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12 **Evaluation of Terrestrial Laser Scanner and Structure from Motion**
13 **photogrammetry techniques for quantifying soil surface roughness**
14 **parameters over agricultural soils**

15
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32 **Highlights**

33

- 34 • Terrestrial Laser Scanner (TLS) and Structure from Motion (SfM)
35 photogrammetry techniques were evaluated for quantifying surface
36 roughness over different agricultural soils.
- 37 • A precise co-registration of TLS and SfM photogrammetry point-clouds
38 with laser profilometer data was carried out to compare different
39 roughness parameters.
- 40 • Profiles obtained with SfM photogrammetry and TLS (to a lesser extent)
41 showed lower high-frequency elevation information that affected the
42 values of some roughness parameters when compared to the laser
43 profilometer.
- 44 • TLS and SfM photogrammetry proved to be useful for measuring 3D soil
45 surface roughness in agricultural soils.

46

47

48 **Abstract**

49

50 The surface roughness of agricultural soils is mainly related to the type of tillage
51 performed, typically consisting of oriented and random components. Traditionally,
52 soil surface roughness (SSR) characterization has been difficult due to its high
53 spatial variability and the sensitivity of roughness parameters to the
54 characteristics of the instruments, including its measurement scale. Recent
55 advances in surveying have greatly improved the spatial resolution, extent, and
56 availability of surface elevation datasets. However, it is still unknown how new

57 roughness measurements relates with the conventional roughness
58 measurements such as 2D profiles acquired by laser profilometers. The objective
59 of this study was to evaluate the suitability of Terrestrial Laser Scanner (TLS) and
60 Structure from Motion (SfM) photogrammetry techniques for quantifying SSR
61 over different agricultural soils. With this aim, an experiment was carried out in
62 three plots (5 × 5 m) representing different roughness conditions, where TLS and
63 SfM photogrammetry measurements were co-registered with 2D profiles
64 obtained using a laser profilometer. Differences between new and conventional
65 roughness measurement techniques were evaluated visually and quantitatively
66 using regression analysis and comparing the values of six different roughness
67 parameters. TLS and SfM photogrammetry measurements were further
68 compared by evaluating multi-directional roughness parameters and analyzing
69 corresponding Digital Elevation Models. The results obtained demonstrate the
70 ability of both TLS and SfM photogrammetry techniques to measure 3D SSR over
71 agricultural soils. However, profiles obtained with both techniques (especially SfM
72 photogrammetry) showed a loss of high-frequency elevation information that
73 affected the values of some parameters (e.g. initial slope of the autocorrelation
74 function, peak frequency and tortuosity). Nevertheless, both TLS and SfM
75 photogrammetry provide a massive amount of 3D information that enables a
76 detailed analysis of surface roughness, which is relevant for multiple applications,
77 such as those focused in hydrological and soil erosion processes and microwave
78 scattering.

79

80 **Keywords:** soil surface roughness, TLS, SfM photogrammetry, roughness
81 parameters, agricultural soils

82

83

84 **Introduction**

85

86 Soil Surface Roughness (SSR, also referred to as micro-topography or micro-
87 relief) can be defined differently depending primarily on its application. For
88 example, in the radar scattering theory, SSR is defined as the variation in soil
89 surface elevation relative to a reference surface (Ulaby et al., 1982). In
90 agricultural soils, SSR is mainly an anthropogenic factor determined by the type
91 of tillage and management, typically with an oriented component consisting of
92 pseudo-periodical height variations due to tillage implements and a random
93 component representing soil clods or aggregates. In agricultural soils, SSR is a
94 property with a high spatial variability, since the same type of tillage can result in
95 surfaces with different SSRs depending on the physical characteristics of the soil.
96 In addition, SSR is more or less susceptible to change through time due to the
97 action of meteorological agents (e.g., precipitation, wind and temperature
98 changes) in the low atmosphere or even animal activity (Martinez-Agirre et al.,
99 2016).

100 SSR is a key element in hydrology and soil erosion processes occurring at the
101 soil-atmosphere interface (Helming et al., 1998), such as infiltration, runoff, the
102 detachment of soil particles due to water or wind, gas exchange, evaporation,
103 and heat fluxes (Huang and Bradford, 1992). Therefore, quantifying SSR can be
104 useful for understanding and modeling processes relevant for different
105 applications.

106 Many different parameters and indices have been proposed for quantifying SSR
107 (e.g., Helming et al., 1993; Magunda et al., 1997; Kamphorst et al., 2000; Taconet
108 and Ciarletti, 2007; Vermang et al., 2013). These can be divided into four groups
109 (Martinez-Agirre et al., 2016), following a criterion similar to that of Smith (2014):
110 (1) parameters measuring the vertical dimension of roughness, (2) parameters
111 measuring the horizontal dimension of roughness, (3) parameters combining both
112 dimensions, and (4) parameters based on fractal theory. The first parameters
113 measure the magnitude of elevation differences along a transect or area. On the
114 other hand, horizontal parameters evaluate the spacing at which these elevation
115 differences occur. Combined parameters represent both properties since they are
116 normally obtained as the product or ratio of a vertical and a horizontal parameter.
117 Finally, fractal parameters measure the self-affinity of surface transects or areas,
118 i.e., whether similar statistical properties can be obtained at different spatial
119 scales along the surface. Although the number of parameters found in the
120 literature is high, many of them measure similar properties and are, thus, strongly
121 correlated (Martinez-Agirre et al., 2016). Depending on the particular application
122 of interest, some parameters have been preferred to others, with the standard
123 deviation of heights (s) (also referred to as RMS of height) being the most
124 commonly used in most applications (Govers et al., 2000; Verhoest et al., 2008).
125 Recent advances in surveying have greatly improved the spatial resolution,
126 extent, and availability of surface elevation datasets (Smith, 2014). Surface
127 roughness measurement techniques can be classified according to various
128 criteria: the dimensionality of measure (2D/3D), resolution (mm/cm), sensor type,
129 and whether the measure is done with contact to the soil surface or not (Jester
130 and Klik, 2005; Gilliot et al., 2017). However, most of the literature in the topic

131 centered the classification into contact and non-contact techniques (Govers et
132 al., 2000; Verhoest et al., 2008, Aguilar et al., 2009; Thomsen et al., 2015;
133 Nouwakpo et al., 2016). Regarding this, non-contact devices are preferred
134 because the physical contact between an instrument and the soil surface is
135 associated with measurement biases and disturbances (Jester and Klik, 2005).
136 For example, a laser profilometer is a non-contact instrument that records surface
137 elevations along a transect (i.e., a 2D surface profile) with a given length and a
138 regular sampling interval. For many years, this technique has been the standard
139 for SSR measurements in different fields of earth science (e.g., microwave
140 remote sensing, soil erosion) (Helming et al., 1998; Davidson et al., 2000; Jester
141 and Klik, 2005). However, 3D laser scanning and image-based 3D reconstruction
142 techniques have been recently suggested as alternatives for the traditional non-
143 contact SSR measurements (Barneveld et al., 2013, Nouwakpo et al., 2016).
144 Image-based 3D reconstruction techniques are nowadays primarily based on
145 Structure from Motion (SfM) principles. SfM photogrammetry combines the utility
146 of digital photogrammetry with a flexibility and ease of use derived from multi-
147 view computer vision methods (James et al., 2019). In contrast to traditional and
148 close-range oblique photogrammetry, SfM photogrammetry relaxes some
149 constraints (i.e., calibration, collinearity equations and orientation) making image
150 acquisition and processing significantly easier for non-expert users (Castillo et
151 al., 2012; James and Robson, 2012; Woodget et al., 2015; Gomez et al., 2015;
152 Nouwakpo et al., 2016; Mosbrucker et al., 2017; James et al., 2019). Therefore,
153 the interest of scientists in this technology has expanded across different
154 disciplines in geosciences, due also to the development of readily available SfM
155 photogrammetry software (Nouwakpo et al., 2016).

156 Laser-based technologies, also known as laser scanning or LiDAR (light
 157 detection and ranging), have also been used for high-resolution soil micro-
 158 topography measurements (Perez-Gutierrez et al., 2007; Aguilar et al., 2009;
 159 Castillo et al., 2012; Milenković et al., 2015; Nouwakpo et al., 2016). Specifically,
 160 Terrestrial Laser Scanner (TLS) can reach accuracies down to 0.1 mm. Although
 161 TLS's high hardware acquisition cost and bulky size have limited its widespread
 162 use for field measurement campaigns (Nouwakpo et al., 2016), technical
 163 improvements in sensor design might improve this in the near future.

164

165 **Table 1.** Studies published using TLS and/or photogrammetry techniques (SfM
 166 or not) for measuring surface roughness in agricultural soils.

Reference	Techniques	Roughness classes	Size of plots
Gilliot et al., 2017	SP	4 (from moldboard to rotary cultivator)	0.54 × 0.44 m
Nouwakpo et al., 2016	TLS/SfM	No tilled (bare ground)	6 × 2 m
Rodriguez-Caballero et al., 2016	TLS	2 (barley field and natural hillslope)	-
Milenković et al., 2015	TLS/OTS	Seedbed	2.6 × 3 m
Thomsen et al., 2015	TLS/SP/Others	4 (harrowed, ploughed, seeding and forest)	1 × 1 m
Snapir et al., 2014	SfM	No tilled	2 × 11 m
Barneveld et al., 2013	TLS	3 (moldboard, harrowed and seedbed)	21 – 100 m ²
Marzahn et al., 2012a	SP	6 (from moldboard to seedbed)	1 × 2.5 m
Mirzaei et al., 2012	SP	2 (harrowed and seedbed)	1 × 1 m
Heng et al., 2010	TLS/SP	No tilled	3.9 × 1.4 m
Aguilar et al., 2009	TLS/SP	2 (untilled and very cloddy tilled)	0.2 m ²
Blaes and Defourny, 2008	PRO/SP	3 (sugar beet, winter wheat and maize)	8 m ²
Taconet and Ciarletti, 2007	SP	3 (from chisel to seedbed)	0.5 – 3.5 m ²
Jester and Klik, 2005	TLS/SP	2 (smooth and rough)	0.55 × 0.5 m

167 OTS = Optical Triangulating Scanner; SP = Stereo-photogrammetry; PRO = Laser profilometer
 168

169 Different studies have already attempted to measure SSR with TLS and
 170 photogrammetry techniques (SfM or not) (Table 1). Many of these considered
 171 either one measurement technique, or just one single soil roughness condition
 172 (Taconet and Ciarletti, 2007; Heng et al., 2010; Mirzaei et al., 2012; Snapir et al.,
 173 2014; Milenković et al., 2015; Rodriguez-Caballero et al., 2016; Nouwakpo et al.,
 174 2016). Other studies focused more on a comparison between old and new
 175 techniques (Jester and Klik, 2005; Thomsen et al., 2015), but over a rather small

176 area (1×1 m), which does not allow analyzing the multiscale nature of SSR
177 (Verhoest et al., 2008). Then, there are studies that applied one single technique,
178 but considered different SSR conditions (Taconet and Ciarletti, 2007; Marzahn et
179 al., 2012a; Gilliot et al., 2017). However, more studies carried out in large plots
180 ($> 10\text{-}20$ m²) considering different measurements techniques and soil roughness
181 conditions (e.g., different tillage) are still needed for a complete understanding of
182 SSR. More precisely, the transition from profilometer based SSR measurements
183 (the standard measurement technique in the past) to 3D measurements obtained
184 from TLS or SfM photogrammetry surveys need to be explored over different SSR
185 conditions and a large plot size. This is important to evaluate the suitability of the
186 new techniques, and to be able to interpret different roughness studies performed
187 in the past.

188 Therefore, in this study, terrestrial laser scanner (TLS) and Structure from Motion
189 (SfM) photogrammetry 3D measurements were evaluated and compared with
190 laser profilometer 2D measurements obtained on three experimental 5×5 m SSR
191 plots tilled with different tillage implements. The objective of this work was to
192 evaluate the TLS and SfM photogrammetry techniques and to assess their
193 suitability for quantifying surface roughness in different agricultural soils. With this
194 objective, an experiment was carried out where TLS and SfM photogrammetry
195 surveys were co-registered with 2D profiles obtained using a laser profilometer.
196 Differences between techniques were evaluated visually and analytically using
197 regression analysis, and next by comparing the values of some roughness
198 parameters obtained with the techniques evaluated. Then, polar plots showing
199 multi-directional roughness parameters were computed and compared between
200 TLS and SfM photogrammetry. Finally, Digital Elevation Models (DEM) obtained

201 with TLS and SfM photogrammetry were compared to detect areas and surface
202 features where a mismatch existed between techniques.

203

204

205 **Materials and methods**

206

207 *Study area*

208 This study was conducted in the experimental fields at the School of Agricultural
209 Engineers of the Public University of Navarre in Pamplona (Navarre, Spain)
210 (42.79° N, 1.63° W). The climate is humid sub-Mediterranean with a mean annual
211 temperature of ~13°C and average annual precipitation of ~720 mm distributed
212 over ~150 days. The experimental field is almost horizontal (slope < 2%) and
213 soils have a silty-clay-loam texture (13.7% sand, 48.3% silt and 38% clay).

214 Three experimental plots (5 × 5 m) were created using different tillage
215 implements, so as to represent different surface roughness conditions typical of
216 agricultural soils (Fig. 1). Plot 1 corresponded to high roughness conditions
217 (Moldboard Plough), Plot 2 to medium roughness (Chisel), and Plot 3 to low
218 roughness (Moldboard Plough + Harrowed Compacted). Moldboard Plough (MP)
219 is a primary tillage operation performed with a plough with multiple moldboards
220 (15–20 cm depth) that break and turn over the soil, resulting in very rough surface
221 (Fig. 1A). Chisel (CH) is also a primary tillage operation that breaks and shatters
222 the soil leaving it rough with residue on the surface, yet not as rough as MP (Fig.
223 1B). Moldboard Plough + Harrowed Compacted (HC) consists of an MP operation
224 followed by a secondary operation using a spike harrow and a compacting roller,
225 leading to a smooth soil (Fig. 1C).

226



227

228 **Fig. 1.** Experimental plots: (A) Moldboard Plough, (B) Chisel and (C) Moldboard
229 Plough + Harrowed Compacted.

230

231 *Experimental protocol*

232 The data collection was carried out over three days, November 25-27 2013,
233 where no precipitation was recorded. Profilometer measurements (Fig. 2A) were
234 performed on November 25 afternoon in Plot 2 (CH), and on November 26
235 afternoon in Plot 3 (HC) and Plot 1 (MP). On each plot, eight profiles were
236 measured, four in parallel to the tillage direction and four in perpendicular. The
237 beginning and end points of each profile were marked with nails and referenced
238 using a total station. To avoid the influence of sunlight shadows caused by
239 aggregates, the acquisition of photographs for the SfM photogrammetry
240 technique was made on November 26 later in the afternoon without direct
241 sunlight. Twenty four photographs were taken per plot from different points-of-
242 view using a lifting platform (Fig. 2B). Eight surveying targets were spatially
243 distributed around the experimental plots for referencing the data. Finally, TLS
244 measurements (Fig. 2C) were carried out on the morning of November 27. Four
245 scans were measured per plot (i.e., one from each side), which were co-
246 registered using five reference spheres deployed around the plots. A detailed
247 description of the three techniques is given below.



249

250 **Fig. 2.** Measurement techniques: (A) Laser profilometer (PRO), (B) Structure for
 251 Motion (SfM) photogrammetry and (C) Terrestrial Laser Scanner (TLS).

252

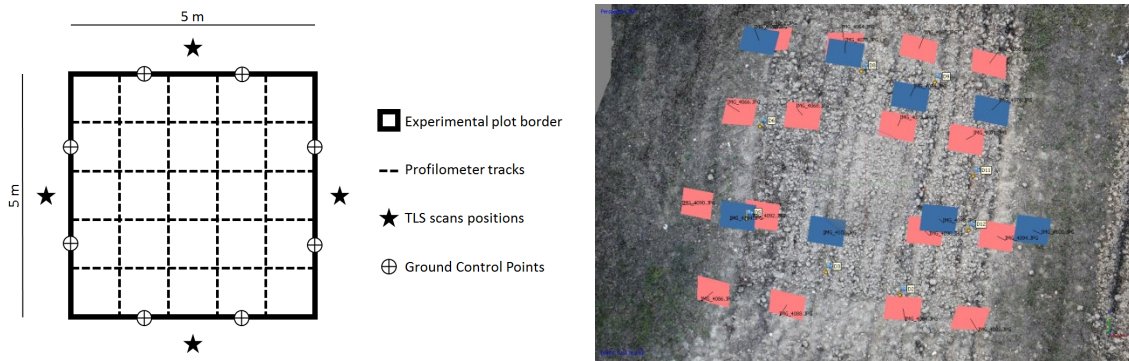
253 *Measuring techniques*

254

255 Laser profilometer (PRO)

256 Profiles were taken with a laser profilometer (Fig. 2A) designed specifically for
 257 measuring roughness (Álvarez-Mozos et al., 2009). The device consists of a laser
 258 distance meter located inside a case that moves along an aluminum beam (fixed
 259 with two tripods) propelled by a small electric motor. The position of the carriage
 260 is measured by a rack and cogwheel mechanism on the carriage that activates a
 261 photoelectric sensor. The profilometer measures the vertical distance to the soil
 262 surface using a 3-mm wide laser beam and resamples height records to 5 mm.
 263 The laser sensor is a SICK DME 2000 with a specified vertical accuracy of 1 mm
 264 (SICK, 1996). The verticality of the laser beam is adjusted using a hand level to
 265 secure transversal and longitudinal horizontality. For each experimental plot, 5-
 266 m-long eight profiles (four parallel to the tillage direction and four perpendicular
 267 to it) were measured with the laser profilometer (Fig. 3), resulting in a total of 24
 268 profiles.

269



270

271 **Fig. 3.** Experimental setup with the approximate locations of the different
 272 measurement elements (left) and camera positions (~6 m high for red ones and
 273 ~8 m high for blue ones) calculated by PhotoScan for CH plot (right).

274

275 Profilometer data processing was done in three steps: (1) correction of the
 276 aluminum beam bending using a lab determined parabolic function, (2) outlier
 277 filtering by deleting and interpolating records larger than a threshold (i.e., 2 cm)
 278 with the previous and following records (to filter out vegetation elements
 279 eventually present on the soil surface), and (3) terrain slope correction (i.e., profile
 280 detrending) subtracting the linear trend observed in the data, if any.

281 The laser profilometer was considered a benchmark for 2D roughness
 282 measurements for several reasons: (1) its vertical accuracy is high; (2) its nadir-
 283 looking geometry avoids occlusions, and; (3) although it measures 2D profiles
 284 and not 3D surfaces, it has been the standard technique to characterize surface
 285 roughness for different applications for the last decades (Oh et al., 1992; Helming
 286 et al., 1998; Davidson et al., 2000; Darboux and Huang 2003; Callens et al., 2006;
 287 Verhoest et al., 2008; Baghdadi et al., 2008) and is still used at present (Zribi et
 288 al., 2019; El Hajj et al., 2019). Thus, it can be considered a state of the art
 289 technology in the field of surface roughness measurement.

290

291 Terrestrial Laser Scanner (TLS)

292 The TLS used in this study was the FARO Focus 3D (Fig. 2C). The scanner emits
293 a single pulse of laser light and measures the time for the reflected light between
294 the target and the scanner. The scans were obtained from a tripod ~1.75 m high.
295 The TLS has a specific ranging accuracy of 2 mm (at a distance of 25 m) and a
296 laser beam divergence of 0.16 mrad (0.009°) with a beam diameter of 3 mm (at
297 the exit) (FARO, 2018). The scan vertical and horizontal resolution was set to
298 0.018° (20480 3D pixel in 360°), so for a range distance of 6 m (maximum
299 distance in our measurements), a theoretical horizontal sampling interval of 1.84
300 mm and vertical sampling interval of 6.13 mm were obtained. For each of the
301 three experimental plots, four scans were measured (i.e., one from each side of
302 the plot) (Fig. 3).

303 For TLS data processing, raw scans were first filtered to exclude mixed-pixels
304 (points whose footprint partly includes the edge of one object and the objects
305 behind), and then, co-registered and merged into a single point cloud. The
306 filtering of mixed-pixels was performed using a self-implemented algorithm as the
307 existing predefined filters in the manufacturer software did not provide
308 satisfactory results for our data. This filtering algorithm to exclude mixed-pixels
309 was based on the incidence angle and the intensity. In this way, depending on
310 the sensor-point distance range (related to the incidence angle) intensity
311 thresholds were set to filter mixed-pixels, since they usually have low intensity
312 returns. The co-registration of individual TLS scans was done globally and using
313 the iterative closest point (ICP) algorithm implemented in the OPALS software
314 (Otepka et al., 2013; Pfeifer et al., 2014). The ICP algorithm minimizes point-to-
315 plane distances between the corresponding points (Glira et al., 2015). The quality

316 of the co-registration was assessed using the standard deviation, based on more
 317 than 5000 residuals, which was about 1.1 mm for the CH and HC plots. For the
 318 MP plot, the standard deviation was slightly higher (i.e., 2.5 mm) but nevertheless
 319 sufficiently precise considering the specific ranging accuracy of the TLS (2 mm)
 320 and that the products to be obtained for the roughness parameters analysis were
 321 profiles and DEMs at 5 mm resolution. Finally, for each 5 × 5 m experimental plot,
 322 a ~30 million point cloud was obtained by merging the individual co-registered
 323 TLS scans per plot (see details in Table 2 and Fig. 4).

324

325 **Table 2.** Details of the data after pre-processing.

Plot	Measurement technique	No of samplings	No of readings
MP	Profilometer (PRO)	08 profiles	8,008 points*
MP	Terrestrial Laser Scanner (TLS)	04 scans	30,447,219 points
MP	Structure from Motion (SfM)	24 photos	17,303,166 points**
CH	Profilometer (PRO)	08 profiles	8,008 points*
CH	Terrestrial Laser Scanner (TLS)	04 scans	26,513,592 points
CH	Structure from Motion (SfM)	24 photos	13,507,994 points**
HC	Profilometer (PRO)	08 profiles	8,008 points*
HC	Terrestrial Laser Scanner (TLS)	04 scans	31,964,773 points
HC	Structure from Motion (SfM)	24 photos	11,548,505 points**

326 * corresponds to eight 5-m-long profiles

327 ** total points obtained from the dense point cloud

328

329 Structure from Motion (SfM) photogrammetry

330 Structure from Motion (SfM) photogrammetry is based on a set of overlapping
 331 photographs acquired from different points-of-view using a high-quality digital
 332 camera, which are processed automatically to determine the scene geometry and
 333 camera parameters (Favally et al., 2012; Gilliot et al., 2017). For each plot 24
 334 photos of 20 megapixels (5000 × 4000 pixels) were acquired with a Canon EOS
 335 5D Mark II camera with a 21 mm objective Zeiss Distagon T* 2.8/21 ZE (see Fig.
 336 2B). Photo acquisition was carried out with an ISO 100 speed index (sensibility)
 337 and a variable aperture (~f/4) and exposure time (1/60-1/80 s) in order to adapt

338 to the small variations of luminosity. Photo acquisition locations were
339 homogeneously distributed (Fig. 3) and obtained from a height of ~6-8 m above
340 ground using a lifting platform, thus capturing the entire experimental plot from
341 each photo (100% overlapping) with a pseudo-nadir perspective. In addition, it
342 was essential not to modify the original surface roughness of each plot, which
343 prevented us from obtaining photos from within the plot. The spatial extent of the
344 photos was slightly higher than the experimental plot extent, obtaining a mean
345 pixel size of < 2 mm.

346 For SfM photogrammetry data processing, eight ground control points (GCP) (i.e.,
347 two on each side of the plot) were measured per plot with a total station and used
348 for referencing the photos (Fig. 3), obtaining mean geometric error values lower
349 than 2 mm for each plot (1.97 mm for MP class, 1.43 mm for CH and 1.14 mm
350 for HC). Also, the errors obtained for the three axes (dX, dY, dZ) were analyzed
351 and no spatial dependence was observed. The dense point cloud generation was
352 done in “ultra-high quality” and “mild filtering” (in order to obtain small details)
353 mode using the Agisoft Photoscan software (Agisoft, 2018). After this process,
354 final point clouds were obtained with an average point spacing of ~1.7 mm on a
355 flat surface (i.e., planimetric distance) corresponding to a minimum of 10 million
356 points for each experimental plot (see details in Table 2 and Fig. 4).

357

358 *Roughness parameters*

359 In total, six roughness parameters were analyzed (Table 3). These parameters
360 were selected after a previous analysis (Martinez-Agirre et al., 2016), where their
361 correlation and their ability to discriminate between different tillage classes were
362 assessed. All the parameters were calculated after terrain slope correction (by

363 subtracting a linear regression equation from the measured surface) (Xingming
 364 et al., 2014) and height normalization for each profile (by setting the mean height
 365 of the profile to 0.0) (Martinez-Agirre et al., 2016).

366

367 **Table 3.** Summary of roughness parameters analyzed.

Parameter	Description	Reference
s (cm)	Standard deviation of the heights	Allmaras et al., 1966
l (cm)	Correlation length	Ulaby et al., 1982
$\rho'(0)$	Initial slope of the auto-correlation function	Ulaby et al., 1982
F (cm ⁻¹)	Peak frequency	Römken and Wang, 1986
T_s	Tortuosity	Saleh et al., 1993
D	Fractal dimension	Vidal Vázquez et al., 2005

368

369

370 The standard deviation of heights (s) (Eq. 1) is a descriptor of the vertical
 371 roughness component.

372

$$373 \quad s = \sqrt{\frac{\sum_{i=1}^N (z_i^2 - \bar{z}^2)}{N-1}} \quad (1)$$

374

375 where N is the number of the records registered in the profile, z_i is the height
 376 corresponding to record i , and \bar{z} is the mean height of all the records. The
 377 correlation length (l) represents the horizontal component of roughness and is
 378 defined as the distance at which the heights of two points on the surface are
 379 considered independent. The correlation length is obtained from the
 380 autocorrelation function (Eq. 2) (Ulaby et al., 1982):

381

$$382 \quad \rho(h) = \frac{\sum_{i=1}^{N(h)} z_i z_{i+h}}{\sum_{i=1}^N z_i^2} \quad (2)$$

383

384 where $\rho(h)$ is the autocorrelation function, representing the correlation existing
385 between the height of point i (z_i) and that of another point located at a lag
386 distance h from it (z_{i+h}), and $N(h)$ is the number of pairs considered in each lag
387 h . The correlation length (l) is then defined as the distance at which $\rho(h)$ is equal
388 to $1/e$, so that $\rho(l) = 1/e$ (Euler's number (e) ~ 2.71828). The initial slope of the
389 autocorrelation function ($\rho'(0)$) characterizes the horizontal component of
390 roughness focusing on the height variations of a point with its nearest neighbors.
391 The peak frequency (F) describes the horizontal component of roughness as the
392 number of peaks (i.e., points with higher elevations than their neighbors on both
393 sides) per unit length of the profile (Römken and Wang, 1986). The tortuosity
394 index of Saleh (T_S) (Eq. 3) is the ratio of the perimeter length of a profile (L_1) and
395 its projected distance on a horizontal surface taken as reference (L_0) (Saleh et
396 al., 1993):

$$398 \quad T_S = 100 \cdot \frac{(L_1 - L_0)}{L_1} \quad (3)$$

399
400 Finally, the fractal dimension (D) represents the self-affinity of surface roughness
401 profiles. In this study, the semivariogram method was used (Vidal Vázquez et al.,
402 2005), which represents how height data are related to distance, where the
403 semivariance function depending on the lag h can be calculated as in Eq. 4.

$$405 \quad \gamma(h) = \frac{1}{2N(h)} \sum_{i=1}^{N(h)} [z_{i+h} - z_i]^2 \quad (4)$$

406
407 Assuming a fractal Brownian motion (*fBm*) model, the experimental
408 semivariogram can be described as a function of the lag (Eq. 5):

409

$$410 \quad \gamma(h) = l^{1-H} h^H \quad (5)$$

411

412 where l is the crossover length and H is the Hurst coefficient. After a log-log
413 transformation, H is estimated as the slope of the semivariance versus the lag
414 distance. Afterward, the fractal dimension is obtained from the Hurst coefficient
415 as $D = 2 - H$ (Smith, 2014).

416

417 *Data analysis*

418 The analysis presented here focused on the suitability of different measurement
419 techniques for surface roughness parameterization in agricultural soils. For doing
420 so, data needed to be processed to ensure that different measurements were
421 comparable (i.e., profile length and sampling interval). First, the point clouds (for
422 each experimental plot) obtained with TLS and SfM photogrammetry were co-
423 registered to the same reference system using the ICP algorithm implemented in
424 OPALS. The standard deviation obtained of the point-to-plane residuals was less
425 than 2 mm for the three plots (this value was based on more than 1000
426 correspondences). Next, profiles were extracted from the TLS and SfM
427 photogrammetry point clouds coinciding with the location of the profiles measured
428 with the profilometer. The extraction of these profiles was done to imitate the
429 profilometer measurement principle. First, all the points of the cloud closer than
430 1.5 mm (comparable to the laser beam size (3 mm) of the profilometer) to the
431 profile centerline were selected from the TLS and SfM photogrammetry point
432 clouds. Then, these points were (1) processed to avoid occlusions (i.e., hollow
433 spaces) in order to obtain just one height data for every profile length; (2) binned

434 at bin intervals of 5 mm to resemble the measurement interval of the profilometer;
435 and (3) interpolated to avoid empty data (shadowed regions). Finally, profiles
436 were limited to 4-m-long in order to avoid surface roughness modifications in the
437 beginning and the end of the profiles.

438 Measurement techniques were compared in two steps. First, a comparison based
439 on 2D roughness data (i.e., profiles) was performed both in parallel and
440 perpendicular to the tillage direction. This comparison was made following three
441 criteria: (1) visual analysis of the profiles obtained with the different techniques;
442 (2) analytical comparison of the profiles using scatterplots, regression analysis
443 and RMSE estimation, and special dependence analysis; and (3) evaluation in
444 terms of the roughness parameters values extracted from the profiles. To analyze
445 the differences between techniques, a paired t-test (Montgomery, 1991)
446 comparing roughness parameters values obtained from the profiles obtained with
447 the three techniques has been carried out. Second, a 3D roughness analysis was
448 carried out using point clouds obtained with TLS and SfM photogrammetry. Here,
449 two elements were compared: (1) multidirectional roughness parameters values
450 (using four profiles obtained in every 15° azimuth); and (2) DEM comparison
451 (where DEMs were obtained for TLS and SfM photogrammetry, respectively, by
452 computing the mean height value on the point cloud for 5 mm grid size and using
453 a linear interpolation for the empty pixels).

454

455

456 **Results**

457

458 *Point density analysis*

459 It must be taken into account that the point cloud distribution was conditioned by
 460 the data acquisition geometry. In this sense, the point density of TLS was ~2
 461 times higher than that of SfM photogrammetry (Table 2 and Table 4), and what
 462 is more, the distribution of this point density was rather different (Fig. 4). SfM
 463 photogrammetry provided a more homogeneous distribution throughout the soil
 464 surface, whereas TLS (probably due to its side looking geometry) led to a higher
 465 number of points at the border of the plots and around soil aggregates. This TLS
 466 acquisition geometry also provided a higher point density Standard Deviation
 467 (SD) (Table 4), in particular for rougher surface conditions. The difference in the
 468 number of pixels without data was also remarkable (Table 4). SfM
 469 photogrammetry had none empty pixels, whereas TLS had a high number due to
 470 shadowing effects in the roughest surfaces (~13% in MP), although this value
 471 decreased markedly for the CH and HC plots (~6% and ~2%, respectively).

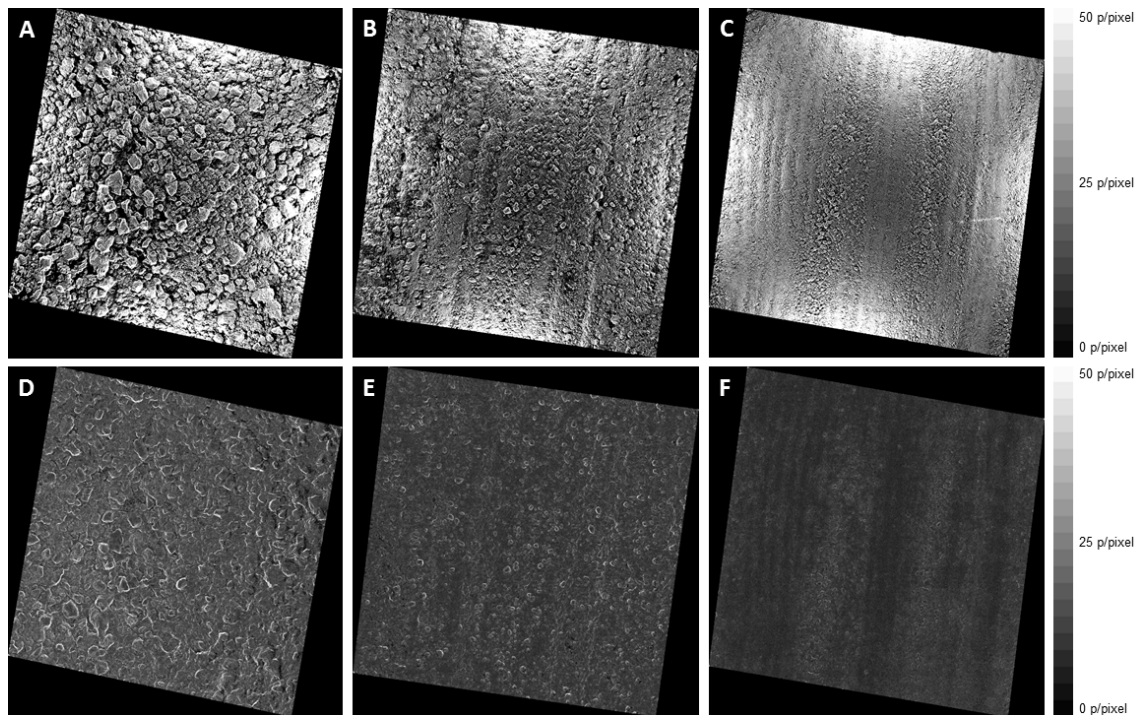
472

473 **Table 4.** Mean point density per pixel (5 mm x 5 mm), Standard Deviation (SD)
 474 and proportion of pixels without data (%) for the different plots obtained by TLS
 475 and SfM photogrammetry techniques.

Technique	Plot	Mean (p/pixel)	SD (p/pixel)	No data (%)
TLS	MP	30.3	33.1	13.48
	CH	24.3	24.0	5.83
	HC	29.4	22.0	1.56
SfM	MP	17.2	8.0	0
	CH	12.4	4.7	0
	HC	10.6	3.0	0

476

477



478

479 **Fig. 4.** Mean point density per pixel (for a 5x5 mm grid) obtained with TLS
 480 technique for MP plot (A), CH plot (B) and HC plot (C), and obtained with SfM
 481 photogrammetry technique for MP plot (D), CH plot (E) and HC plot (F).

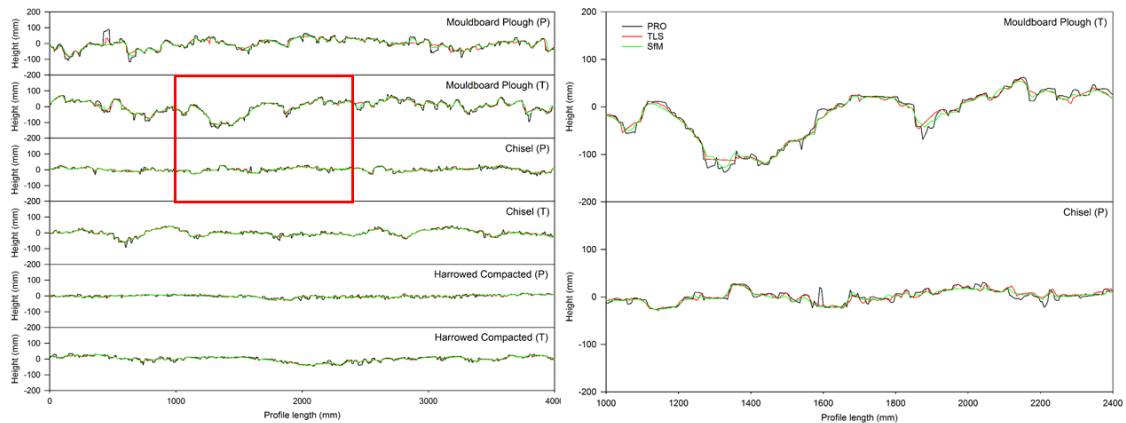
482

483 *Visual analysis*

484 A first visual exploration of the same profiles obtained with the three techniques
 485 revealed interesting details (Fig. 5). Although, the analyzed profiles generally
 486 showed very similar geometries, some differences were noticed, particularly in
 487 the roughest classes (MP and CH). Both TLS and SfM photogrammetry resulted
 488 in smoothed profiles when compared to the profilometer (PRO), with SfM
 489 photogrammetry yielding the smoothest profiles (Fig. 5). Profiles obtained from
 490 both techniques were unable to accurately describe sudden elevation changes
 491 (both positive and negative) typical at the edges of soil clods and larger
 492 aggregates (Fig. 5). In the CH and HC classes, the agreement was higher, but

493 still, some slight differences were observed when height variations occurred at
494 small distances (Fig. 5).

495



496

497 **Fig. 5.** Example height profiles of the different roughness classes in parallel (P)
498 and perpendicular (T) to the tillage direction obtained with the different
499 measurement techniques. The right panel is a zoomed version of the detail zone
500 drawn with the red rectangle.

501

502 *Scatterplot analysis*

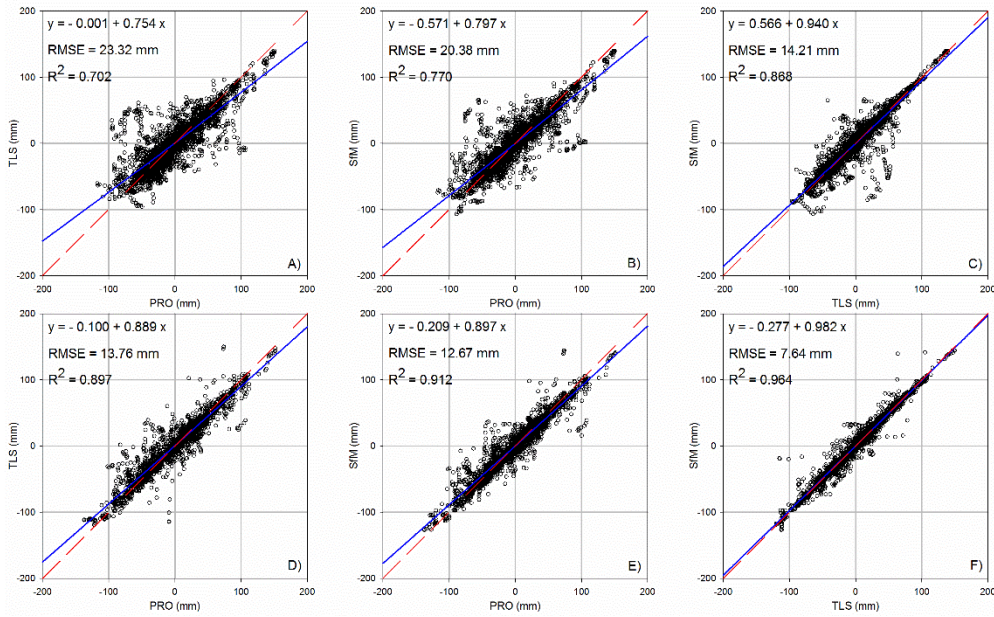
503 Scatterplots representing the height of each point of the profiles obtained with the
504 different techniques were represented for each roughness class and direction
505 (parallel and perpendicular to the tillage) (Fig. 6-8). For each scatterplot, a linear
506 regression was fitted, and the agreement between techniques was evaluated by
507 means of the root mean square error (RMSE) and the coefficient of determination
508 (R^2).

509 In the MP roughness class (Fig. 6), TLS and SfM photogrammetry techniques
510 had a good agreement with the profilometer (PRO) in both parallel (Fig. 6A-B)
511 and perpendicular (Fig. 6D-E) to the tillage direction. However, they agreed better
512 (higher R^2 and lower RMSE) in the perpendicular direction (RMSE ~13 mm and

513 $R^2 \sim 0.9$) (Fig. 6D-E) than in parallel (RMSE ~ 20 mm and $R^2 \sim 0.7$) (Fig. 6A-B).
514 When comparing TLS and SfM photogrammetry, RMSE decreased and R^2
515 increased, especially in the perpendicular direction ($R^2 > 0.95$) (Fig. 6F).
516 However, in parallel (Fig. 6C) to tillage, some disagreement appeared in medium-
517 high elevation values of TLS and in medium-low of SfM photogrammetry, which
518 could represent interpolated TLS data in shadowed regions.

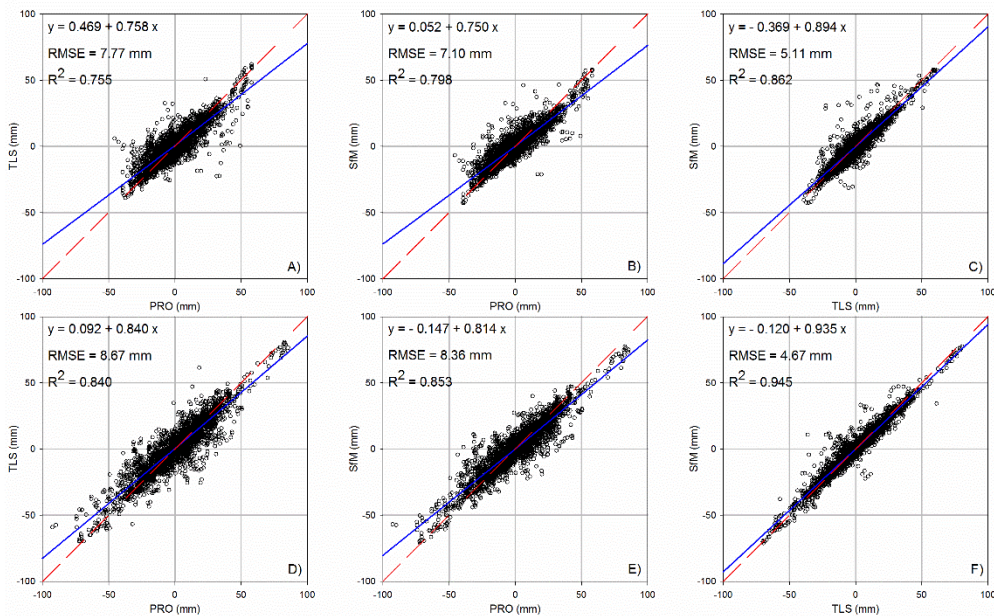
519 In the CH roughness class (Fig. 7), the differences between TLS and SfM
520 photogrammetry with PRO were lower than in the MP class, with values of ~ 7
521 mm in the parallel direction (Fig. 7A-B) and ~ 8 mm in perpendicular (Fig. 7D-E).
522 Also, the goodness-of-fit between the TLS and SfM photogrammetry techniques
523 was higher with a lower RMSE (~ 5 mm) and higher correlation than in MP (Fig.
524 7C and 7F), especially in the perpendicular direction ($R^2 \sim 0.95$) (Fig. 7F). In this
525 case, the number of outliers was lower than in the MP class.

526 The HC roughness class (Fig. 8) presented the lowest differences between TLS
527 and SfM photogrammetry with PRO, yielding RMSE values ~ 5 mm in both
528 directions (Fig. 8A-B and 8D-E). Also, the values between TLS and SfM
529 photogrammetry presented the best fit with an RMSE of ~ 3 mm and high
530 correlation and slope values (Fig. 8C and 8F), especially in the perpendicular
531 direction (slope and $R^2 > 0.95$) (Fig. 8F). In this case, the presence of
532 disagreement was almost null.



533

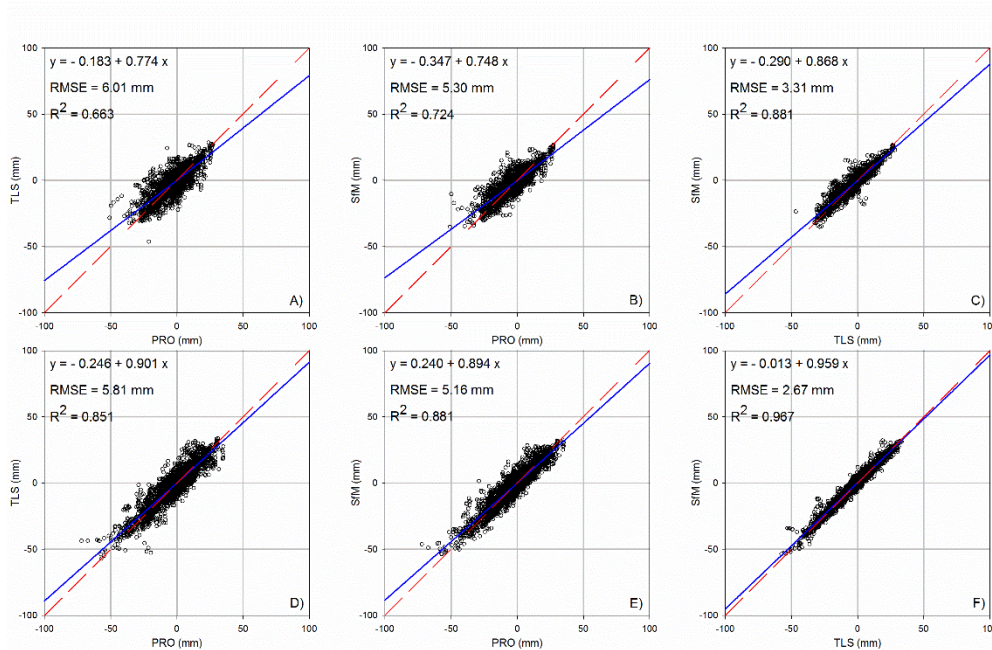
534 **Fig. 6.** Scatter-plots of profile heights acquired using different measurement
 535 techniques for Moldboard Plough (MP) class in parallel (top) and perpendicular
 536 (bottom) to the tillage direction. Dotted line (red) represents the identity (1:1) line
 537 and solid line (blue) represents the linear regression.



538

539 **Fig. 7.** Scatter-plots of profile heights acquired using different measurement
 540 techniques for Chisel (CH) class in parallel (top) and perpendicular (bottom) to

541 the tillage direction. Dotted line (red) represents the identity (1:1) line and solid
542 line (blue) represents the linear regression.



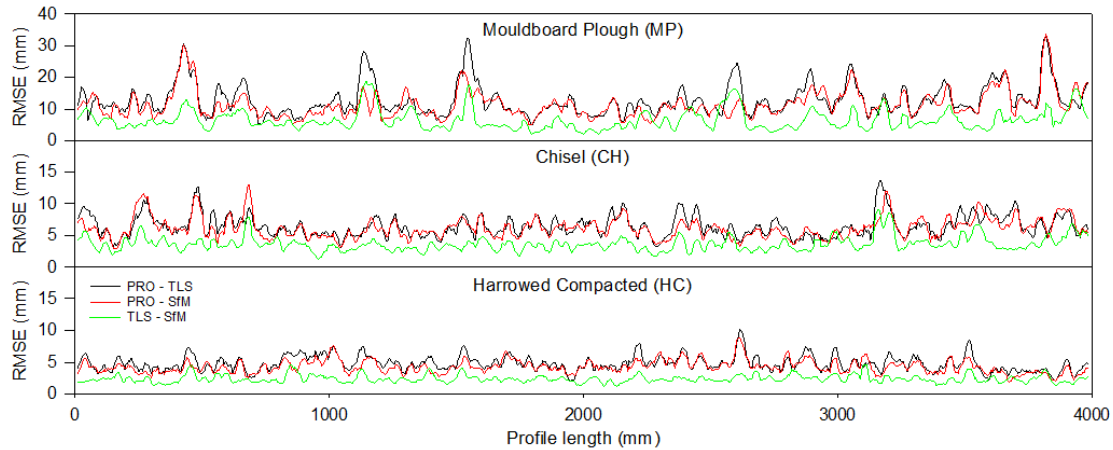
543

544 **Fig. 8.** Scatter-plots of profile heights acquired using different measurement
545 techniques for Harrowed Compacted (HC) class in parallel (top) and
546 perpendicular (bottom) to the tillage direction. Dotted line (red) represents the
547 identity (1:1) line and solid line (blue) represents the linear regression.

548

549 To analyze any possible spatial dependence (i.e., systematic error propagation)
550 between profiles obtained with the different techniques, the mean RMSE in
551 segments of 5 height records (i.e., 25 mm) between the profiles obtained with the
552 different techniques for each experimental plot was carried out (Fig. 9). In
553 general, the spatial dependence analysis confirmed the results observed in the
554 scatterplots (Fig. 6-8). However, it should be noted that in TLS-SfM and PRO-
555 SfM a small spatial dependence was observed (especially in rougher plots),
556 slightly increasing the RMSE at the edges of the profiles.

557



558

559 **Fig. 9.** Mean RMSE in segments of 5 height records (25 mm) between the profiles
 560 (n=8) obtained with the different techniques for each plot.

561

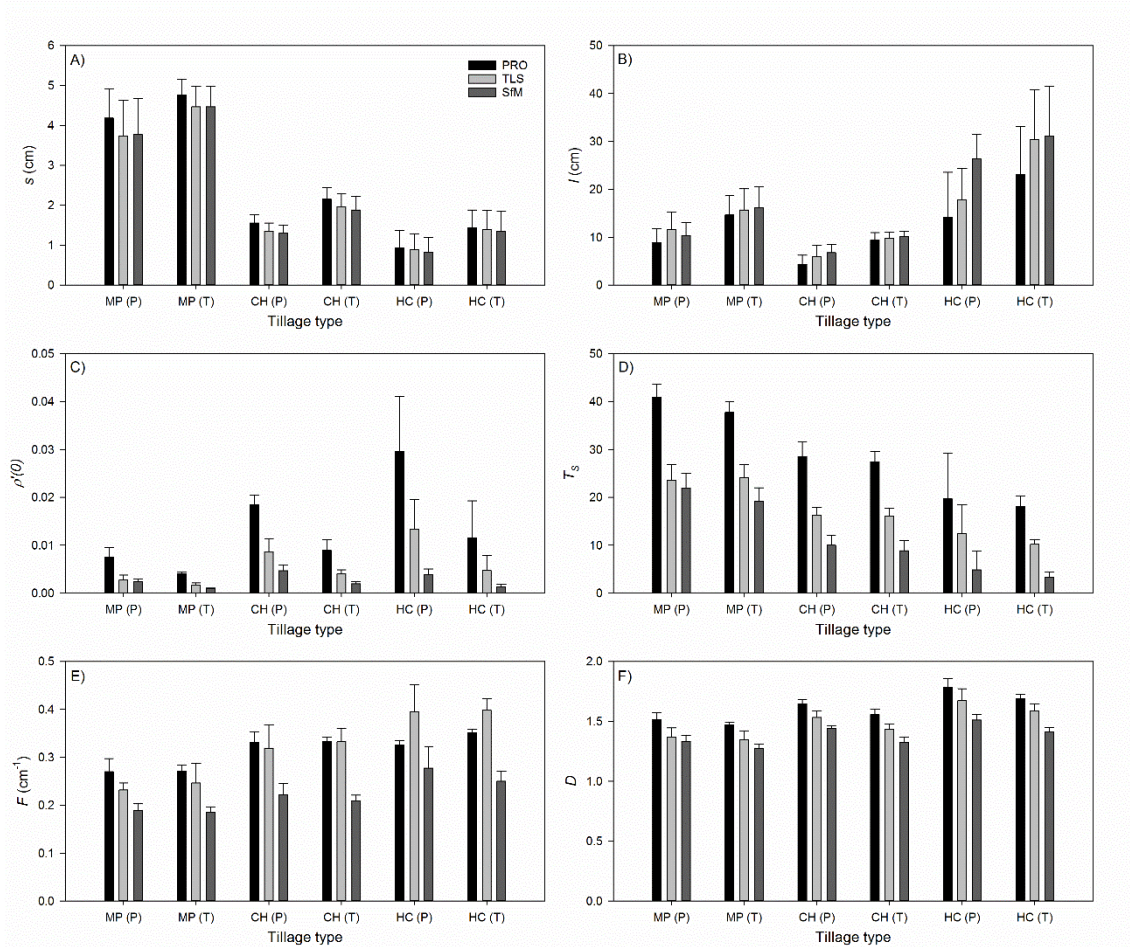
562 *Roughness parameters analysis*

563 Figure 10 presents the mean values and standard deviations of the roughness
 564 parameters obtained with the three techniques for each experimental plot and
 565 measurement direction. The standard deviation of heights (s) showed very similar
 566 class mean values and standard deviations for the three techniques analyzed
 567 (Fig. 10A). However, PRO presented slightly higher values followed by TLS and
 568 SfM photogrammetry. The difference in MP roughness class between the TLS
 569 and SfM photogrammetry technique was inappreciable. As expected, the MP
 570 class presented higher values followed by CH and HC, and also the perpendicular
 571 (T) direction showed higher values than the parallel (P) direction. The correlation
 572 length (l) presented a different behavior with lower values (and deviations) for CH
 573 class, followed by MP and HC (with higher values and especially larger
 574 deviations), and the perpendicular direction also showed higher values than the
 575 parallel direction (Fig. 10B). Regarding the different techniques, in general, PRO
 576 showed the lowest values followed by TLS and SfM photogrammetry. The initial

577 slope of the autocorrelation function ($\rho'(0)$), although being similar to l in concept,
578 presented a very different behavior, with higher values for the HC class, followed
579 by CH and MP and higher values in parallel than in perpendicular (Fig. 10C). The
580 differences between the measurement techniques were higher than in any other
581 parameter evaluated with higher values for PRO followed by TLS and SfM
582 photogrammetry.

583 The tortuosity (T_s) showed higher values for PRO followed by TLS and SfM
584 photogrammetry, and also higher values for the MP class followed by CH and HC
585 (Fig. 10D). However, no remarkable differences were appreciated between the
586 parallel and perpendicular directions. The peak frequency (F) took higher values
587 for PRO or TLS depending on the roughness class and lower values for SfM
588 photogrammetry (Fig. 10E). In general, the MP class showed lower values
589 followed by CH and HC (except for PRO technique) and no remarkable
590 differences were observed between parallel and perpendicular directions. The
591 fractal dimension (D) behaved similarly, with higher values for PRO followed by
592 TLS and SfM photogrammetry, lower values for the MP roughness class followed
593 by CH and HC, and with no important differences between the parallel and
594 perpendicular directions (Fig. 10F).

595



596

597 **Fig. 10.** Roughness parameters values for the different measurement techniques
 598 and for the different roughness classes analyzed: Moldboard Plough (MP), Chisel
 599 (CH) and Harrowed Compacted (HC), in parallel (P) and perpendicular (T) to
 600 tillage direction.

601

602 Statistically (Table 5), Significant differences were observed in all cases except
 603 for the peak frequency (F) parameter measured with PRO and TLS (p-value >
 604 0.05), the correlation length (l) measured by TLS and SfM photogrammetry (p-
 605 value > 0.01) and with PRO and TLS (p-value > 0.001), and the standard
 606 deviation of heights (s) measured by TLS and SfM photogrammetry (p-value >
 607 0.001). Regarding the mean relative differences (%) obtained for each parameter,
 608 differences between PRO and SfM photogrammetry were the highest ones,

609 followed by PRO-TLS and TLS-SfM photogrammetry (with the exception of the
 610 peak frequency (F)).

611

612 **Table 5.** Paired t-test of the different techniques. Mean relative differences (%)
 613 and p-values obtained for the different roughness parameters. No asterisks
 614 implies significant differences.

Paired techniques	s	l	$\rho'(0)$	F	T_s	D
PRO – TLS	7.9	-30.8*	57.4	-1.8***	40.7	7.5
PRO – SfM	11.0	-50.3	77.8	29.1	65.5	14.0
TLS – SfM	3.1*	-14.2**	44.4	29.6	40.7	6.8

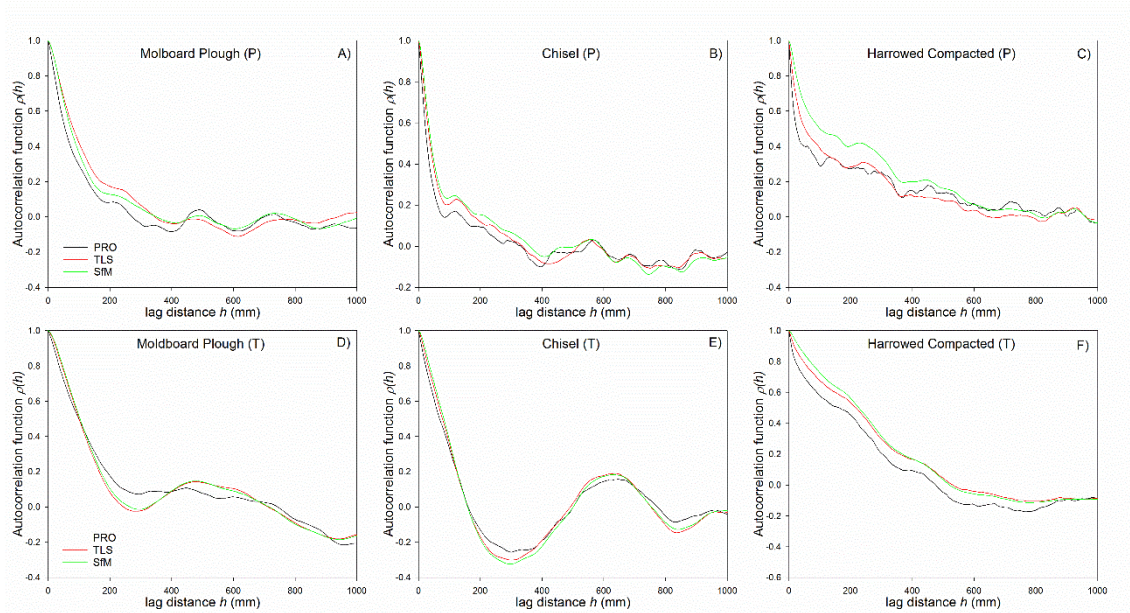
615 * p-value > 0.001

616 ** p-value > 0.01

617 *** p-value > 0.05

618

619 To analyze the high-frequency roughness, mean autocorrelation functions were
 620 visualized for the different measurement techniques (Fig. 11). In all roughness
 621 conditions and both directions (except MP in parallel), PRO showed lower l and
 622 higher $\rho'(0)$ values, followed by TLS and SfM photogrammetry. The mean
 623 autocorrelation functions showed that for HC (P) SfM photogrammetry had the
 624 most smoothed profiles (i.e., higher autocorrelation values), whereas for MP
 625 (P) TLS was actually more smoothed than SfM photogrammetry, but only for
 626 spatial lags shorter than 400 mm. In this way, it could be confirmed that profiles
 627 obtained from TLS and especially SfM photogrammetry presented lower high-
 628 frequency roughness information (i.e., smoothing) when compared to the profiles
 629 obtained with PRO.



630

631 **Fig. 11.** Mean autocorrelation function for the different measurement techniques
 632 and for the different roughness classes in parallel (P) and perpendicular (T) to
 633 tillage direction.

634

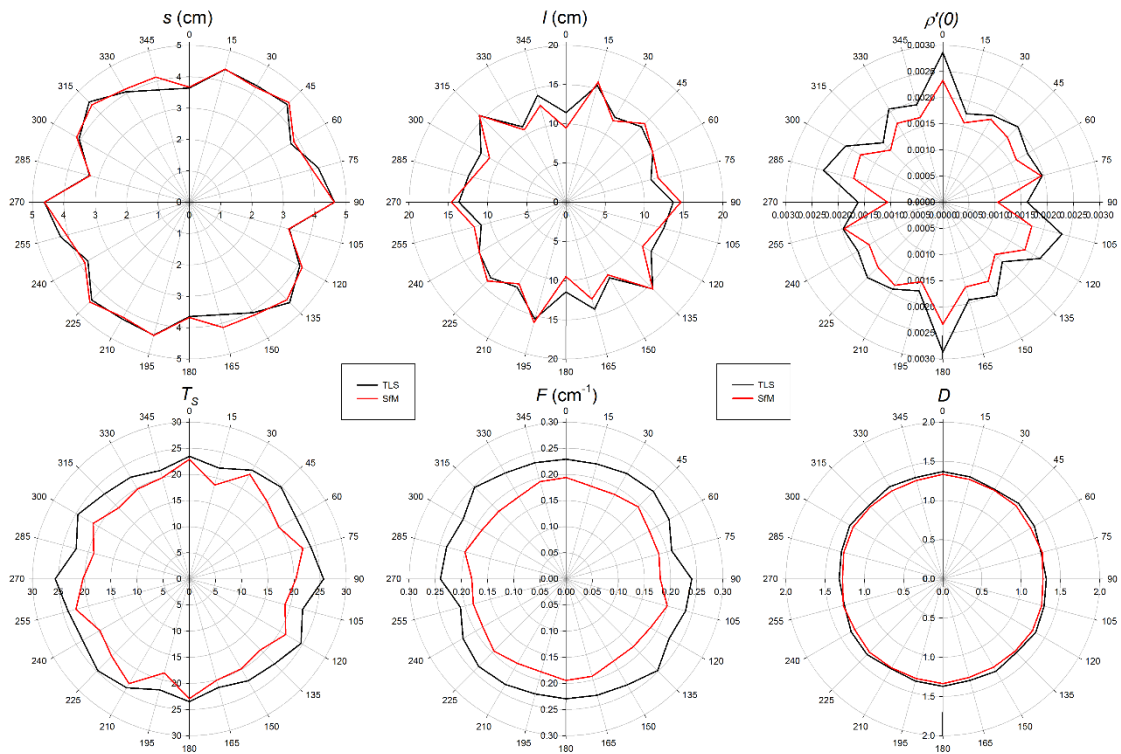
635 *Multi directional roughness parameter analysis*

636 To analyze the multidirectional behavior of roughness parameters with TLS and
 637 SfM photogrammetry techniques, polar plots were used to represent mean values
 638 of the roughness parameters. For the MP roughness class (Fig. 12), s showed a
 639 similar behavior for both techniques (with little exceptions), with higher values at
 640 the 90° direction (i.e., perpendicular to tillage). The correlation length (l) also
 641 presented a similar behavior with both techniques, but no clear directionality was
 642 observed with a rather large variability at different directions. Parameter $\rho'(0)$
 643 showed differences between techniques (higher values with TLS) and a notable
 644 anisotropic behavior with peak values in the 0° direction (i.e., parallel to tillage).
 645 On the other hand, tortuosity (T_s) and peak frequency (F) presented higher values
 646 for the TLS technique and no significant directional behavior. Finally, the fractal

647 dimension (D) showed almost identical values for both techniques and isotropic
648 behavior.

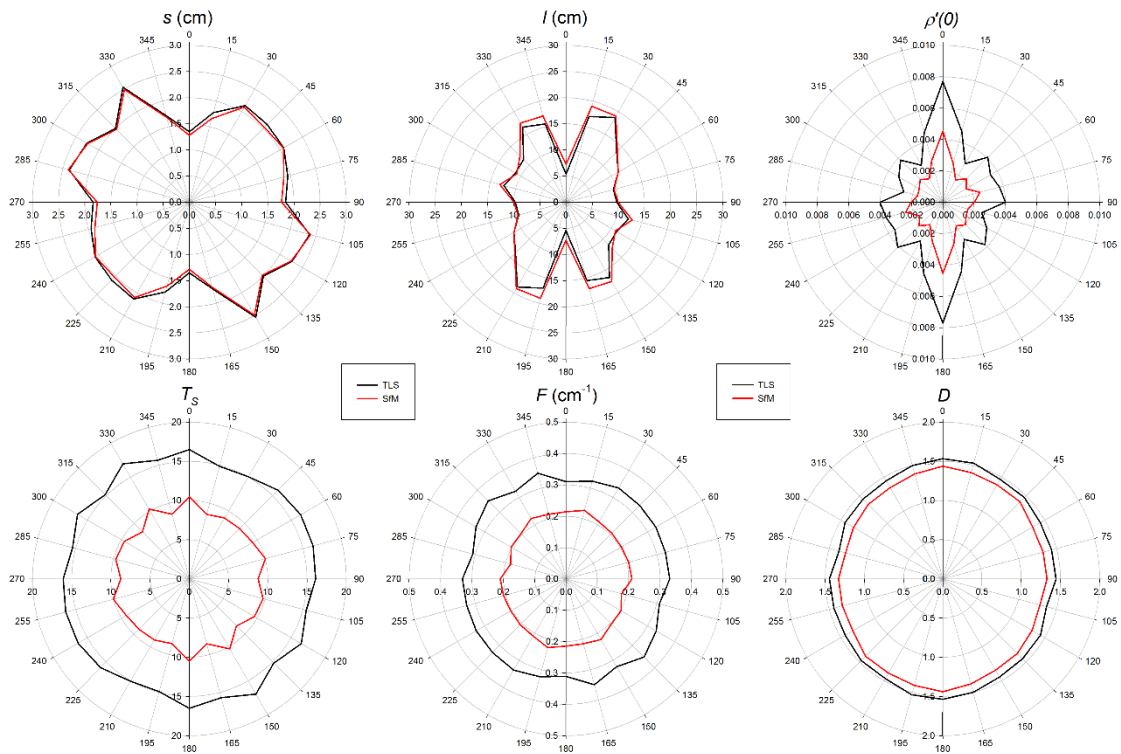
649 Regarding the CH roughness class (Fig. 13), s and l parameters presented very
650 similar values with both techniques. However, these showed an anisotropic
651 behavior (especially l) with low values in the 0° direction and higher values in the
652 30° or 105° directions. Parameter $\rho'(0)$ presented higher values with TLS and a
653 strong anisotropic behavior with higher values in the 0° direction. Finally,
654 parameters T_S , F , and D showed clear differences with higher values obtained
655 for TLS (only slight differences in D) and no significant directional behavior.

656 For the HC roughness class (Fig. 14), the s parameter presented similar values
657 with both techniques and an anisotropic behavior with lower values in the near
658 parallel directions. The parameter l showed little differences with higher values
659 for the SfM photogrammetry technique (especially in some directions) and a clear
660 anisotropic behavior with lower values in the near parallel directions. Parameter
661 $\rho'(0)$ presented clear differences with higher values observed for TLS and a
662 strong directional behavior with highest values in the 0° direction. Finally,
663 parameters T_S , F , and D showed large differences with higher values for the TLS
664 technique (fewer differences in D) and isotropic behavior (except T_S with a peak
665 in the 0° direction).



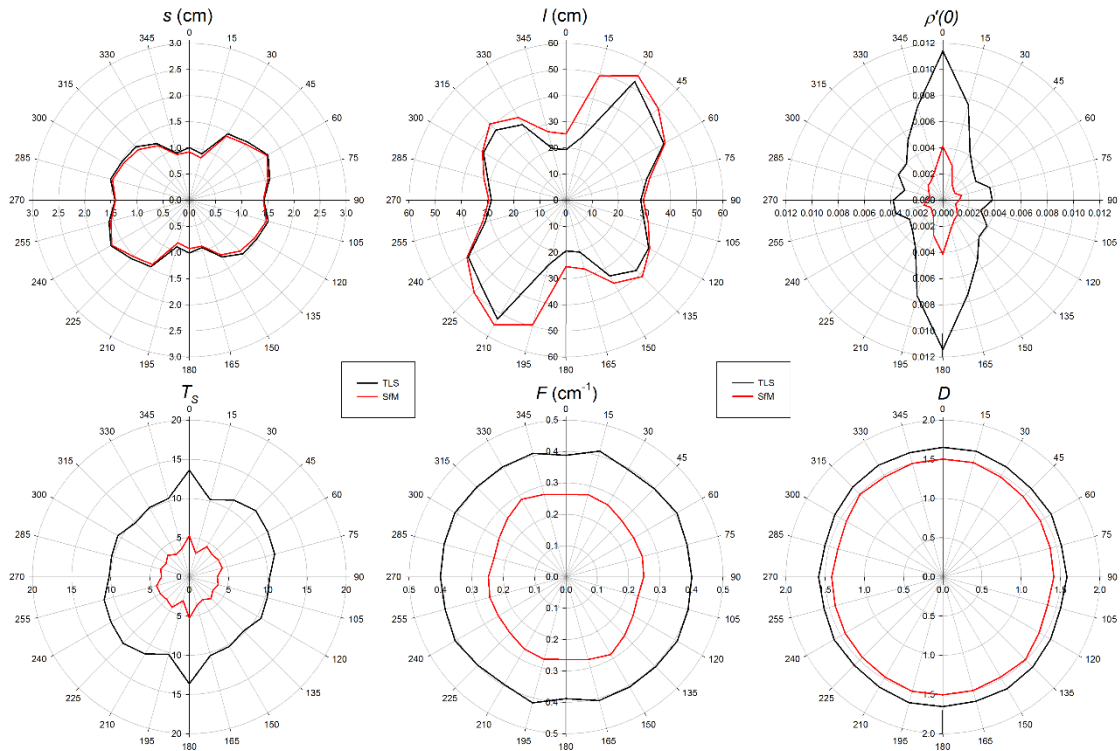
666

667 **Fig. 12.** Multi directional roughness parameter values from TLS and SfM
 668 photogrammetry techniques in Moldboard Plough (MP) class.



669

670 **Fig. 13.** Multi directional roughness parameter values from TLS and SfM
671 photogrammetry techniques in Chisel (CH) class.



672
673 **Fig. 14.** Multi directional roughness parameter values from TLS and SfM
674 photogrammetry techniques in Harrowed Compacter (HC) class.

675
676 *DEM analysis*

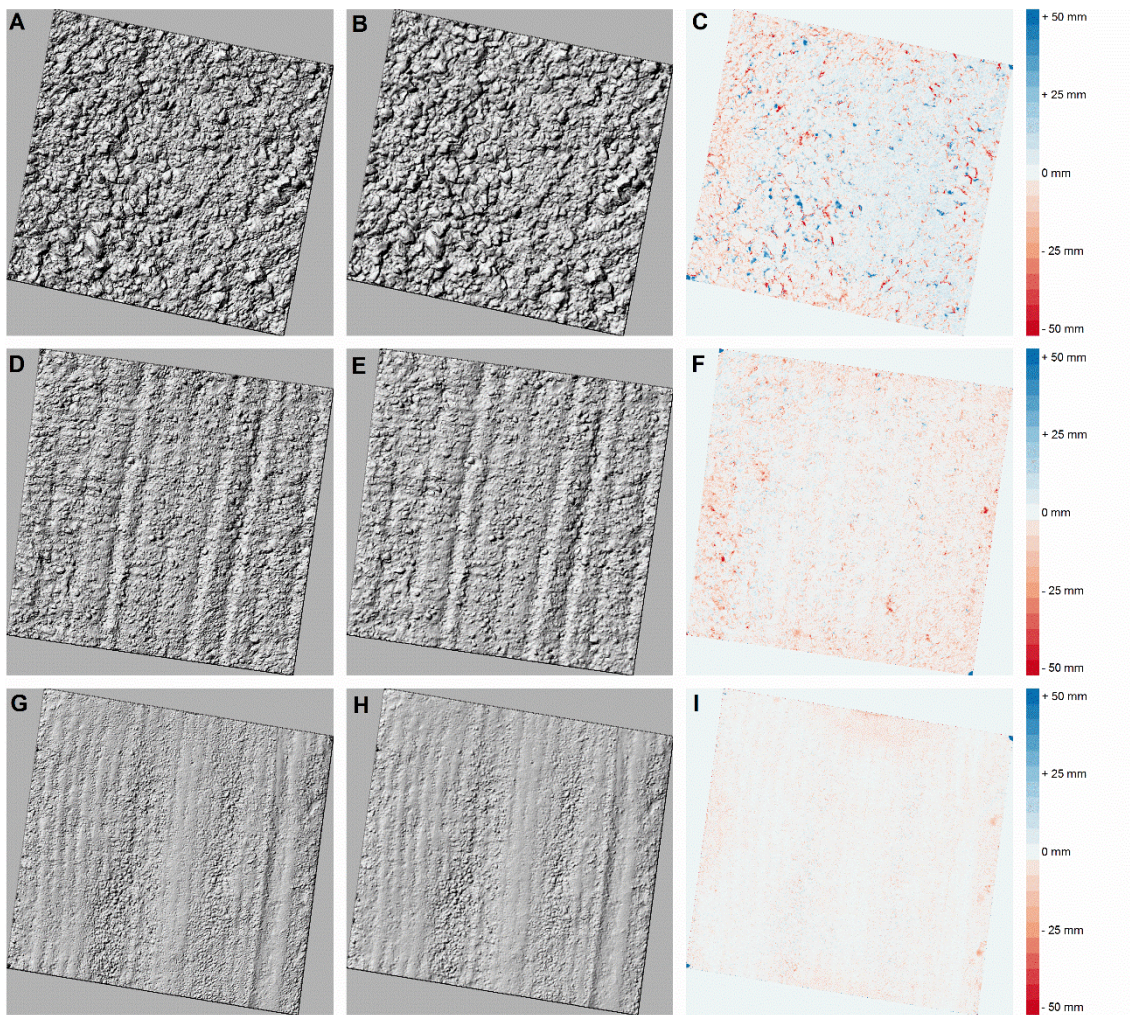
677 The hillshade DEMs obtained with the TLS and SfM photogrammetry techniques
678 and their differences are shown in Figure 15. In general, DEMs obtained with TLS
679 seemed to be more detailed than with SfM photogrammetry. This phenomenon
680 is better appreciated in CH and HC classes where a difference in the higher
681 frequency roughness components is apparent between TLS and SfM
682 photogrammetry. Also, a small spatial dependence of the errors between the
683 center (in light blue) and the edges (in light red) of the plot was observed in the

684 rougher classes (MP and CH). This confirmed what was observed in the RMSE
685 analysis of the profiles (Fig. 9).

686 Regarding the differences between roughness classes, in the MP class (Fig.
687 15C), some dark blue zones (with higher values for TLS) were observed due to
688 interpolated shadowed regions (no data) for TLS. Also, little dark red zones (with
689 higher values for SfM photogrammetry) appeared in the lower part of some
690 aggregates because of the smoothing surface behavior of SfM photogrammetry,
691 especially in the border of the plot (due to a higher zenith incidence angle for
692 TLS). In the center of the plot, a light blue color was predominant (0-5 mm), which
693 could be caused by a higher detailed geometry of the clods (medium and high
694 parts) with TLS, comparing with the surface smoothing behavior with SfM
695 photogrammetry. For the CH class (Fig. 15F), the differences were lower than in
696 MP, with just some small red zones (with higher values for SfM photogrammetry)
697 along the border of the experimental plot caused by the same phenomenon
698 explained for MP class. Finally, the differences observed in the HC class (Fig.
699 15I) were practically null.

700 It should be noted that the blue zones that appear in different corners of the three
701 experimental plots were caused by the reference spheres used for the TLS co-
702 registration.

703



704

705 **Fig. 15.** Hillshade DEMs with 5 mm grid size obtained for TLS (left column) and
 706 SfM photogrammetry (center column), and their difference (TLS-SfM) (right
 707 column); for Moldboard Plough (MP) class (top), Chisel (CH) class (middle) and
 708 Harrowed Compacted (HC) class (bottom).

709

710

711 **Discussion**

712

713 The analysis performed here is unique since it considers different roughness (i.e.,
 714 tillage) classes and significantly larger experimental plots than other studies on
 715 this topic. Also, it is the first time here that height profiles obtained with different

716 SSR techniques are directly compared due to the precise co-registration
717 achieved, including the profilometer, considered the standard in the past.
718 However, it must be taken into account that the analysis compares surface
719 roughness datasets obtained with the three techniques, and not the techniques
720 in absolute terms (since not all the possible variants and setting of the techniques
721 are explored, e.g., different acquisition heights for SfM photogrammetry, etc.).
722 Such an analysis should be most welcome.

723 The final point clouds obtained with the TLS and SfM photogrammetry techniques
724 had a very good correspondence. On the one hand, after SfM photogrammetry
725 referencing, GCP mean errors ranged between 1.1 mm (for HC class) and 1.9
726 mm (for MP class). These values are comparable to Bretar et al. (2013), Snapir
727 et al. (2014), and Gilliot et al. (2017), who reported errors of ~1.5 mm. On the
728 other hand, the average distance between the corresponding points among TLS
729 scans for each plot was ~1 mm (similar to Milenković et al., 2015), except for MP
730 (2.5 mm) due to a very rough terrain that imposed shadowed regions and thus
731 affected the ICP correspondences. Finally, the average distance between point
732 clouds obtained by the TLS and SfM photogrammetry techniques was less than
733 2 mm for all the three plots.

734 Regarding the bidirectional (parallel and perpendicular to tillage direction)
735 analysis of the different measurement techniques, the visual analysis provided
736 interesting information. The rougher the surface, the more evident the smoothing
737 of the profiles obtained by TLS and SfM photogrammetry techniques was with
738 respect to PRO, with profiles obtained with SfM photogrammetry yielding the
739 smoothest ones (Fig. 5 and 11). On the one hand, interpolated shadowed regions
740 in TLS due to large aggregates on the soil surface (Heng et al., 2010) caused

741 considerable differences in some parts of the profiles. Therefore, the eventual
742 availability of a nadir-looking TLS acquisition (e.g., installed on a lifting platform
743 or even on board a Remotely Piloted Aerial System) could circumvent this
744 limitation. This is not easy to achieve due to the sensing time required by TLS
745 equipment and the necessity to accurately determine the position of the sensor
746 to precisely locate the obtained point cloud. Altogether, TLS seems to provide
747 accurate height information even for high-frequency elevation variations but, with
748 the present setting (i.e., side looking surveys), the reliability of this technique is
749 affected by shadowing effects especially in rougher surfaces (MP and CH) (Table
750 4). On the other hand, it must be remarked that the resolution of laser-based
751 techniques (i.e., PRO and TLS) will have a negligible improvement by reducing
752 the distance (due to the laser beam diameter), while the resolution of SfM
753 photogrammetry will definitively improve with shorter distances (and higher
754 number of photos). In this regard, an improvement in resolution (including high-
755 frequency roughness) should be explored in the future in the application of SfM
756 photogrammetry to surface roughness studies.

757 The scatterplot analysis provided very interesting information regarding the
758 profiles co-registration obtained with the different techniques. As expected, the
759 overall adjustment between the different techniques decreased (higher RMSE
760 and lower R^2) when surface roughness increased. This fact could be due to that
761 errors in the X/Y direction have a greater effect on the deviation in Z in areas of
762 higher local slopes (i.e., rougher surfaces). The various degrees of adjustment
763 achieved between the different techniques was also remarkable. In this sense, a
764 greater adjustment (lower RMSE and higher R^2) was clearly seen between TLS
765 and SfM photogrammetry with respect to PRO. This fact seemed unexpected if

766 we consider that TLS and SfM photogrammetry are techniques based on
767 absolutely different technologies. However, it must be taken into account that the
768 methodology to extract the profiles from the point clouds was rather similar in
769 both cases. In this sense, the number of points used to calculate the height at
770 each point of the profile is a key element, being much more similar between TLS
771 and SfM photogrammetry than with PRO. At the same time, there was also a
772 slightly greater adjustment between PRO and SfM photogrammetry with respect
773 to TLS. These discrepancies in the adjustment were expected taking into account
774 the methodology used for point clouds and profiles co-registration. Both the
775 profiles obtained with PRO (the beginning and end points) and GCPs used in SfM
776 photogrammetry were referenced using a total station, while TLS point clouds
777 were co-registered (and referenced) with SfM photogrammetry point clouds using
778 the ICP algorithm. These methodological details could have impact in the results
779 obtained.

780 Regarding the roughness parameters values obtained with different techniques,
781 the slight differences for parameter s observed in the presented work are in
782 agreement with the harrowed and ploughed surfaces studied by Thomsen et al.
783 (2015). In this sense, for the harrowed field, they reported lower s values with
784 stereo-photogrammetry (-16%) than with TLS. Also, the only analysis comparing
785 laser profilometer with stereo-photogrammetry showed higher s values (~50%)
786 and l values (~20%) for the laser profilometer (Blaes and Defourny, 2008). On
787 the other hand, differences between roughness classes were clear with
788 parameter s , which confirmed the results of the different studies where s has been
789 proposed for distinguishing different roughness classes (Helming et al., 1993;
790 Magunda et al., 1997; Kamphorst et al., 2000; Vermang et al., 2013; Bauer et al.,

791 2015; Martínez-Agirre et al., 2016). For the horizontal parameter l , there is no
792 agreement in the literature. Some authors (Davidson et al., 2003; Baghdadi et al.,
793 2008) reported increasing values for l for increasing roughness conditions, while
794 others observed similar values in different roughness classes (Álvarez-Mozos et
795 al., 2005; Verhoest et al., 2008). This parameter has been found to be strongly
796 dependent on the scale of measurement with large values corresponding to larger
797 sampling intervals (Barber et al., 2016) and low-frequency roughness
798 components (Martínez-Agirre et al., 2017). For the rest of the parameters
799 analyzed, the general behavior with SfM photogrammetry and, to a lesser extent,
800 with TLS was the underestimation of the different parameters values when
801 compared to PRO.

802 In the multi-directional analysis, both techniques (TLS and SfM photogrammetry)
803 agreed in the directional behavior of the different roughness parameters
804 analyzed. Few analyses have evaluated the multi-directional behavior of
805 roughness parameters in agricultural soils (Blaes and Defourny, 2008; Snapir et
806 al., 2014), concluding that both s and especially l were conditioned by tillage
807 direction. In this analysis, this phenomenon is especially relevant for $\rho'(0)$, with
808 higher values in parallel to the tillage direction and lower values in directions near
809 to the perpendicular, and to a lesser extent for s and l in CH and HC roughness
810 classes. For these two parameters (especially for l), the highest values are
811 obtained in oblique to the tillage direction (15° - 75° or 105° - 175°); this seems
812 logical in the case of l since the distance between the tillage marks were greater
813 than in perpendicular (90°). Finally, it should be noted that MP roughness class
814 provided the most isotropic roughness, because the multiple moldboards broke
815 and turned over the soil providing a very rough surface in all directions. The other

816 classes were indeed smoother but produced some king of a slight furrow pattern.
817 This type of information is of great interest in radar remote sensing, since it has
818 been observed that, in agricultural soils, radar backscatter could be greatly
819 affected by the directionality of the soil roughness (Wegmueller et al., 2011;
820 Marzahn et al., 2012b).

821 Regarding the DEMs obtained with TLS and SfM photogrammetry, it could be
822 said that both techniques were valid to represent the surface roughness of the
823 typical agricultural soils. Despite this, some limitations must be taken into
824 account. On the one hand, the high accuracy and resolution of TLS were limited
825 by the data acquisition geometry (scans positions), thus generating shadowed
826 regions without data, especially in the roughest soils. On the other hand, despite
827 of the good geometry of the SfM photogrammetry acquisition (from a lifting
828 platform), the generated DEMs (and also the point clouds) showed a certain
829 smoothing concerning to other techniques, which was particularly apparent when
830 horizontal roughness parameters were calculated. As mentioned previously, the
831 limitations of TLS could be avoided with a nadiral geometry, and the limitations
832 of SfM photogrammetry with a shorter acquisition distance (and a greater
833 number) of photos.

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835

836 **Conclusions**

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838 The results obtained demonstrate the ability of both TLS and SfM
839 photogrammetry techniques to measure surface roughness over agricultural
840 soils. This is considered relevant since the experimental setting enabled a direct

841 comparison of profiles measured with different techniques, due to the precise co-
842 registration achieved. The agreement between the elevation profiles obtained
843 with TLS and SfM photogrammetry when compared to those obtained with a
844 nadir-looking profilometer was reasonable, and RMSE values were below 10 mm
845 for smooth and intermediate roughness conditions. Rough soils (MP) were more
846 challenging and RMSE values as high as 20 mm were obtained for this class. Yet
847 these differences were not that relevant when different roughness parameters
848 were computed. Parameter s , and to a lesser extent l , showed similar values
849 when measured with the different techniques. However, some other roughness
850 parameters, more sensitive to the spatial arrangement of height variations, such
851 as $\rho'(0)$, F or T_s , showed lower of high-frequency elevation information in profiles
852 obtained from TLS and especially in SfM photogrammetry data in comparison to
853 PRO. This smoothing effect seems to be inherent to the experimental setup in
854 the case of SfM photogrammetry surveys and related to shadowed zones in the
855 TLS data due to its oblique viewing geometry. The first could be improved with a
856 shorter acquisition distance (and a greater number of photos), and the latter could
857 be avoided if a nadir-looking observation were available. In the future, the viability
858 of a nadir-looking TLS setting and a better experimental setup of SfM
859 photogrammetry should be further explored. Altogether, both TLS and SfM
860 photogrammetry provide very powerful 3D information that enables a detailed
861 analysis of surface roughness directionality, which is relevant for applications
862 such as radar scattering or hydrology and soil erosion processes.

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866

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