produces a higher net generation, 128 MWh from the same application. Nevertheless, the

428   surface that these systems need is very big and they have to operate vertically so that

429   gravity can bring back the fluid to the evaporator.

430

431   **Acknowledgments**

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