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Optimized Strain Long-Period Fiber Grating (LPFG) Sensors Operating at the Dispersion Turning Point

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Abstract—Two phenomena for enhancing the sensitivity of longperiod fiber gratings are combined toward an increase of the sensitivity to strain of this type of devices: the dispersion turning point (DTP) and the cladding diameter reduction by an etching process. The results prove that sensitivities up to 20 pm/ $\mu\varepsilon$ can be attained, which is a ten-fold improvement compared to the previous works. The sensitivity in the grating region, which is subjected to etching, does not depend on the order of the cladding mode responsible for the attenuation bands generated in the transmission spectrum, but on the proximity to the DTP for each mode order. On the other hand, the sensitivity to strain of the global structure, including the region without etching, can be increased for lower order modes in a perceptible way if the length of the etched region is smaller compared to the fiber region under stress. The experimental results are supported with simulations based on coupled-mode theory and on FIMMWAVE, which allows understanding the phenomena involved during the sensing process.

Index Terms—Dispersion turning point, etching, long period fiber grating, strain sensor.

I. INTRODUCTION

ONG period fiber gratings (LPFGs) consist typically of a periodic perturbation in the core of a single mode optical fiber, which allows a co-propagating coupling of light from the guided mode in the fiber core to several modes guided in the cladding [1]–[3]. This provides LPFGs with sensitivity to strain, temperature, bending and refractive index of the surrounding medium [3]. In order to guarantee that a co-propagating coupling to the cladding modes occurs, the period must be typically higher than $100~\mu m$ (FBGs are generated with shorter periods and, hence, a counter-propagating coupling is generated).

During the last two decades, three main ways of enhancing the sensitivity of LPFGs to the surrounding refractive index (SRI)

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have been explored: the selection of an adequate period that allows the LPFG to operate at the dispersion turning point (DTP) [4], the deposition of a thin-film that allows the device operating in mode transition [5], [6] and the reduction of the cladding diameter [7], [8]. The combination of these three effects allows attaining sensitivities comparable or even higher than surface plasmon resonance sensors (SPRs) [9], [10], which positions LPFGs as one of the most promising platforms in the field of optical sensors.

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A high sensitivity to SRI is a good indicator for the ability of the sensor to be used as a chemical or a biological sensor, because the parameter to detect (e.g., an antigen, antibody, or any chemical species) is deposited on the surface of the sensor and, consequently, affects the medium surrounding the optical fiber [11]. However, few research has been devoted to the optimization of the strain sensitivity, which not necessarily follows the same rules as the SRI sensitivity.

In this work, focus is centered on the combination of two phenomena: the DTP and the cladding etching. By combining both effects, it will be demonstrated that, contrary to what happens with the sensitivity to SRI [8], coupling to lower order cladding modes does not allow attaining a better sensitivity. However, for each cladding mode, the sensitivity is improved when the DTP is approaching.

In addition, another way of improving the sensitivity is applying stress in a longer region than the one that has been etched. The sensitivity to strain is increased for lower order modes (i.e., shorter diameter) compared to higher order modes, and this improvement is better if the length of region under stress is much longer than the region that has been etched.

In Section II the experimental setup and the methods used for fabricating and simulating the LPFGs are described. In Section III the experimental results are detailed. Finally, some conclusions are presented in Section IV.

II. EXPERIMENTAL SECTION

LPFGs with a grating period of 191 μm and a length of 19 mm were written in a photosensitive boron-germanium codoped optical fiber (Fibercore PS1250/1500) having mode field diameter of 9.6 μm , numerical aperture of 0.13 and cladding diameter of 125 μm .

The LPFGs were fabricated with the point-by-point inscription technique by using an excimer KrF laser source (LAMBDA Physik COMPex 110, Coherent Inc.) operating at a wavelength of 248 nm, and working at constant pulse energy. The other

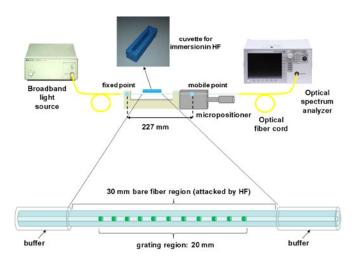


Fig. 1. Experimental setup used to etch the optical fiber containing an LPFG.

manufacturing parameters are as follows: energy of 140 mJ, fluence of 200–300 mJ/cm⁻², and a repetition rate of 50 Hz.

The laser beam was focused by using a cylindrical lens before being passed through a micrometric slit placed in front of the optical fiber, which determined the grating period. The fiber was kept straight during both the fabrication and the characterization processes to avoid any bending artifact.

The LPFGs were subjected to an etching process depicted in Fig. 1. The process was monitored with a transmission configuration setup. Light from an Agilent 83437A broadband source was launched into the LPFG during the etching process and the output light was monitored in an Agilent 86140B optical spectrum analyzer, which allowed observing the evolution of the attenuation bands and hence stopping at the adequate position.

Regarding the etching process, the fiber was introduced in a plastic cuvette filled with 40% hydrofluoric acid. The cuvette contains two 0.9-mm-wide grooves where the fiber segment to etch can be placed without affecting the rest of the fiber and without releasing acid out of the cuvette. The length of the fiber attacked by the acid was 30 mm, enough to include the 19 mm long grating portion. In order to guarantee an adequate etching, the fiber was also fixed to an outer plastic holder and to a mobile point in a micropositioner, which ensured that the fiber was kept straight, avoiding bend-induced distortion of the transmission spectrum. The region not immersed in the cuvette was 197 mm long, for a global length of the fiber under stress of 227 mm.

When the attenuation bands were positioned at a specific wavelength, the fiber was extracted from the cuvette and washed using water immediately after to eliminate the effects of acid.

The process can be repeated as many times as necessary in order to further reduce the cladding diameter, which allows obtaining attenuation bands corresponding with other cladding modes [8]. Moreover, if it is necessary to control the position of the attenuation bands with more accuracy, the LPFG can also be immersed in a more diluted HF solution.

After the etching process, it was essential to wait at least one hour to ensure that the LPFG was completely dry. Once dried, the LPFG was ready for performing strain measurements. To this purpose, as indicated in Fig. 1, the LPFG was manually stretched

in steps of 0.1 mm (the transmission spectrum of the sensor was continuously monitored during the stretching process).

It is important to highlight that the diameter of the fiber was just reduced in the region of the LPFG immersed in the cuvette. Consequently, the strain in the grating can be obtained according to this expression:

$$\varepsilon_1 = \frac{\frac{\Delta L}{A_1}}{\left(\frac{L_1}{A_1} + \frac{L_2}{A_2}\right)} \tag{1}$$

where ΔL is the overall length increase of the LPFG region under stress, L_1 and L_2 are the lengths of the etched and non-etched fiber portions respectively, A_1 is the cross section of the etched fiber portion and A_2 is the cross section of the non-etched fiber portion.

The structures studied in this work were analyzed with two software tools: FIMMWAVE and a method based on coupled-mode theory [13]. FIMMWAVE, which is a fast and efficient software for analyzing optical waveguides, was used for generating the transmission spectra, whereas the coupled-mode theory was used for obtaining the resonance wavelengths that meet the phase-matching condition (this last operation is less computationally demanding and cannot be directly obtained with FIMMWAVE).

Regarding FIMMWAVE, the propagation was calculated with FIMMPROP, a module integrated with FIMMWAVE. For LPFG sections, the finite difference method (FDM) was used, because it is the most accurate method available for a cylindrical waveguide.

The grating used in the simulations consisted of a square wave that emulates the point-by-point technique used during the inscription of the grating. The peak-to-peak modulation was 8×10^{-4} . Modes $LP_{0,1}$ up to $LP_{0,12}$ were analyzed for the period of the LPFGs used experimentally. $LP_{0,11}$ was the higher-order cladding mode to which the core mode is coupled when the cladding diameter is 125 μm , i.e., before the fiber was etched.

By fitting the experimental results presented in Section IV with the theoretical ones, a core diameter of 6.9 μ m and a numerical aperture of 0.1313 at wavelength of 1300 nm was calculated, very close to 0.13 numerical aperture given by the manufacturer. For a better accuracy, a negative dispersion of $1.1 \times 10^{-7} (\lambda - 1300)^2$ was added, where λ is the operating wavelength.

It is well known that the resonance wavelength of an LPFG is determined by the phase-matching condition [1]–[4]:

$$\lambda = \left[n_{\text{core}} \left(\lambda \right) - n_{\text{clad}}^{i} \left(\lambda \right) \right] \Lambda \tag{2}$$

where $n_{\rm core}(\lambda)$ is the effective refractive index of the propagating core mode at wavelength λ , $n_{\rm clad}^i(\lambda)$ is effective the refractive index of the ith cladding mode and Λ is the period of the grating.

However, the modified phase-matching condition is [12]:

$$\lambda = \left[n_{\text{core}} \left(\lambda \right) + \frac{s_0}{k_0} \varsigma_{\text{core}} - \left(n_{\text{clad}}^i \left(\lambda \right) + \frac{s_0}{k_0} \varsigma_{\text{clad}}^i \right) \right] \Lambda \quad (3)$$

where the additional variables s_0 , k_0 , ζ_{core} and ζ_{clad}^i are the 167 first Fourier component of the grating profile, the free space 168 wavenumber, the self-coupling coefficient of the core mode and 169 the self-coupling coefficient of the cladding mode, respectively, 170 allows improving the accuracy of the equation towards a predic-171 tion of the position of the attenuation bands in the transmission 172 spectrum [12]. Consequently, (3) will be used for the analysis performed in this section according to coupled-mode theory 174 175

Using the chain rule of derivatives, the sensitivity to axial strain ε can obtained from (3) as follows:

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$$\frac{d\lambda}{d\varepsilon} = \frac{d\lambda}{dmat} \frac{dmat}{d\varepsilon} + \Lambda \frac{d\lambda}{d\Lambda}$$
 (4)

where the first element on the right side of the equation is related 178 to the material contribution extracted from expression (3): 179

$$mat = \left[n_{\text{core}} \left(\lambda \right) + \frac{s_0}{k_0} \zeta_{\text{core}} - \left(n_{\text{clad}}^i \left(\lambda \right) + \frac{s_0}{k_0} \varsigma_{\text{clad}}^i \right) \right]$$
 (5)

This contribution results from the elasto-optic effect (i.e., the change in refractive index in both the fiber core and the cladding due to strain) and the Poisson's effect (i.e., the change in the transverse dimensions). The second element in expression (4) is the waveguide contribution, which depends on the slope $d\lambda/d\Lambda$ of the characteristic curve of the resonance band [3], [14].

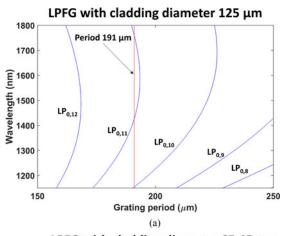
The elasto-optic coefficient of silica is a well know parameter, -0.22 [4], [15], whereas the elasto-optic coefficient of the fiber core is not given in the literature because it depends on the doping level of the materials used by the manufacturer. The value that best fitted the experimental results in Section IV was -0.222, which is in the range of the values explored in [4].

In order to analyze the effect of both the material and waveguide contributions in the optical fiber used in this work, two situations were taken into account: an LPFG without etching and an etched LPFG.

Fig. 2(a) shows the dependence of the coupling wavelength for cladding modes $LP_{0,2}-LP_{0,12}$ upon the period of the LPFGs used. For $LP_{0.11}$ the DTP was observable [4]. This phenomenon is related to a high sensitivity. Consequently, the best option was to choose a period of 191 μ m, which cuts the curve of LP_{0.11} cladding mode at two points very close to the DTP, where the sensitivity is very high.

It was also proved experimentally that, by reducing the cladding diameter of the optical fiber, coupling to lower order cladding modes in the DTP was possible [8]. This idea is confirmed in Fig. 2(b), where for an LPFG of cladding diameter 65.45 μ m a coupling to LP_{0,6} cladding mode at DTP is obtained.

In Fig. 3 the waveguide and the material contribution were analyzed with a focus on grating periods close to 191 μ m, the period selected for the LPFGs analyzed in Section IV. To this purpose, the coupling wavelength for cladding mode $LP_{0,11}$ was calculated in two conditions: one where the refractive index of both the fiber core and cladding was that corresponding to no strain applied to the LPFG, and another where the refractive index of both the fiber core and cladding was that corresponding to 3000 $\mu\varepsilon$ applied to the LPFG. The effect is more evident near the DTP. However, it is very small if compared with the



LPFG with cladding diameter 65.45 µm 1800 1700 Period 191 µm Wavelength (nm) 1500 1400 LP_{0,6} LP_{0.5} 1300 1200 150 200 250 Grating period (µm) (b)

Fig. 2. Calculated variation of resonance wavelength with grating period: (a) For a cladding diameter of 125 μ m (for a period of 191 μ m, the LP_{0.11} phase matching curve is intersected at two wavelengths very close to the dispersion turning point); (b) For a cladding diameter of 65.45 μ m (for a period of 191 μ m, the $LP_{0,6}$ phase matching curve is intersected at two wavelengths very close to the dispersion turning point).

effect of increasing the grating period by 0.3%, equivalent to 3000 $\mu\varepsilon$ in the LPFG (see the vertical lines of 191 μ m, 0 $\mu\varepsilon$, and 191.576 μ m, 3000 $\mu\varepsilon$). In Fig. 3(a), for an LPFG with cladding diameter 125 μ m, a wavelength shift of approximately 10 nm was observed (waveguide contribution) compared to less than 1 nm induced by the material contribution. In other words, the effect of the waveguide is predominant over the effect of the material. The same effect was observed for an LPFG with diameter 65.45 μ m in Fig. 3(b).

Another interesting conclusion that was extracted from the results obtained with two different diameters and shown in Fig. 3 was that the shape of the phase matching curve did not change. This indicates that the optimized sensitivity to strain is achieved for any mode as long as it operates at or close to the DTP point. Consequently, the basic rule for optimizing the sensitivity to strain should be to approach the DTP point. In addition to this, it is easy to observe in Fig. 3 the non-linear wavelength shift of the coupling wavelength as a function of the grating period in the proximities of the DTP.

IV. EXPERIMENTAL RESULTS

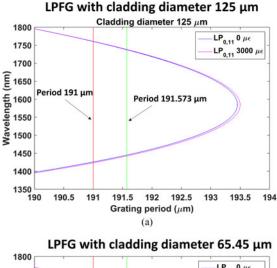
According to Sections II and III, different cladding diameters were explored (see Table I). The diameter was estimated by

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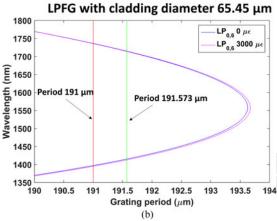


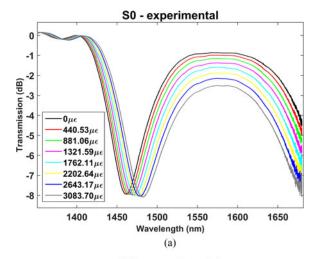
Fig. 3. Effect of the material in the variation of resonance wavelength with grating period (0 and 3000 $\mu\varepsilon$ are compared), and effect of the waveguide (a period of 191 μ m is compared with 191.576 μ m, which is a 0.3% more to represent the effect of 3000 $\mu\varepsilon$): (a) For a cladding diameter of 125 μ m. (b) For a cladding diameter of 65.45 μ m.

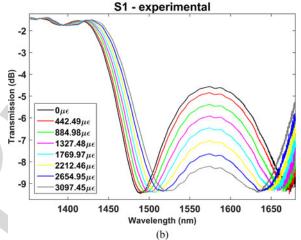
TABLE I LIST OF LPFG SENSORS OF PERIOD 191 $\mu\mathrm{M}$

Sensor	Mode order	Lambda (nm)	Diameter (µm)
S0	$\text{LP}_{0,11}$	1461	125
S1	$\mathrm{LP}_{0,11}$	1489	124.68
S2	$\mathrm{LP}_{0,11}$	1508	124.56
S3	$\mathrm{LP}_{0,10}$	1317	115.82
S4	$\mathrm{LP}_{0,10}$	1393	113.98
S5	$\mathrm{LP}_{0,10}$	1456	113.10
S6	$\mathrm{LP}_{0,9}$	1392	101.94
S7	$\mathrm{LP}_{0,9}$	1455	101.16
S8	$\mathrm{LP}_{0,8}$	1390	89.90
S9	$\mathrm{LP}_{0,6}$	1462	65.31

relating the position of the attenuation bands, after each etching process, with the same position obtained in the theoretical transmission spectra. It is important to note that a good correspondence between the estimation of the diameter and the experimental value of the diameter measured in a microscope was demonstrated in [16].

S0 was an LPFG without etching (diameter 125 μ m). The device was subjected to stress according to the method explained in Section II. In Fig. 4(a), the separation between the two





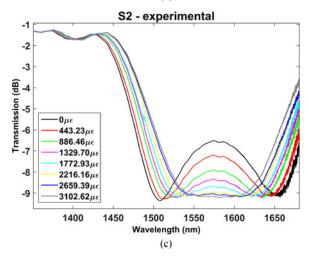


Fig. 4. Transmission spectra (experimental results) for: (a) S0; (b) S1; (c) S2.

attenuation bands observed in the optical spectrum is reduced as a function of strain. This agrees with Fig. 3(a), where an increase in the grating period leads to an approach to the DTP.

By performing a soft etching, we fabricated S1 with an estimated diameter of 124.68 μ m, according to the numerical results presented in Fig. 5. Following the analysis in [8], [9], the separation of the attenuation bands decreases if the diameter of an LPFG is reduced. This is what was observed in Fig. 4(b) if

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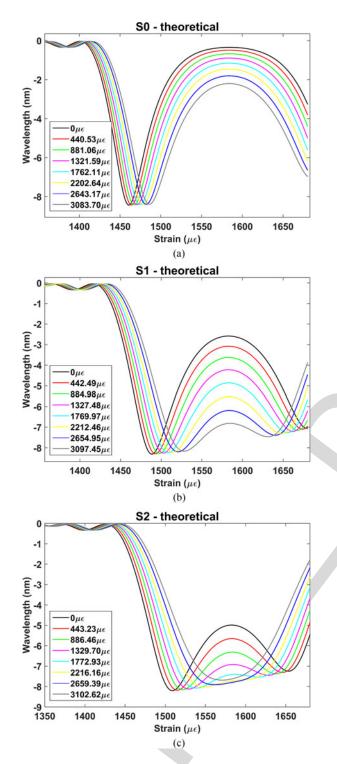


Fig. 5. Transmission spectra (theoretical results) for: (a) S0; (b) S1; (c) S2.

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compared with Fig. 4(a). The same occurred for sensor S2 in Fig. 4(c), with an estimated diameter of 124.56 μ m. The theoretical results in Fig. 5 confirmed the experimental results of Fig. 4.

In addition, the central wavelength of the left band is plotted in Fig. 6 as a function of strain for all sensors analyzed in Figs. 4 and 5. The theoretical and experimental results allowed obtaining several conclusions. The sensitivity increases

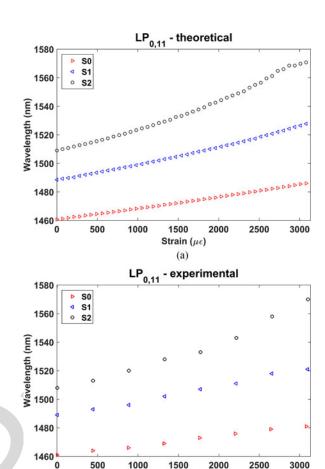


Fig. 6. Wavelength shift of $LP_{0,11}$ left band as a function of strain for sensors S0, S1 and S2: (a) theoretical results; (b) experimental results.

Strain ($\mu\epsilon$)

if the diameter is reduced (the sensitivity of S0, S1 and S2 is 6.5 pm/ $\mu\varepsilon$, 10.3 pm/ $\mu\varepsilon$ and 20 pm/ $\mu\varepsilon$, respectively, in the range 0–3100 $\mu\varepsilon$). For the sake of comparison, the sensitivity in optimized LPFGs ranged from 0.5 to 2 pm/ $\mu\varepsilon$ in [17], whereas in [18] the maximum sensitivity was 2 pm/ $\mu\varepsilon$. This indicates that our best sensor improved the highest sensitivity attained in these works by one order of magnitude.

A second conclusion is that if the separation between the attenuation bands is low, as S2 in Fig. 5, the relation between wavelength and strain is non-linear, whereas this relation is linear for sensor S0. Sensor S1 is in the middle between both situations. This agrees with what was observed in Fig. 3, where the phase matching curve is non-linear in the proximities of the DTP.

A harder etching was performed up to a diameter that allowed monitoring attenuation bands that were due to coupling to $LP_{0,10}$ cladding mode. Three different diameters were analyzed: 115.82, 113.98 and 113.10 μ m (sensors S3, S4 and S5).

Theoretical and experimental data are presented in Fig. 7 for the central wavelength of the left band versus different values of strain. In all cases, the relation between strain and wavelength was linear. This can be explained because this time focused was centered on sensors working far from DTP: sensor S5 was working at the same wavelength as S0 in Fig. 6, where a

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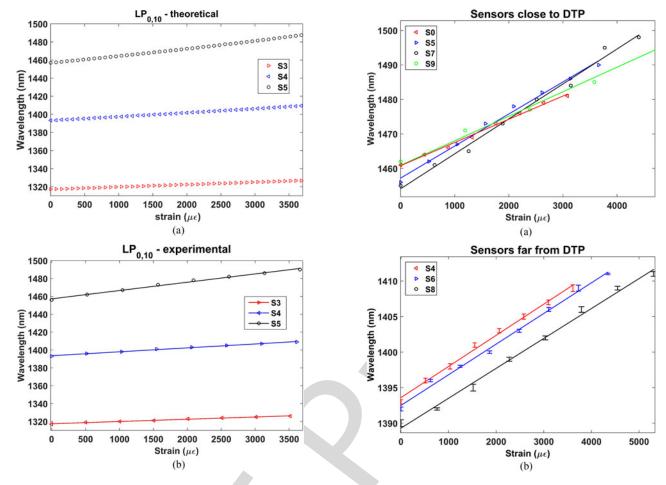


Fig. 7. Wavelength shift of $LP_{0,10}$ left band as a function of strain for sensors S3, S4 and S5: (a) theoretical results; (b) experimental results.

linear performance was observed, and S3 and S4 were working at shorter wavelengths. In view of the linear shape of the plots, a Matlab linear regression model has been created (solid lines in Fig. 7) that fits with the experimental points. This model also allows obtaining the root-mean-square deviation along with the sensitivities for S3, S4 and S5. The sensitivities are 2.5 pm/ $\mu\varepsilon$, 4.4 pm/ $\mu\varepsilon$ and 9.3 pm/ $\mu\varepsilon$, respectively, in the range 0–3500 $\mu\varepsilon$, whereas the root-mean-square deviations (RMSD) are respectively 0.348 nm, 0.432 nm and 1.05 nm. The highest RMSD is obtained for S5, the sensor with the highest sensitivity and the sensor closer to DTP, where a non-linear dependence with strain is observed. In addition, as shown in Fig. 6, the sensitivity increases as the diameter is reduced.

In order to obtain more information on the influence of the mode order, other sensors with different diameters (S6, S7, S8 and S9) were analyzed. In Fig. 8, the performance of all sensors was compared. We divided them into two groups. Fig. 8(a) details the sensors working at a wavelength close to DTP. It seems that the sensitivity is similar. However, it is difficult to extract a general rule because the high sensitivity at this point is responsible for variations in the sensitivity of each sensor depending on small changes in the wavelength where it operates. On the other hand, Fig. 8(b) accounts for sensors operating far from the DTP, where the devices are not so sensitive to

Fig. 8. Performance of LPFG sensors with different diameter: (a) S0, S5, S7 and S9 are close to DTP and the attenuation band is due to coupling to $\rm LP_{0,11}, \rm LP_{0,10}, \rm LP_{0,9}$ and $\rm LP_{0,6}$ respectively; (b) S4, S6 and S8 are far from DTP and the attenuation band is due to coupling to $\rm LP_{0,10}, \rm LP_{0,9}$ and $\rm LP_{0,8}$ respectively.

small variations in the operating wavelength and it is easier to conclude that their sensitivity is quite similar. This indicates that the mode order played no role on the sensitivity to strain of the device. In other words, for each specific mode, the proximity to the DTP determines the sensitivity of the device, whereas the mode order has no influence on that. In this way, sensors can be classified into two groups: operating at and far from DTP point. Consequently, a soft etching to position the attenuation bands in the optical spectrum is the best way for controlling the sensitivity of the LPFG-based strain sensor.

It must be pointed out that the results presented in Figs. 4–8 represent the strain in the grating region of the LPFG. However, if the deformation in all the fiber structure is analyzed (see Fig. 1), the sensitivity of the device is better for lower order modes (i.e., lower diameter). Moreover, depending on the ratio between the grating length and the complete LPFG region length under stress, the sensitivity can be further improved. In this sense, this ratio should be as low as possible. In the cases analyzed in this work, if the strain on the global structure is considered, each 0.1 mm deformation in a structure of 227 mm is 0.44 $\mu\varepsilon$. Consequently, the 4 pm/ $\mu\varepsilon$ sensitivity of S4, S6 and S8 in Fig. 8(b) becomes 5.19 pm/ $\mu\varepsilon$, 6.17 pm/ $\mu\varepsilon$ and 6.82 pm/ $\mu\varepsilon$,

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respectively, for the same sensors. These values are calculated by dividing the wavelength shift by 0.44 $\mu\varepsilon$ multiplied by the seven 0.1 mm deformation steps analyzed in Fig. 8(b).

The RMSD of S4, S6 and S8 was also calculated: 0.432, 0.506 and 0.642 nm respectively. These values indicate no significant changes because the three sensors are positioned at a similar distance of the DTP. In addition, error bars representing the standard deviation in each point have been added for S4, S6 and S8 (the average standard deviation of the points in S4, S6 and S8 was 0.351, 0.347 and 0.332 nm respectively).

V. CONCLUSION

The sensitivity to strain of long period fiber gratings (LPFGs) operating close to the dispersion turning point (DTP) has been analyzed as a function of the cladding diameter.

The results obtained indicate that by accurately approaching the DTP it is possible to increase the sensitivity to strain of the device. Therefore, the sensitivity of previous works has been improved by a factor of 10, attaining a sensitivity of 20 pm/ $\mu\varepsilon$ in the best case.

On the other hand, unlike for LPFG-based refractometers, reducing the fiber diameter towards coupling to lower order cladding mode does not allow increasing the sensitivity of the etched region of the fiber. This indicates that a soft etching of the initial structure without etching towards a highest sensitivity is the best way of improving the performance of the device. However, if the etched region is small compared to the global region under stress, it is possible to improve the sensitivity of the global structure for lower order cladding modes, which opens the path towards the design of different combinations of length for both the etched and the non-etched regions. In this sense, the fabrication of short gratings is crucial towards the possibility to develop different designs.

The other well-known phenomenon that could be combined with the dispersion turning point and the cladding diameter reduction is the mode transition, which according to [9], [10] allows increasing the sensitivity to the surrounding refractive index changes of LPFGs exponentially. However, this requires the nanodeposition of a thin-film and, consequently, the number of variables to take into account increases in a great manner, which is beyond the scope of this work.

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Optimized Strain Long-Period Fiber Grating (LPFG) Sensors Operating at the Dispersion Turning Point

Ignacio Del Villar, Omar Fuentes, Francesco Chiavaioli, *Member, IEEE*, Jesus M. Corres, *Member, IEEE*, and Ignacio R. Matias, *Senior Member, IEEE*

Abstract—Two phenomena for enhancing the sensitivity of longperiod fiber gratings are combined toward an increase of the sensitivity to strain of this type of devices: the dispersion turning point (DTP) and the cladding diameter reduction by an etching process. The results prove that sensitivities up to 20 pm/ $\mu\varepsilon$ can be attained, which is a ten-fold improvement compared to the previous works. The sensitivity in the grating region, which is subjected to etching, does not depend on the order of the cladding mode responsible for the attenuation bands generated in the transmission spectrum, but on the proximity to the DTP for each mode order. On the other hand, the sensitivity to strain of the global structure, including the region without etching, can be increased for lower order modes in a perceptible way if the length of the etched region is smaller compared to the fiber region under stress. The experimental results are supported with simulations based on coupled-mode theory and on FIMMWAVE, which allows understanding the phenomena involved during the sensing process.

Index Terms—Dispersion turning point, etching, long period fiber grating, strain sensor.

I. Introduction

ONG period fiber gratings (LPFGs) consist typically of a periodic perturbation in the core of a single mode optical fiber, which allows a co-propagating coupling of light from the guided mode in the fiber core to several modes guided in the cladding [1]–[3]. This provides LPFGs with sensitivity to strain, temperature, bending and refractive index of the surrounding medium [3]. In order to guarantee that a co-propagating coupling to the cladding modes occurs, the period must be typically higher than $100~\mu m$ (FBGs are generated with shorter periods and, hence, a counter-propagating coupling is generated).

During the last two decades, three main ways of enhancing the sensitivity of LPFGs to the surrounding refractive index (SRI)

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have been explored: the selection of an adequate period that allows the LPFG to operate at the dispersion turning point (DTP) [4], the deposition of a thin-film that allows the device operating in mode transition [5], [6] and the reduction of the cladding diameter [7], [8]. The combination of these three effects allows attaining sensitivities comparable or even higher than surface plasmon resonance sensors (SPRs) [9], [10], which positions LPFGs as one of the most promising platforms in the field of optical sensors.

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A high sensitivity to SRI is a good indicator for the ability of the sensor to be used as a chemical or a biological sensor, because the parameter to detect (e.g., an antigen, antibody, or any chemical species) is deposited on the surface of the sensor and, consequently, affects the medium surrounding the optical fiber [11]. However, few research has been devoted to the optimization of the strain sensitivity, which not necessarily follows the same rules as the SRI sensitivity.

In this work, focus is centered on the combination of two phenomena: the DTP and the cladding etching. By combining both effects, it will be demonstrated that, contrary to what happens with the sensitivity to SRI [8], coupling to lower order cladding modes does not allow attaining a better sensitivity. However, for each cladding mode, the sensitivity is improved when the DTP is approaching.

In addition, another way of improving the sensitivity is applying stress in a longer region than the one that has been etched. The sensitivity to strain is increased for lower order modes (i.e., shorter diameter) compared to higher order modes, and this improvement is better if the length of region under stress is much longer than the region that has been etched.

In Section II the experimental setup and the methods used for fabricating and simulating the LPFGs are described. In Section III the experimental results are detailed. Finally, some conclusions are presented in Section IV.

II. EXPERIMENTAL SECTION

LPFGs with a grating period of 191 μm and a length of 19 mm were written in a photosensitive boron-germanium codoped optical fiber (Fibercore PS1250/1500) having mode field diameter of 9.6 μm , numerical aperture of 0.13 and cladding diameter of 125 μm .

The LPFGs were fabricated with the point-by-point inscription technique by using an excimer KrF laser source (LAMBDA Physik COMPex 110, Coherent Inc.) operating at a wavelength of 248 nm, and working at constant pulse energy. The other

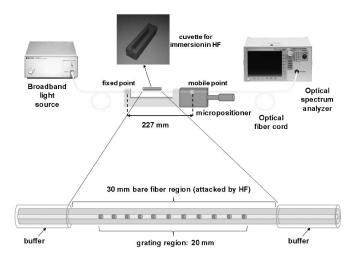


Fig. 1. Experimental setup used to etch the optical fiber containing an LPFG.

manufacturing parameters are as follows: energy of 140 mJ, fluence of 200–300 mJ/cm⁻², and a repetition rate of 50 Hz.

The laser beam was focused by using a cylindrical lens before being passed through a micrometric slit placed in front of the optical fiber, which determined the grating period. The fiber was kept straight during both the fabrication and the characterization processes to avoid any bending artifact.

The LPFGs were subjected to an etching process depicted in Fig. 1. The process was monitored with a transmission configuration setup. Light from an Agilent 83437A broadband source was launched into the LPFG during the etching process and the output light was monitored in an Agilent 86140B optical spectrum analyzer, which allowed observing the evolution of the attenuation bands and hence stopping at the adequate position.

Regarding the etching process, the fiber was introduced in a plastic cuvette filled with 40% hydrofluoric acid. The cuvette contains two 0.9-mm-wide grooves where the fiber segment to etch can be placed without affecting the rest of the fiber and without releasing acid out of the cuvette. The length of the fiber attacked by the acid was 30 mm, enough to include the 19 mm long grating portion. In order to guarantee an adequate etching, the fiber was also fixed to an outer plastic holder and to a mobile point in a micropositioner, which ensured that the fiber was kept straight, avoiding bend-induced distortion of the transmission spectrum. The region not immersed in the cuvette was 197 mm long, for a global length of the fiber under stress of 227 mm.

When the attenuation bands were positioned at a specific wavelength, the fiber was extracted from the cuvette and washed using water immediately after to eliminate the effects of acid.

The process can be repeated as many times as necessary in order to further reduce the cladding diameter, which allows obtaining attenuation bands corresponding with other cladding modes [8]. Moreover, if it is necessary to control the position of the attenuation bands with more accuracy, the LPFG can also be immersed in a more diluted HF solution.

After the etching process, it was essential to wait at least one hour to ensure that the LPFG was completely dry. Once dried, the LPFG was ready for performing strain measurements. To this purpose, as indicated in Fig. 1, the LPFG was manually stretched

in steps of 0.1 mm (the transmission spectrum of the sensor was continuously monitored during the stretching process).

It is important to highlight that the diameter of the fiber was just reduced in the region of the LPFG immersed in the cuvette. Consequently, the strain in the grating can be obtained according to this expression:

$$\varepsilon_1 = \frac{\frac{\Delta L}{A_1}}{\left(\frac{L_1}{A_1} + \frac{L_2}{A_2}\right)} \tag{1}$$

where ΔL is the overall length increase of the LPFG region under stress, L_1 and L_2 are the lengths of the etched and non-etched fiber portions respectively, A_1 is the cross section of the etched fiber portion and A_2 is the cross section of the non-etched fiber portion.

The structures studied in this work were analyzed with two software tools: FIMMWAVE and a method based on coupled-mode theory [13]. FIMMWAVE, which is a fast and efficient software for analyzing optical waveguides, was used for generating the transmission spectra, whereas the coupled-mode theory was used for obtaining the resonance wavelengths that meet the phase-matching condition (this last operation is less computationally demanding and cannot be directly obtained with FIMMWAVE).

Regarding FIMMWAVE, the propagation was calculated with FIMMPROP, a module integrated with FIMMWAVE. For LPFG sections, the finite difference method (FDM) was used, because it is the most accurate method available for a cylindrical waveguide.

The grating used in the simulations consisted of a square wave that emulates the point-by-point technique used during the inscription of the grating. The peak-to-peak modulation was 8×10^{-4} . Modes $LP_{0,1}$ up to $LP_{0,12}$ were analyzed for the period of the LPFGs used experimentally. $LP_{0,11}$ was the higher-order cladding mode to which the core mode is coupled when the cladding diameter is 125 μm , i.e., before the fiber was etched.

By fitting the experimental results presented in Section IV with the theoretical ones, a core diameter of 6.9 μ m and a numerical aperture of 0.1313 at wavelength of 1300 nm was calculated, very close to 0.13 numerical aperture given by the manufacturer. For a better accuracy, a negative dispersion of $1.1 \times 10^{-7} (\lambda - 1300)^2$ was added, where λ is the operating wavelength.

It is well known that the resonance wavelength of an LPFG is determined by the phase-matching condition [1]–[4]:

$$\lambda = \left[n_{\text{core}} \left(\lambda \right) - n_{\text{clad}}^{i} \left(\lambda \right) \right] \Lambda \tag{2}$$

where $n_{\rm core}(\lambda)$ is the effective refractive index of the propagating core mode at wavelength λ , $n_{\rm clad}^i(\lambda)$ is effective the refractive index of the ith cladding mode and Λ is the period of the grating.

However, the modified phase-matching condition is [12]:

$$\lambda = \left[n_{\text{core}} \left(\lambda \right) + \frac{s_0}{k_0} \varsigma_{\text{core}} - \left(n_{\text{clad}}^i \left(\lambda \right) + \frac{s_0}{k_0} \varsigma_{\text{clad}}^i \right) \right] \Lambda \quad (3)$$

where the additional variables s_0 , k_0 , ζ_{core} and ζ_{clad}^i are the first Fourier component of the grating profile, the free space wavenumber, the self-coupling coefficient of the core mode and the self-coupling coefficient of the cladding mode, respectively, allows improving the accuracy of the equation towards a predic-tion of the position of the attenuation bands in the transmission spectrum [12]. Consequently, (3) will be used for the analysis performed in this section according to coupled-mode theory

Using the chain rule of derivatives, the sensitivity to axial strain ε can obtained from (3) as follows:

$$\frac{d\lambda}{d\varepsilon} = \frac{d\lambda}{dmat} \frac{dmat}{d\varepsilon} + \Lambda \frac{d\lambda}{d\Lambda}$$
 (4)

where the first element on the right side of the equation is related to the material contribution extracted from expression (3):

$$mat = \left[n_{\text{core}} \left(\lambda \right) + \frac{s_0}{k_0} \zeta_{\text{core}} - \left(n_{\text{clad}}^i \left(\lambda \right) + \frac{s_0}{k_0} \varsigma_{\text{clad}}^i \right) \right]$$
 (5)

This contribution results from the elasto-optic effect (i.e., the change in refractive index in both the fiber core and the cladding due to strain) and the Poisson's effect (i.e., the change in the transverse dimensions). The second element in expression (4) is the waveguide contribution, which depends on the slope $d\lambda/d\Lambda$ of the characteristic curve of the resonance band [3], [14].

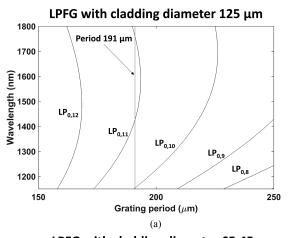
The elasto-optic coefficient of silica is a well know parameter, -0.22 [4], [15], whereas the elasto-optic coefficient of the fiber core is not given in the literature because it depends on the doping level of the materials used by the manufacturer. The value that best fitted the experimental results in Section IV was -0.222, which is in the range of the values explored in [4].

In order to analyze the effect of both the material and waveguide contributions in the optical fiber used in this work, two situations were taken into account: an LPFG without etching and an etched LPFG.

Fig. 2(a) shows the dependence of the coupling wavelength for cladding modes $LP_{0,2}-LP_{0,12}$ upon the period of the LPFGs used. For $LP_{0,11}$ the DTP was observable [4]. This phenomenon is related to a high sensitivity. Consequently, the best option was to choose a period of 191 μ m, which cuts the curve of $LP_{0,11}$ cladding mode at two points very close to the DTP, where the sensitivity is very high.

It was also proved experimentally that, by reducing the cladding diameter of the optical fiber, coupling to lower order cladding modes in the DTP was possible [8]. This idea is confirmed in Fig. 2(b), where for an LPFG of cladding diameter 65.45 μm a coupling to $LP_{0,6}$ cladding mode at DTP is obtained.

In Fig. 3 the waveguide and the material contribution were analyzed with a focus on grating periods close to 191 μ m, the period selected for the LPFGs analyzed in Section IV. To this purpose, the coupling wavelength for cladding mode LP_{0,11} was calculated in two conditions: one where the refractive index of both the fiber core and cladding was that corresponding to no strain applied to the LPFG, and another where the refractive index of both the fiber core and cladding was that corresponding to 3000 $\mu\varepsilon$ applied to the LPFG. The effect is more evident near the DTP. However, it is very small if compared with the



LPFG with cladding diameter 65.45 μm 1800 1700 Period 191 μm LP_{0,5} LP_{0,5} 1300 1200 150 200 Grating period (μm)

Fig. 2. Calculated variation of resonance wavelength with grating period: (a) For a cladding diameter of 125 μ m (for a period of 191 μ m, the LP_{0,11} phase matching curve is intersected at two wavelengths very close to the dispersion turning point); (b) For a cladding diameter of 65.45 μ m (for a period of 191 μ m, the LP_{0,6} phase matching curve is intersected at two wavelengths very close to the dispersion turning point).

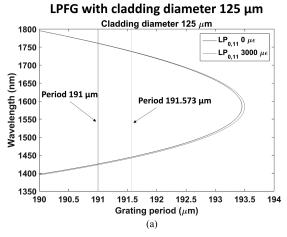
(b)

effect of increasing the grating period by 0.3%, equivalent to 3000 $\mu\varepsilon$ in the LPFG (see the vertical lines of 191 μ m, 0 $\mu\varepsilon$, and 191.576 μ m, 3000 $\mu\varepsilon$). In Fig. 3(a), for an LPFG with cladding diameter 125 μ m, a wavelength shift of approximately 10 nm was observed (waveguide contribution) compared to less than 1 nm induced by the material contribution. In other words, the effect of the waveguide is predominant over the effect of the material. The same effect was observed for an LPFG with diameter 65.45 μ m in Fig. 3(b).

Another interesting conclusion that was extracted from the results obtained with two different diameters and shown in Fig. 3 was that the shape of the phase matching curve did not change. This indicates that the optimized sensitivity to strain is achieved for any mode as long as it operates at or close to the DTP point. Consequently, the basic rule for optimizing the sensitivity to strain should be to approach the DTP point. In addition to this, it is easy to observe in Fig. 3 the non-linear wavelength shift of the coupling wavelength as a function of the grating period in the proximities of the DTP.

IV. EXPERIMENTAL RESULTS

According to Sections II and III, different cladding diameters were explored (see Table I). The diameter was estimated by



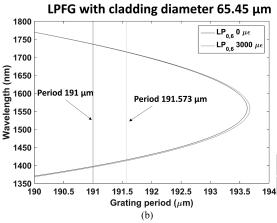


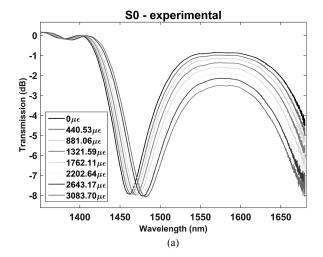
Fig. 3. Effect of the material in the variation of resonance wavelength with grating period (0 and 3000 $\mu\varepsilon$ are compared), and effect of the waveguide (a period of 191 μ m is compared with 191.576 μ m, which is a 0.3% more to represent the effect of 3000 $\mu\varepsilon$): (a) For a cladding diameter of 125 μ m. (b) For a cladding diameter of 65.45 μ m.

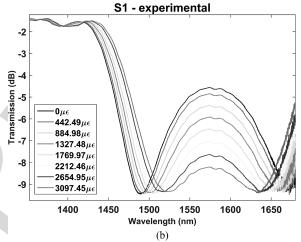
TABLE I LIST OF LPFG SENSORS OF PERIOD 191 $\mu\mathrm{M}$

Sensor	Mode order	Lambda (nm)	Diameter (µm)
S0	LP _{0.11}	1461	125
S1	${ m LP}_{0,11}$	1489	124.68
S2	$\mathrm{LP}_{0,11}$	1508	124.56
S3	$\text{LP}_{0,10}$	1317	115.82
S4	$\mathrm{LP}_{0,10}$	1393	113.98
S5	$\mathrm{LP}_{0,10}$	1456	113.10
S6	$\mathrm{LP}_{0,9}$	1392	101.94
S7	$\mathrm{LP}_{0,9}$	1455	101.16
S8	$\mathrm{LP}_{0,8}$	1390	89.90
S9	$\mathrm{LP}_{0,6}$	1462	65.31

relating the position of the attenuation bands, after each etching process, with the same position obtained in the theoretical transmission spectra. It is important to note that a good correspondence between the estimation of the diameter and the experimental value of the diameter measured in a microscope was demonstrated in [16].

S0 was an LPFG without etching (diameter 125 μ m). The device was subjected to stress according to the method explained in Section II. In Fig. 4(a), the separation between the two





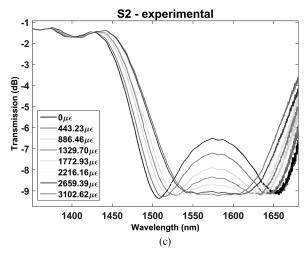


Fig. 4. Transmission spectra (experimental results) for: (a) S0; (b) S1; (c) S2.

attenuation bands observed in the optical spectrum is reduced as a function of strain. This agrees with Fig. 3(a), where an increase in the grating period leads to an approach to the DTP.

By performing a soft etching, we fabricated S1 with an estimated diameter of 124.68 μ m, according to the numerical results presented in Fig. 5. Following the analysis in [8], [9], the separation of the attenuation bands decreases if the diameter of 256 an LPFG is reduced. This is what was observed in Fig. 4(b) if 257

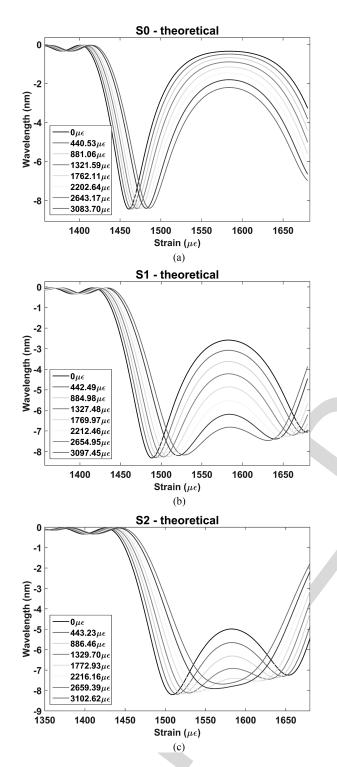
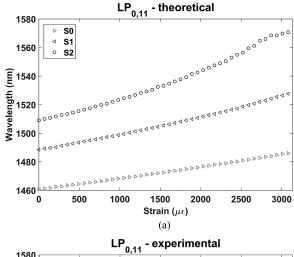


Fig. 5. Transmission spectra (theoretical results) for: (a) S0; (b) S1; (c) S2.

compared with Fig. 4(a). The same occurred for sensor S2 in Fig. 4(c), with an estimated diameter of 124.56 μ m. The theoretical results in Fig. 5 confirmed the experimental results of Fig. 4.

In addition, the central wavelength of the left band is plotted in Fig. 6 as a function of strain for all sensors analyzed in Figs. 4 and 5. The theoretical and experimental results allowed obtaining several conclusions. The sensitivity increases



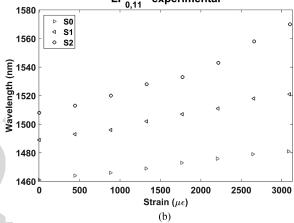


Fig. 6. Wavelength shift of $\mathrm{LP}_{0,11}$ left band as a function of strain for sensors S0, S1 and S2: (a) theoretical results; (b) experimental results.

if the diameter is reduced (the sensitivity of S0, S1 and S2 is 6.5 pm/ $\mu\varepsilon$, 10.3 pm/ $\mu\varepsilon$ and 20 pm/ $\mu\varepsilon$, respectively, in the range 0–3100 $\mu\varepsilon$). For the sake of comparison, the sensitivity in optimized LPFGs ranged from 0.5 to 2 pm/ $\mu\varepsilon$ in [17], whereas in [18] the maximum sensitivity was 2 pm/ $\mu\varepsilon$. This indicates that our best sensor improved the highest sensitivity attained in these works by one order of magnitude.

A second conclusion is that if the separation between the attenuation bands is low, as S2 in Fig. 5, the relation between wavelength and strain is non-linear, whereas this relation is linear for sensor S0. Sensor S1 is in the middle between both situations. This agrees with what was observed in Fig. 3, where the phase matching curve is non-linear in the proximities of the DTP.

A harder etching was performed up to a diameter that allowed monitoring attenuation bands that were due to coupling to $LP_{0,10}$ cladding mode. Three different diameters were analyzed: 115.82, 113.98 and 113.10 μ m (sensors S3, S4 and S5).

Theoretical and experimental data are presented in Fig. 7 for the central wavelength of the left band versus different values of strain. In all cases, the relation between strain and wavelength was linear. This can be explained because this time focused was centered on sensors working far from DTP: sensor S5 was working at the same wavelength as S0 in Fig. 6, where a

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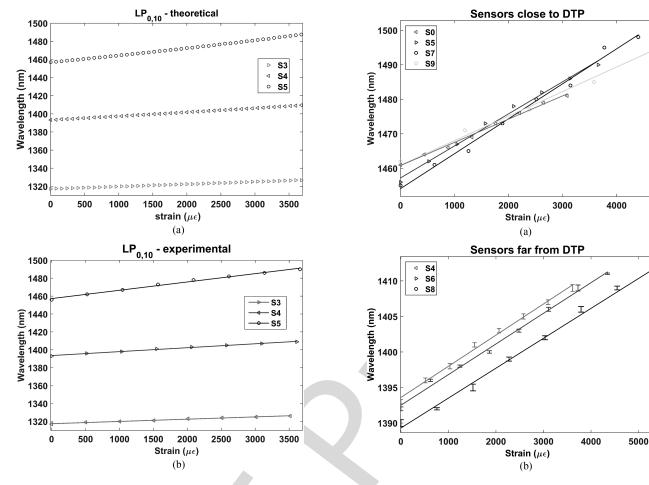


Fig. 7. Wavelength shift of $LP_{0,10}$ left band as a function of strain for sensors S3, S4 and S5: (a) theoretical results; (b) experimental results.

linear performance was observed, and S3 and S4 were working at shorter wavelengths. In view of the linear shape of the plots, a Matlab linear regression model has been created (solid lines in Fig. 7) that fits with the experimental points. This model also allows obtaining the root-mean-square deviation along with the sensitivities for S3, S4 and S5. The sensitivities are 2.5 pm/ $\mu\varepsilon$, 4.4 pm/ $\mu\varepsilon$ and 9.3 pm/ $\mu\varepsilon$, respectively, in the range 0–3500 $\mu\varepsilon$, whereas the root-mean-square deviations (RMSD) are respectively 0.348 nm, 0.432 nm and 1.05 nm. The highest RMSD is obtained for S5, the sensor with the highest sensitivity and the sensor closer to DTP, where a non-linear dependence with strain is observed. In addition, as shown in Fig. 6, the sensitivity increases as the diameter is reduced.

In order to obtain more information on the influence of the mode order, other sensors with different diameters (S6, S7, S8 and S9) were analyzed. In Fig. 8, the performance of all sensors was compared. We divided them into two groups. Fig. 8(a) details the sensors working at a wavelength close to DTP. It seems that the sensitivity is similar. However, it is difficult to extract a general rule because the high sensitivity at this point is responsible for variations in the sensitivity of each sensor depending on small changes in the wavelength where it operates. On the other hand, Fig. 8(b) accounts for sensors operating far from the DTP, where the devices are not so sensitive to

Fig. 8. Performance of LPFG sensors with different diameter: (a) S0, S5, S7 and S9 are close to DTP and the attenuation band is due to coupling to $\rm LP_{0,11}, \rm LP_{0,10}, \rm LP_{0,9}$ and $\rm LP_{0,6}$ respectively; (b) S4, S6 and S8 are far from DTP and the attenuation band is due to coupling to $\rm LP_{0,10}, \rm LP_{0,9}$ and $\rm LP_{0,8}$ respectively.

small variations in the operating wavelength and it is easier to conclude that their sensitivity is quite similar. This indicates that the mode order played no role on the sensitivity to strain of the device. In other words, for each specific mode, the proximity to the DTP determines the sensitivity of the device, whereas the mode order has no influence on that. In this way, sensors can be classified into two groups: operating at and far from DTP point. Consequently, a soft etching to position the attenuation bands in the optical spectrum is the best way for controlling the sensitivity of the LPFG-based strain sensor.

It must be pointed out that the results presented in Figs. 4–8 represent the strain in the grating region of the LPFG. However, if the deformation in all the fiber structure is analyzed (see Fig. 1), the sensitivity of the device is better for lower order modes (i.e., lower diameter). Moreover, depending on the ratio between the grating length and the complete LPFG region length under stress, the sensitivity can be further improved. In this sense, this ratio should be as low as possible. In the cases analyzed in this work, if the strain on the global structure is considered, each 0.1 mm deformation in a structure of 227 mm is 0.44 $\mu\varepsilon$. Consequently, the 4 pm/ $\mu\varepsilon$ sensitivity of S4, S6 and S8 in Fig. 8(b) becomes 5.19 pm/ $\mu\varepsilon$, 6.17 pm/ $\mu\varepsilon$ and 6.82 pm/ $\mu\varepsilon$,

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respectively, for the same sensors. These values are calculated by dividing the wavelength shift by 0.44 $\mu\varepsilon$ multiplied by the seven 0.1 mm deformation steps analyzed in Fig. 8(b).

The RMSD of S4, S6 and S8 was also calculated: 0.432, 0.506 and 0.642 nm respectively. These values indicate no significant changes because the three sensors are positioned at a similar distance of the DTP. In addition, error bars representing the standard deviation in each point have been added for S4, S6 and S8 (the average standard deviation of the points in S4, S6 and S8 was 0.351, 0.347 and 0.332 nm respectively).

V. CONCLUSION

The sensitivity to strain of long period fiber gratings (LPFGs) operating close to the dispersion turning point (DTP) has been analyzed as a function of the cladding diameter.

The results obtained indicate that by accurately approaching the DTP it is possible to increase the sensitivity to strain of the device. Therefore, the sensitivity of previous works has been improved by a factor of 10, attaining a sensitivity of 20 pm/ $\mu\varepsilon$ in the best case.

On the other hand, unlike for LPFG-based refractometers, reducing the fiber diameter towards coupling to lower order cladding mode does not allow increasing the sensitivity of the etched region of the fiber. This indicates that a soft etching of the initial structure without etching towards a highest sensitivity is the best way of improving the performance of the device. However, if the etched region is small compared to the global region under stress, it is possible to improve the sensitivity of the global structure for lower order cladding modes, which opens the path towards the design of different combinations of length for both the etched and the non-etched regions. In this sense, the fabrication of short gratings is crucial towards the possibility to develop different designs.

The other well-known phenomenon that could be combined with the dispersion turning point and the cladding diameter reduction is the mode transition, which according to [9], [10] allows increasing the sensitivity to the surrounding refractive index changes of LPFGs exponentially. However, this requires the nanodeposition of a thin-film and, consequently, the number of variables to take into account increases in a great manner, which is beyond the scope of this work.

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8 JOURNAL OF LIGHTWAVE TECHNOLOGY

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