



# Article Mechanical Performance of AlCrSiN and AlTiSiN Coatings on Inconel and Steel Substrates after Thermal Treatments

Jing Liang <sup>1</sup>,\*, Eluxka Almandoz <sup>2,3</sup><sup>(D)</sup>, Laia Ortiz-Membrado <sup>1</sup>, Rafael Rodríguez <sup>4,5</sup><sup>(D)</sup>, Jonathan Fernández de Ara <sup>2</sup><sup>(D)</sup>, Gonzalo G. Fuentes <sup>2</sup>, Luis Llanes <sup>1,6</sup><sup>(D)</sup> and Emilio Jiménez-Piqué <sup>1,6,\*</sup><sup>(D)</sup>

- <sup>1</sup> CIEFMA-Department of Materials Science and Engineering, EEBE, Universitat Politècnica de
- Catalunya-BarcelonaTECH, Avda. Eduard Maristany 16, 08019 Barcelona, Spain
   <sup>2</sup> Centre of Advanced Surface Engineering, AIN, Cordovilla, 31191 Navarra, Spain
- <sup>3</sup> Crimer Dependence Lucience Engineering, AIN, Cordovilla, 51191 Navarra, Spain
- <sup>3</sup> Science Department, Universidad Pública de Navarra (UPNA), Campus de Arrosadía, 31006 Pamplona, Spain
   <sup>4</sup> Institute for Advanced Materials and Mathematics (INAMAT2), Universidad Pública de Navarra (UPNA),
  - Campus de Arrosadía, 31006 Pamplona, Spain
- <sup>5</sup> Engineering Department, Public University of Navarre, Campus Arrosadía S/N, 31006 Pamplona, Spain
- <sup>6</sup> Barcelona Research Center in Multiscale Science and Engineering-Universitat Politècnica de
- Catalunya-BarcelonaTECH, Avda. Eduard Maristany 16, 08019 Barcelona, Spain
- \* Correspondence: jing.liang@upc.edu (J.L.); emilio.jimenez@upc.edu (E.J.-P.)

**Abstract:** The objective of this study was to explore the mechanical properties of AlCrSiN and AlTiSiN coatings deposited on Inconel and steel substrates after thermal treatments of 500 °C and 800 °C. Nanoindentation was used to measure the hardness and elastic modulus of the coatings, and microindentation was used for observing the contact damage with Hertzian contact loadings. Microscratch and Mercedes tests were used to evaluate the adhesive strength between coating and substrate with both progressive and static loads, respectively. The surface damage was inspected by optical microscopy and scanning electron microscopy (SEM). Focus ion beams (FIB) were used to mill the cross-sections in order to detect the extent and mode of failure. The results show that AlCrSiN coatings and Inconel substrates exhibit better mechanical performance, even after thermal treatments.

**Keywords:** AlCrSiN; AlTiSiN; Inconel; nanoindentation; microscratch; thermal treatment; adhesion strength

# 1. Introduction

Hard, protective coatings are frequently used in the tool industry due to their enhanced performance in wear and corrosion resistance. The first coatings used were TiN and CrN [1–4], but the incorporation in their composition of other elements, such as Al and Si, has resulted in new ternary coatings demonstrating increased performance [5–7]. For example, the introduction of Si produces an amorphous  $Si_3N_4$  phase at the nanometer scale, which inhibits the sliding movement between neighboring grains [8] and enhances hardness and thermal stability [9,10]. However, tribocorrosion resistance is not optimal in these ternary coatings; therefore, the introduction of Al and Si to form quaternary coatings enhances the oxidation resistance and thermal stability of coatings via formation of an oxiderich top layer [11–13]. Physical vapor deposition (PVD) for tool steel applied in various areas is a promising and effective technique to improve the mechanical performance and serving lives [14–17]. These quaternary coatings consist of a metastable amorphous phase which is crystalized after thermal treatment into an fcc/wurtzite lattice with high atomic density, forming a coating with a columnar structure and high hardness [18–22]. During operation, it is expected that these materials will suffer repetitive heating and cooling cycles with high temperatures reached in the coating of tool materials [23,24]. Therefore, it is important to understand the evolution of the mechanical properties of these coatings with thermal cycles, similar to those suffered in service. Understanding the evolution



**Citation:** Liang, J.; Almandoz, E.; Ortiz-Membrado, L.; Rodríguez, R.; de Ara, J.F.; Fuentes, G.G.; Llanes, L.; Jiménez-Piqué, E. Mechanical Performance of AlCrSiN and AlTiSiN Coatings on Inconel and Steel Substrates after Thermal Treatments. *Materials* **2022**, *15*, 8605. https:// doi.org/10.3390/ma15238605

Academic Editor: Liyuan Sheng

Received: 30 September 2022 Accepted: 23 November 2022 Published: 2 December 2022

**Publisher's Note:** MDPI stays neutral with regard to jurisdictional claims in published maps and institutional affiliations.



**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). of mechanical properties has direct implications in enhancing the reliability of coated tool materials.

However, it is also important to understand that the performance of the tools is not only governed by the coating; the substrate also plays a relevant role in the deformation and fracture of the surface. Currently, most of the literature on mechanical performance of the quaternary coatings and multilayers only concentrates on the coating itself, ignoring the synergic effect with the substrate [25–27]. The tribological performance, oxidation resistance, and thermal stability of quaternary coating have been studied in several prior studies [28–32]; however, there is scarce information on the evolution of mechanical properties with thermal treatments. It is worth mentioning that those coating/substrate systems will undergo thermal cycles during operation, and it is relevant to understand the evolution of the mechanical properties under such cycles. In this sense, Liu et al. [28] observed the evolution of hardness after the thermal treatment of AlCrSiN and AlTiSiN coatings on stainless steel, reporting a decrease in the values after thermal treatment of 600 °C [21,33,34]. However, they did not report any adhesion testing.

In summary, there is not much information on the mechanical evolution of these systems with thermal treatments, and there are no reports on coating–substrate adhesion. Scarce information is reported about the evolution of mechanical performance after thermal treatments when AlCrSiN and AlTiSiN quaternary coatings deposited on two kinds of cutting tool substrates. In this sense, adhesion and fracture is a combination of the mechanical performance of both the coating and the substrate under these special conditions. Although these tool materials are designed to work at intermediate temperatures (500 °C), they may suffer occasional high temperature peaks at critical work points. At high temperature, the mechanical properties of the substrates also change. Both steel and Inconel suffer softening, due to grain growth and change in the topology of the precipitates [35,36]. In this sense, both types of materials usually require surface treatments to complement their bulk properties to yield good performance in service. Due to the different natures of their chemical composition, and thus, metallographic structure, the response of each material is different when depositing a PVD coating. Basically, the differences affect adhesion, densification (hardness), corrosion/oxidation resistance, roughness, etc.

The present study investigated the effect of thermal treatments on the mechanical properties of AlCrSiN and AlTiSiN coatings deposited on two different soft substrates, steel and Inconel, with the aim of providing a novel sight to elucidate the mechanical performance of quaternary coatings on engineering substrate system, with special focus on not only studying the mechanics of the coating, but also the coating/substrate interface.

In doing so, nanoindentation, microindentation, microscratch, and Mercedes tests were used to characterize the mechanical response of coatings.

### 2. Experimental Procedure

### 2.1. Sample Preparation

Two different coatings (AlCrSiN and AlTiSiN) were deposited on two substrates (steel and Inconel), making four different coating–substrate systems: AlCrSiN/steel, Al-CrSiN/Inconel, AlTiSiN/steel, and AlTiSiN/Inconel. The steel substrate was H13 (DIN 1.2344). Additionally, the Inconel was an aged Inconel 718. The chemical compositions of the two substrates are presented in Tables 1 and 2.

**Table 1.** Composition (% by weight) of steel H13 (DIN 1.2344).

	Composition (% by Weight)									
Name	С	Si	Mn	Cr	Мо	V	Р	S		
1.2344	0.37-0.43	0.90-1.20	0.30-0.50	4.80-5.50	1.20-1.50	0.90-1.10	0.030	0.030		

	Composition (% by Weight)							
Name	Ni	Cr	Nb	Мо	Ti	Al	Other Minor Elements	
Inconel	50–55	17–21	4.75-5.50	2.80-3.30	0.65–1.15	0.2–0.8	Balence	

**Table 2.** Composition (% by weight) of Inconel 718 used as a substrate.

The coatings were produced by cathodic arc evaporation in a commercial METAPLAS MZR-323 PVD reactor able to reach a base pressure of  $5 \cdot 10^{-4}$  Pa. The reactor was equipped with two opposing columns, each of them hosting three circular cathodes (6.3 cm diameter) aligned vertically. Both columns faced each other and left an effective volume of 0.5 m<sup>3</sup> available for the substrates. For the AlTiSiN coating, three cathodes of Ti (99.8% purity) and three cathodes of AlSi (80 at.% Al–20 at.% Si) were placed in an alternating arrangement (i.e., a Ti cathode faced an AlSi cathode). In the case of the AlCrSiN coating, the Ti cathodes were replaced by Cr cathodes (99.98% purity). The deposition parameter of the coatings is presented in Table 3 and the process temperature for both cases is 400–450 °C. The AlTiSiN coating had a Ti bonding layer and the AlCrSiN had a Cr + CrN bonding layer.

Table 3. The deposition parameter of AlTiSiN and AlCrSiN coatings.

Coating	Step	Gas	Bias (V)	Total Pressure (mbar)	Rotating Speed (rpm)
	Bonding layer	Ar	-60	$1.2  imes 10^{-2}$	5
AlTiSiN	AlTiSiN deposition	$N_2$	-60	$4.0 imes10^{-2}$	5
	Bonding layor	Ar	-60	$1.2 imes10^{-2}$	5
	Bonding layer	$N_2$	-60	$1.2 imes10^{-2}$	5
AlCrSiN	AlCrSiN deposition	$N_2$	-60	$4.0 imes10^{-2}$	5

# 2.2. Coating Composition and Coating Thickness

Glow discharge optical emission spectroscopy (GDOES) was used to obtain the chemical composition of the coatings. The GDOES analyses were performed with a Jobin-Yvon JY 1000 RF optical spectrometer equipped with more than 40 channels and an optical monochromator. Coating thicknesses were measured by calowear tests, which were conducted specifically with a rotating a hard steel sphere of a known diameter to friction the surface of coating samples by continuously adding silica solution (30–50 weight percent) to increase the friction between sphere and samples. The solution may let the sphere abrade the coating and into the substrate, then form a spherical depression and seen from a plane the depression is rounded and followed Equation (1). By measuring the outer and inner edge radius of depression, the thickness of coating can be calculated as follows:

$$t = \frac{(R+r)(R-r)}{d} \tag{1}$$

where *t* is the thickness of the coating ( $\mu$ m), *R* is the outer edge radius of depression, *r* is the inner edge radius of depression, and *d* is the diameter of the hard steel sphere.

# 2.3. Nanoindentation and Microindentation

The hardness and Young's modulus of coatings were measured with an MTS Nanoindenter XP equipped with continuous stiffness measurement. Prior to nanoindentation, all samples were polished by colloidal silica and cleaned with acetone to reduce the effect of the roughness of coatings on the measurements [37]. Nanoindentation assays were performed with a Berkovich tip calibrated against a fused silica standard. A matrix of 25 imprints was derived for each sample at a constant strain rate of  $0.05 \text{ s}^{-1}$ . The Oliver and Pharr method was used to calculate the hardness (*H*) and elastic modulus (*E*); the Poisson ratio was assumed to be  $\nu = 0.25$  [38]. Hardness was measured at 10% penetration depth and Young's modulus of the coating was estimated by extrapolating the results to null thickness. The subscript *f* is used in the elastic modulus to indicate that it is the one of the coatings.

Micro contact damage tests were performed by Hertzian contact loading in a servo hydraulic test machine (Instron 8500) with a WC-Co sphere of 2.5 mm of diameter [39]. A trapezoidal wave was chosen as the loading curve with time, with a loading rate of 30 N/s rate, and maximum loads of 500 N or 750 N, held for 20 s.

Vickers tests on the substrates were performed with a Testwell FV-700 hardness tester under 10 kg load. The average and standard deviation for each sample under 10 kg load was obtained from five indentations.

# 2.4. Adhesion Test

Scratch tests and Mercedes tests were performed in order to measure the adhesion between coating and substrate. The reason for using two different tests was to induce different stress fields and damage scenarios at the interface by using different indenter tip geometries and loading conditions. Scratch tests were performed in a CSM Revetest with progressive loads from 0 to 30 N at a constant loading rate of 10 N/min with a Rockwell C diamond stylus of 200  $\mu$ m radius and 120° apex angle, with a scratch length of 5 mm. Damage and failure were later observed by scanning electron microscopy (SEM).

To further characterize the contact damage of coated substrates, the adhesion was characterized by Mercedes test [40,41]. In this test, a Rockwell C intender was pressed against the surface of coated substrates, producing deformation and fracture. Four different loads were used: 98 N, 196 N, 392 N and 613 N in order to produce different amounts of damage.

### 2.5. Thermal Treatments

Two different temperatures were tested: 500 °C and 800 °C. Thermal treatments were conducted in an elevator furnace, starting from room temperature and heating at a 10 °C/min rate until the desired temperature. Samples were maintained at maximum temperature for 60 min, and then cooled down to room temperature.

## 2.6. Microscopy

In order to inspect the deformation and damage suffered by the coatings, Phenom XL SEM apparatus was used. The cross-section of the coatings was obtained with a Zeiss Neon 40 focus ion beam (FIB) [42], with a gallium source accelerated at 30 kV with a decreasing ion current down to a final polishing stage at 500 pA. To avoid the waterfall effect in the milling processing, a protective layer of platinum was deposited on the area of interest.

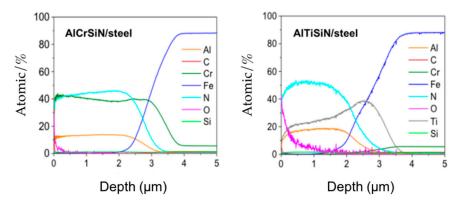
### 3. Results and Discussion

#### 3.1. Coating Composition and Coating Thickness

The coating thicknesses measured by the calowear tests are presented in Table 4. While all values are around 2  $\mu$ m, the thickness of the coatings deposited on steel is slightly thicker than the ones deposited on InconelReason for this may be attributed to the difference in the conductivity for the two substrates which results in different deposition rates. Composition of the coatings is presented in Figure 1.

Table 4. Thickness of coated samples.

Coating	AlC	CrSiN	AlTiSiN		
Substrate	Steel	Inconel	Steel	Inconel	
Thickness (µm)	2.9	1.6	2.6	1.7	



**Figure 1.** Composition (in atomic %) of the coatings vs. depth ( $\mu$ m) obtained by GDOES for AlCrSiN/steel (**left**) and AlTiSiN/steel (**right**).

# 3.2. Mechanical Properties of the Coated Materials

Nanoindentation results are presented in Table 5 and Figure 2. The images of the nanoindentation imprints are presented in Figure 3. The hardness and elastic modulus ratio (H/E) was adopted to describe elastic deformation to failure and  $H^3/E^2$  is the plasticity index to present the resistance to plastic deformation. Both are common parameters to characterize the mechanical performance and wear resistance of coatings [43,44]. Based on Table 5, AlCrSiN coatings exhibited higher values of  $H^3/E^2$  than AlTiSiN coatings when they all were deposited at the same substrate, indicating a better wear resistance response. It is elucidated that AlTiSiN coatings may present better wear resistance [45]. However, it should be considered that the performance of the tool materials is a combination of both the substrate and coating. In this respect, Vickers test results of the substrate are presented in Table 6.

Table 5. Mechanical properties of coated samples after thermal treatment.

Coating	AlCrSiN			AlTiSiN			
Coating –	Unheated	500 °C	800 °C	Unheated	500 °C	800 °C	
Hardness (GPa)	$28\pm3$	$33 \pm 4$	$22\pm5$	$26\pm3$	$30\pm2$	$16\pm 2$	
Elastic Modulus (GPa)	$369\pm17$	$462\pm46$	$280\pm60$	$367\pm42$	$476\pm35$	$330\pm16$	
$H_{/E}$	0.075	0.071	0.077	0.072	0.063	0.047	
$H^3/_{E^2}$	0.158	0.168	0.128	0.137	0.117	0.034	

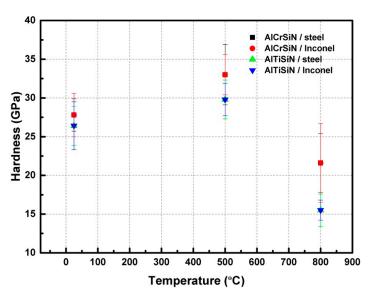


Figure 2. The relationship of the hardness of coatings with different thermal treatment.

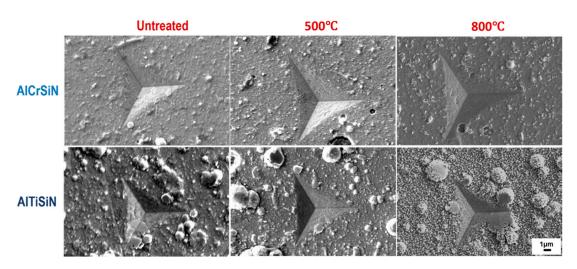


Figure 3. The SEM image of nanoindentation of AlCrSiN and AlTiSiN deposited on steel substrate after thermal treatment.

Table 6. Vickers hardness of the substrates.

Sample	Untreated	500 °C	800 °C
Steel	$476\pm4$	$479\pm2$	$417\pm4$
Inconel	$461\pm3$	$454\pm3$	$316\pm7$

It is seen how the samples that underwent a cycle of 500 °C presented an increase in the values of hardness, both for AlCrSiN and AlTiSiN coatings, regardless of the substrate. At 800 °C, hardness decreased. This may be attributed to the densification of the microstructure at moderate temperatures and the phase transformation at high temperatures where the cubic nitride phase transforms into a hexagonal nitrides phase [21,46].

Hardness of the substrates decreased in both cases, mainly after exposure at 800 °C. This is probably due to an increase in grain size and a modification of the precipitates [35,36].

Images of the indented coatings (Figure 3) present droplets at the surface typical of the PVD process [47]. The thermal treatment at 500 °C did not produce relevant changes in the surface. However, after the thermal treatment at 800 °C, there was a clear change in the surface, due to initial oxidation of the coatings. This oxidation is more evident in the case of the AlTiSiN coatings. This results in indentation imprints which deviates from the ideal shape, which gives rises to higher scattering in the mechanical values measured by this technique.

In Figure 3, it is also shown how, for AlCrSiN coatings, some ring cracks appear around the indentation, which may indicate a lower fracture toughness compared with AlTiSiN coatings [48].

#### 3.3. Adhesion Tests

Figure 4 presents the critical loads for delamination of all the materials. Figure 4 shows how the appearance of decohesion of the coating for the AlTiSiN coating is higher than AlCrSiN, independent of the substrate. However, after thermal treatments at 500 °C, the coatings deposited on Inconel presented a better adhesion than those deposited on steel. Furthermore, the AlCrSiN on Inconel coating thermally treated at 500 °C exhibited an enhanced scratch resistance compared with the samples without thermal treatment. This enhancement may be due to the higher thermomechanical stability of Inconel as compared with steel. For thermal treatments at 800 °C, all coatings present lower adhesion, due to degradation of the coating as well as softening of the substrates.

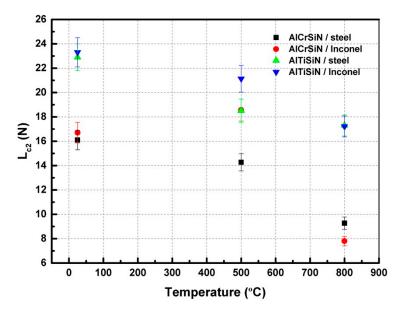
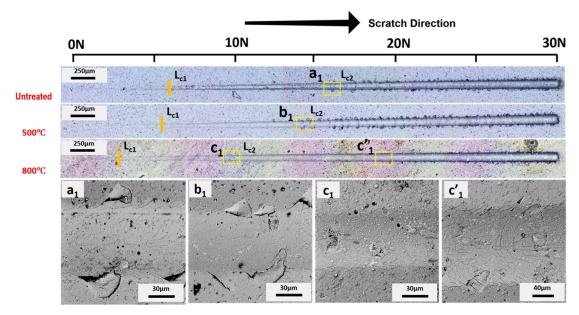
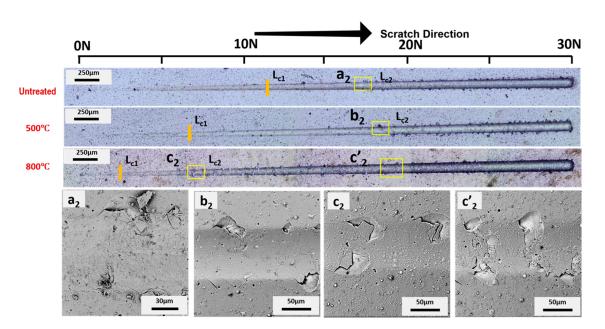


Figure 4. Critical load as a function of temperature of the thermal treatment.

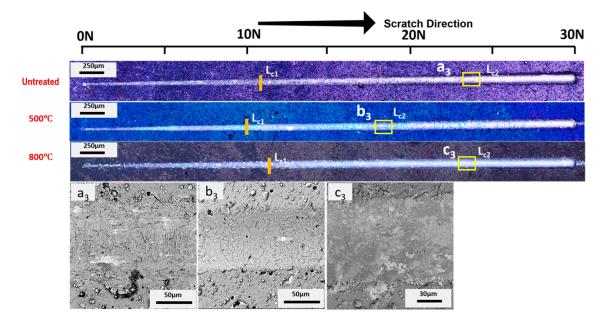
Images obtained by optical microscopy; SEM of the scratch tracks are presented in Figures 5–8 for AlCrSiN/steel, AlCrSiN/Inconel, AlTiSiN/steel, and AlTiSiN/Inconel, respectively. The coating thickness affects the scratch loads for adhesion and the direct comparison of performance by scratch with different coating/substrate system could not be taken in account. Therefore, both coatings have comparable thicknesses for every substrate. In Figures 5 and 6, the scratch tracks of AlCrSiN on both substrates are presented. It is seen how plastic deformation, microcracking, and delamination are produced as load is increased. Stick–slip deformation induced by compressive stress appeared at the contour. External cracks in the scratch direction were formed as well. The load at which this failure appeared is labeled as critical load,  $L_{c1}$ . As the load increased, transverse cracks appeared, induced by tensile stress, until the detachment of the coatings: this load is defined as  $L_{c2}$ , which indicates failure of the interface of coated substrate.



**Figure 5.** Adhesion optical profile of failure after microscratch tests of the AlCrSiN/steel sample. First ( $L_{c1}$ ) and second ( $L_{c2}$ ) critical loads are indicated. SEM magnification of selected areas ( $a_1$ ,  $b_1$ ,  $c_1$  and  $c'_1$ ) are also presented.

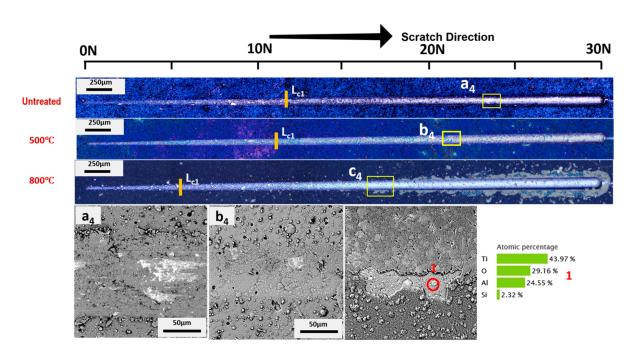


**Figure 6.** Adhesion optical profile of failures after microscratch tests of the AlCrSiN/Inconel sample. First ( $L_{c1}$ ) and second ( $L_{c2}$ ) critical loads are indicated. SEM magnification of selected areas ( $a_2$ ,  $b_2$ ,  $c_2$  and  $c'_2$ ) are also presented.



**Figure 7.** Adhesion optical profile of failure after microscratch tests of the AlTiSiN/steel sample. First  $(L_{c1})$  and second  $(L_{c2})$  critical loads are indicated. SEM magnification of selected areas (a<sub>3</sub>, b<sub>3</sub> and c<sub>3</sub>) are also presented.

After thermal treatment of the AlCrSiN coatings, critical loads were lower in the case of the steel substrate. In addition, the samples after thermal treatment at 800 °C presented a different type of damage, with more microcracking and less evident spalling. For the samples on the Inconel substrate, a slight improvement was appreciated for samples thermally treated at 500 °C, following the enhancement of mechanical properties observed previously. The mechanism for this enhancement may be the relaxation of the residual stress of AlCrSiN coating after 500 °C thermal treatment [49], whereas the decrease in adhesion may due to a phase transformation forming the fcc-CrN phase into h-Cr<sub>2</sub>N [50].



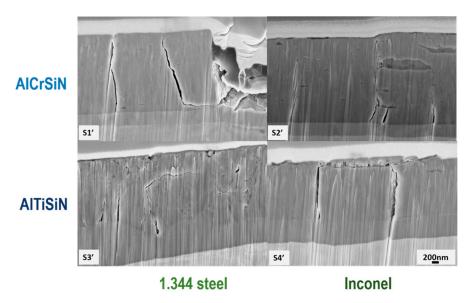
**Figure 8.** Adhesion optical profile and SEM images of failure and EDX analysis after microscratch tests of the AlTiSiN/Inconel sample. First ( $L_{c1}$ ) critical loads are indicated. SEM magnification of selected areas ( $a_4$ ,  $b_4$  and  $c_4$ ) are also presented as well as the chemical compostion by EDX of the indicated point.

In the case of AlTiSiN coatings, as presented in Figures 7 and 8, a small amount of deformation occurred at loads below 26 N [3]. As the loading increased, adhesive failure occurred for AlTiSiN coatings. The coatings spalled from the middle of the trace of the scratch. After thermal treatment, the amount of delamination diminished for both temperatures. The difference for AlTiSiN coatings deposited on Inconel after thermal treatment, especially at 800 °C, compared with steel as the substrate, is that part of coating was delaminated. A substantial area of the coatings peeled off. EDX was conducted at the delaminated area, showing that the elements were similar with AlTiSiN coatings, and indicating that a thin layer of the coating remained on the surface. Therefore, the failure may be considered cohesive failure and not coating delamination. The reason for this may be the fragile layer formed in the thermal treatment process as well as the evident softening of the substrate. This phenomenon could be explained by the phase transformation of fcc-AlN into hcp-AlN after 800 °C thermal treatment [51].

In Figure 9, FIB cross-sections of all four coatings and microstructures are presented. The images were taken at the same load (16 N) in order to compare the behaviors between coatings. Figure 9 shows how that all cracks were arrested by interface, indicating a good structural integrity of the substrate. Both coatings had a dense microstructure and a bonding layer, as shown previously [26,52].

Table 7. Critical load and adhesion energy of the coated systems after thermal treatment.

NT -	San	nple	$G_c$ (J/m <sup>2</sup> )		
No.	Coating	Substrate	Untreated	500 °C	800 °C
S1′	AlCrSiN	Steel	$72\pm7$	$56\pm 6$	$30\pm3$
S2′	AlCrSiN	Inconel	$87\pm9$	$54\pm5$	$23\pm2$
S3′	AlTiSiN	Steel	$303 \pm 30$	$105\pm10$	$272\pm27$
S4′	AlTiSiN	Inconel	$322\pm31$	$162\pm16$	$117 \pm 10$

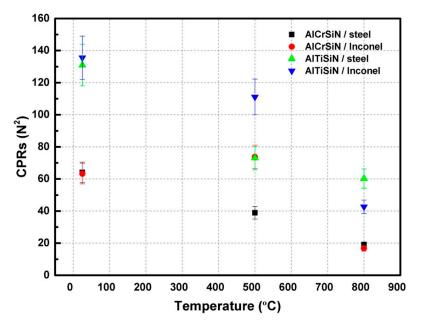


**Figure 9.** Cross-sectional SEM images at the same load (16 N) of scratch marked in Figures 5–8. Labels S1' to S4' are included for better referce in Table 7.

In order to rationalize the scratch resistance of the coatings, the scratch crack propagation resistance (*CPR*) was calculated using Equation (2) [53].

$$CPR = L_{c1}(L_{c2} - L_{c1})$$
(2)

where  $L_{c1}$  is the critical load of start of lateral crack, and  $L_{c2}$  is the critical load of the start of delamination or spallation; the results are presented in Figure 10.



**Figure 10.** CPR of scratch tests after thermal treatment: AlCrSiN/steel, AlCrSiN/Inconel, AlTiSiN/steel, and AlTiSiN/Inconel.

The critical stress  $\sigma_c$  is calculated by,

$$\sigma_c = \left(\frac{2L_{c2}}{\pi d_c^2}\right) \left[\frac{\left(4+\nu_f\right)3\pi\mu}{8} - 1 + 2\nu_f\right)$$
(3)

where  $d_c$  is the track width at  $L_{c2}$ ,  $\mu$  is the friction coefficient calculated by the friction force, and  $v_f$  is the Poisson rate of coatings. The surface energy of the known interfacial crack is defined by Equation (4) [54–56]:

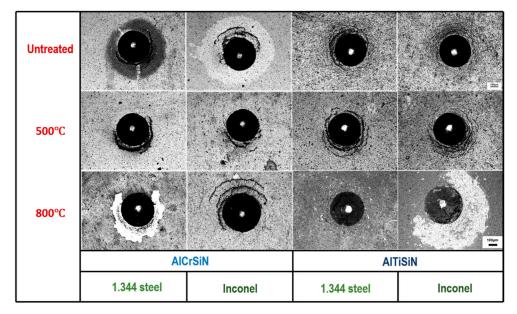
$$G_c = \frac{\sigma_c^2 t}{2E_f} \tag{4}$$

where *t* and  $E_f$  are the thickness and the elastic modulus of coatings, respectively. The values of  $E_f$  were experimentally determined from Table 5.

Crack propagation resistance (*CPR*) is presented in Figure 10, which shows how AlTiSiN coatings generally present higher *CPR* than AlCrSiN coatings, independently of the substrate. After thermal treatments, the *CPR* degraded in all cases, with the exception of AlCrSiN on Inconel, which presented a similar *CPR* after thermal treatment at 500 °C.

The AlTiSiN/Inconel sample presented the highest value of  $G_c = 321.5 \text{ J/m}^2$ , as seen in Table 7. The range of values was similar to those previously report by other researchers for similar hard coatings [57–59]. Of all the studied systems, AlTiSiN/steel presented the higher adhesion energy. This coating also exhibited the highest  $G_c$  after 800 °C thermal treatment. In comparison with AlTiSiN, AlCrSiN presented lower values for critical stress and adhesion energy, which was consistent with the analysis of the *CPR* microstratch test.

Figure 11 presents the results of the Mercedes Test at a normal load of 613 N. In all cases, radial cracks and partial ring cracks appeared. However, in the AlCrSiN/steel and AlTiSiN/Inconel systems after 800 °C thermal treatment, the vicinity of the indentation appeared with a high area of delamination. This high degree of delamination was also a consequence of the softening of the substrate, which resulted in higher deformation under contact loading and larger differential strains between the coating and substrate.

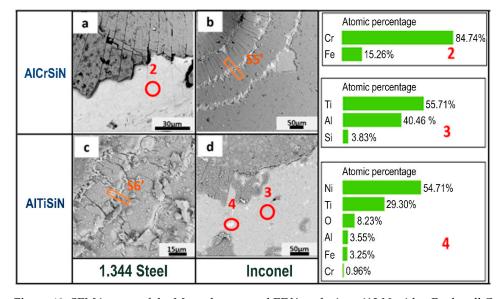


**Figure 11.** Confocal images of the Mercedes test at 613 N with a Rockwell C tip contrasted with different thermal treatments.

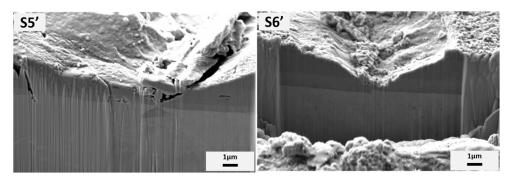
In the untreated AlCrSiN/Inconel sample, a similar phenomenon was noticed. In this case, delamination without spallation was observed, which indicated lower adhesion than after thermal treatment at 500  $^{\circ}$ C. This is coherent with the enhancement in CPR as observed in Figure 10.

In order to further explore the damage at 613 N after 800 °C, the particular area of indentation after Mercedes test was magnified by SEM, as shown in Figure 12, and EDX was performed to probe the elements of the delaminated area to ensure that the substrate was exposed. For AlCrSiN/steel, the exposed and light color area consisted of Cr and Fe. However, for AlTiSiN/Inconel, two contrasts appeared: shallow grey (circled as red 3) and white (circled as red 4). The SEM images demonstrate the delamination with large area of

AlCrSiN/steel and AlTiSiN/Inconel at 613 N after 800 °C, but with traces of the coating still attached to the substrate. To further observe the internal deformation mechanisms, a cross-section at the areas was indicate as S5'and S6', and is shown in Figure 13. In all cases, it was seen how the cracks were contained at the coating, without propagating into the substrate.



**Figure 12.** SEM images of the Mercedes test and EDX analysis at 613 N with a Rockwell C tip after 800 °C treatment: (**a**) AlCrSiN/steel, (**b**) AlCrSiN/Inconel, (**c**) AlTiSiN/steel, and (**d**) AlTiSiN/Inconel. Numbers 2m3 and 4 indicate the chemical analysis by EDS. S5' and S6' indicate the FIB cross-sections as presented in Figure 13.



**Figure 13.** Cross-sectional SEM images with two magnifications of partial ring cracks at 613 N with a Rockwell C tip after 800 °C treatment marked in Figure 12.

# 4. Conclusions

The mechanical properties of AlCrSiN and AlTiSiN quaternary coatings deposited on Inconel and steel which underwent thermal treatments at 500  $^{\circ}$ C and 800  $^{\circ}$ C have been characterized. The conclusions can be summarized as:

- Nanoindentation results show values of hardness of 28 GPa and 26 GPa, and elastic modulus values of 369 GPa and 367 GPa for AlCrSiN and AlTiSiN coatings, respectively, before thermal treatment. After 500 °C, the hardness and elastic modulus increased for all samples. After the 800 °C thermal treatment, these properties decreased, indicating a degradation of the material.
- 2. Through microscratch tests, the AlTiSiN samples presented better adhesion and AlCrSiN coating displayed lower adhesion for all thermal treatments. This is further evidenced by the Mercedes test, where AlCrSiN coatings presented a higher detached area. Through SEM and FIB observations, it was evidenced that the mode of failure was cohesive.

3. Regarding the substrates, coatings deposited on Inconel exhibited better performance, especially after thermal treatment at 500 °C, associated with the better performance of Inconel at high temperature. Thermal treatments at 800 °C resulted in a degradation of the mechanical performance, due to the microstructural changes in both the coating and the substrate, such as increases in the grain size and modification of the precipitates. Based on the data of crack propagation resistance and surface energy of an interfacial crack, it is observed that the AITiSiN coating presented better adhesion than the AICrSiN coating, even after thermal treatments.

Author Contributions: Conceptualization, J.L., E.A., J.F.d.A. and E.J.-P.; experiments J.L., E.J.-P., E.A., L.O.-M., J.F.d.A. and G.G.F.; analysis of the results: all authors; writing—original draft preparation, J.L.; writing—review and editing, E.J.-P., L.L., E.A., J.F.d.A. and J.L.; funding acquisition, E.J.-P., R.R. and G.G.F. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by the Spanish ministry of Science, Innovation and Universities, grants number PGC2018-096855-B-C41 and PGC2018-096855-A-C44.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

**Data Availability Statement:** The data that support the findings of this study are available from the corresponding author upon reasonable request.

Acknowledgments: The authors acknowledge the support from the Spanish ministry of Science, Innovation and Universities through grants PGC2018-096855-B-C41 and PGC2018-096855-A-C44. We would also like to thank J. Calvo for discussion of the results.

**Conflicts of Interest:** The authors declare no conflict of interest. The funders had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript; or in the decision to publish the results.

# References

- 1. Fellah, M.; Aissani, L.; Zairi, A.; Abdul Samad, M.; Nouveau, C.; Touhami, M.Z.; Djebaili, H.; Montagne, A.; Iost, A. Thermal treatment effect on structural and mechanical properties of Cr–C coatings. *Trans. IMF* **2018**, *96*, 79–85. [CrossRef]
- Eigenmann, B.; Scholtes, B.; Macherauch, E. X-ray residual stress determination in thin chromium coatings on steel. *Surf. Eng.* 1991, 7, 221–224. [CrossRef]
- 3. Rech, J.; Djouadi, M.; Picot, J. Wear resistance of coatings in high speed gear hobbing. Wear 2001, 250, 45–53. [CrossRef]
- 4. Ma, S.; Ma, D.; Guo, Y.; Xu, B.; Wu, G.; Xu, K.; Chu, P.K. Synthesis and characterization of super hard, self-lubricating Ti–Si–C–N nanocomposite coatings. *Acta Mater.* **2007**, *55*, 6350–6355. [CrossRef]
- 5. Bobzin, K.; Bagcivan, N.; Immich, P.; Bolz, S.; Cremer, R.; Leyendecker, T. Mechanical properties and oxidation behaviour of (Al, Cr) N and (Al, Cr, Si) N coatings for cutting tools deposited by HPPMS. *Thin Solid Film.* **2008**, *517*, 1251–1256. [CrossRef]
- Park, I.-W.; Kang, D.S.; Moore, J.J.; Kwon, S.C.; Rha, J.J.; Kim, K.H. Microstructures, mechanical properties, and tribological behaviors of Cr–Al–N, Cr–Si–N, and Cr–Al–Si–N coatings by a hybrid coating system. *Surf. Coat. Technol.* 2007, 201, 5223–5227. [CrossRef]
- Vepřek, S.; Reiprich, S.; Shizhi, L. Superhard nanocrystalline composite materials: The TiN/Si3N4 system. *Appl. Phys. Lett.* 1995, 66, 2640–2642. [CrossRef]
- 8. Park, J.H.; Chung, W.S.; Cho, Y.-R.; Kim, K.H. Synthesis and mechanical properties of Cr–Si–N coatings deposited by a hybrid system of arc ion plating and sputtering techniques. *Surf. Coat. Technol.* **2004**, *188*, 425–430. [CrossRef]
- 9. Veprek, S.; Veprek-Heijman, M.G.; Karvankova, P.; Prochazka, J. Different approaches to superhard coatings and nanocomposites. *Thin Solid Film.* **2005**, 476, 1–29. [CrossRef]
- Veprek, S.; Männling, H.-D.; Jilek, M.; Holubar, P. Avoiding the high-temperature decomposition and softening of (Al1- xTix) N coatings by the formation of stable superhard nc-(Al1- xTix) N/a-Si<sub>3</sub>N<sub>4</sub> nanocomposite. *Mater. Sci. Eng. A* 2004, 366, 202–205. [CrossRef]
- Chen, L.; Paulitsch, J.; Du, Y.; Mayrhofer, P.H. Thermal stability and oxidation resistance of Ti–Al–N coatings. *Surf. Coat. Technol.* 2012, 206, 2954–2960. [CrossRef] [PubMed]
- 12. McIntyre, D.; Greene, J.; Håkansson, G.; Sundgren, J.E.; Münz, W.D. Oxidation of metastable single-phase polycrystalline Ti0. 5Al0. 5N films: Kinetics and mechanisms. *J. Appl. Phys.* **1990**, *67*, 1542–1553. [CrossRef]
- 13. Chen, L.; Yang, B.; Xu, Y.; Pei, F.; Zhou, L.; Du, Y. Improved thermal stability and oxidation resistance of Al–Ti–N coating by Si addition. *Thin Solid Film.* **2014**, *556*, 369–375. [CrossRef]

- Adesina, A.Y.; Gasem, Z.M.; Al-Badour, F.A. Characterization and evaluation of AlCrN coated FSW tool: A preliminary study. J. Manuf. Process. 2017, 25, 432–442. [CrossRef]
- Leyendecker, T.; Lemmer, O.; Esser, S.; Ebberink, J. The development of the PVD coating TiAlN as a commercial coating for cutting tools. Surf. Coat. Technol. 1991, 48, 175–178. [CrossRef]
- 16. Van Stappen, M.; Stals, L.; Kerkhofs, M.; Quaeyhaegens, C. State of the art for the industrial use of ceramic PVD coatings. *Surf. Coat. Technol.* **1995**, *74*, 629–633. [CrossRef]
- 17. Baptista, A.; Silva, F.; Porteiro, J.; Míguez, J.; Pinto, G. Sputtering physical vapour deposition (PVD) coatings: A critical review on process improvement and market trend demands. *Coatings* **2018**, *8*, 402. [CrossRef]
- Fuentes, G.G.; Almandoz, E.; Pierrugues, R.; Martínez, R.; Rodríguez, R.J.; Caro, J.; Vilaseca, M. High temperature tribological characterisation of TiAlSiN coatings produced by cathodic arc evaporation. *Surf. Coat. Technol.* 2010, 205, 1368–1373. [CrossRef]
- 19. Fuentes, G.G.; Almandoz, E.; Rodríguez, R.J.; Dong, H.; Qin, Y.; Mato, S.; Pérez-Trujillo, F.J. Vapour deposition technologies for the fabrication of hot-forming tools: A review. *Manuf. Rev.* 2014, *1*, 1–17. [CrossRef]
- Galeja, J.; Lukaszkowicz, K. Comparison of the Structure of AlCrSiN Coating Produced by Planar and Rotating Arc Technology. In *Solid State Phenomena*; Trans Tech Publications Ltd.: Wollerau, Switzerland, 2019; pp. 141–153.
- Liu, Y.; Liu, H.D.; Wan, Q.; Cai, Y.; Chen, H.; Chen, Y.m.; Guo, J.L.; Yang, B. High-temperature oxidation behaviour of AlTiSiN and AlCrSiN coatings. *Surf. Eng.* 2019, 35, 652–660. [CrossRef]
- Almandoz Sánchez, E. Desarrollo de Recubrimientos PVD Cuaternarios de Tipo Nitruro, Óxido y Oxinitruro Para Aplicaciones de Trabajo en Caliente. Ph.D. Thesis, Universidad Pública de Navarra, Pamplona, Spain, 2017.
- Aissani, L.; Nouveau, C.; Walock, M.J.; Djebaili, H.; Djelloul, A. Influence of vanadium on structure, mechanical and tribological properties of CrN coatings. *Surf. Eng.* 2015, *31*, 779–788. [CrossRef]
- 24. Vardelle, M.; Vardelle, A.; Fauchais, P. Spray parameters and particle behavior relationships during plasma spraying. *J. Therm. Spray Technol.* **1993**, *2*, 79–91. [CrossRef]
- Wang, R.; Li, H.Q.; Li, R.S.; Mei, H.J.; Zou, C.W.; Zhang, T.F.; Wang, Q.M.; Kim, K.H. Thermostability, oxidation, and high-temperature tribological properties of nano-multilayered AlCrSiN/VN coatings. *Ceram. Int.* 2022, 48, 11915–11923. [CrossRef]
- Chang, Y.-Y.; Cai, M.-C. Mechanical property and tribological performance of AlTiSiN and AlTiBN hard coatings using ternary alloy targets. *Surf. Coat. Technol.* 2019, 374, 1120–1127. [CrossRef]
- 27. Xiao, B.; Zhang, T.F.; Guo, Z.; Li, Z.; Fan, B.; Chen, G.; Xiong, Z.; Wang, Q. Mechanical, oxidation, and cutting properties of AlCrN/AlTiSiN nano-multilayer coatings. *Surf. Coat. Technol.* **2022**, *433*, 128094. [CrossRef]
- Drozd, K.; Walczak, M.; Szala, M.; Gancarczyk, K. Tribological Behavior of AlCrSiN-Coated Tool Steel K340 Versus Popular Tool Steel Grades. *Materials* 2020, 13, 4895. [CrossRef]
- 29. Zhang, Q.; Xu, Y.; Zhang, T.; Wu, Z.; Wang, Q. Tribological properties, oxidation resistance and turning performance of AlTiN/AlCrSiN multilayer coatings by arc ion plating. *Surf. Coat. Technol.* **2018**, *356*, 1–10. [CrossRef]
- Mei, F.; Zhijian, Z.; Yang, Y.; Xiaoliang, L.; Jiangxiong, G.; Tiechui, Y.; Jianguo, L. Microstructure, mechanical, tribological, and oxidizing properties of AlCrSiN/AlCrVN/AlCrNbN multilayer coatings with different modulated thicknesses. *Ceram. Int.* 2022, 48, 32973–32985. [CrossRef]
- Wu, Z.; Tang, P.; Wu, Y.; Wang, Q.; Qi, Z. Wear behavior of AlCrSiVN coatings at elevated temperature up to 700 °C. *Vacuum* 2019, 169, 108876. [CrossRef]
- 32. Colombo-Pulgarín, J.C.; Sánchez Egea, A.J.; Celentano, D.J.; Krahmer, D.M.; Martynenko, V.; López de Lacalle, N. Mechanical and chemical characterisation of TiN and AlTiSiN coatings on a LPBF processed IN718 substrate. *Materials* **2021**, *14*, 4626. [CrossRef]
- Lee, S.-H.; Son, B.-S.; Park, G.-T.; Ryu, J.-S.; Lee, H. Investigation of short-term, high-temperature oxidation of AlCrN coating on WC substrate. *Appl. Surf. Sci.* 2020, 505, 144587. [CrossRef]
- Jäger, N.; Meindlhumer, M.; Zitek, M.; Spor, S.; Hruby, H.; Nahif, F.; Julin, J.; Rosenthal, M.; Keckes, J.; Mitterer, C. Impact of Si on the high-temperature oxidation of AlCr (Si) N coatings. *J. Mater. Sci. Technol.* 2022, 100, 91–100. [CrossRef]
- Guanghua, Y.; Xinmin, H.; Yanqing, W.; Xingguo, Q.; Ming, Y.; Zuoming, C.; Kang, J. Effects of heat treatment on mechanical properties of H13 steel. *Met. Sci. Heat Treat.* 2010, 52, 393–395. [CrossRef]
- Calvo, J.; Penalva, M.; Cabrera, J.M. Characterization of strain-induced precipitation in Inconel 718 superalloy. J. Mater. Eng. Perform. 2016, 25, 3409–3417. [CrossRef]
- Oliver, W.C.; Pharr, G.M. Measurement of hardness and elastic modulus by instrumented indentation: Advances in understanding and refinements to methodology. J. Mater. Res. 2004, 19, 3–20. [CrossRef]
- Oliver, W.C.; Pharr, G.M. An improved technique for determining hardness and elastic modulus using load and displacement sensing indentation experiments. J. Mater. Res. 1992, 7, 1564–1583. [CrossRef]
- 39. Jiménez-Piqué, E. Indentation of Ceramics. In *Reference Module in Materials Science and Materials Engineering*; Elsevier: Amsterdam, The Netherlands, 2021.
- 40. Kayali, Y.; Taktak, S. Characterization and Rockwell-C adhesion properties of chromium-based borided steels. J. Adhes. Sci. Technol. 2015, 29, 2065–2075. [CrossRef]
- 41. Vidakis, N.; Antoniadis, A.; Bilalis, N. The VDI 3198 indentation test evaluation of a reliable qualitative control for layered compounds. *J. Mater. Process. Technol.* 2003, 143, 481–485. [CrossRef]
- 42. Deng, Y.; Tang, L.; Zeng, G.; Dong, H.; Yan, M.; Wang, J.; Hu, W.; Wang, J.; Zhou, Y.; Tang, J. Enhanced visible light photocatalytic performance of polyaniline modified mesoporous single crystal TiO<sub>2</sub> microsphere. *Appl. Surf. Sci.* **2016**, *387*, 882–893. [CrossRef]

- 43. Musil, J.; Kunc, F.; Zeman, H.; Polakova, H. Relationships between hardness, Young's modulus and elastic recovery in hard nanocomposite coatings. *Surf. Coat. Technol.* **2002**, *154*, 304–313. [CrossRef]
- 44. Bao, Y.; Wang, W.; Zhou, Y. Investigation of the relationship between elastic modulus and hardness based on depth-sensing indentation measurements. *Acta Mater.* **2004**, *52*, 5397–5404. [CrossRef]
- Fernández-Abia, A.; Barreiro, J.; Fernández-Larrinoa, J.; de Lacalle, L.L.; Fernández-Valdivielso, A.; Pereira, O. Behaviour of PVD coatings in the turning of austenitic stainless steels. *Procedia Eng.* 2013, 63, 133–141. [CrossRef]
- Polcar, T.; Cavaleiro, A. High temperature properties of CrAlN, CrAlSiN and AlCrSiN coatings–Structure and oxidation. *Mater. Chem. Phys.* 2011, 129, 195–201. [CrossRef]
- 47. Boxman, R.; Goldsmith, S. Macroparticle contamination in cathodic arc coatings: Generation, transport and control. *Surf. Coat. Technol.* **1992**, *52*, 39–50. [CrossRef]
- 48. Wu, W.; Chen, W.; Yang, S.; Lin, Y.; Zhang, S.; Cho, T.-Y.; Lee, G.; Kwon, S.-C. Design of AlCrSiN multilayers and nanocomposite coating for HSS cutting tools. *Appl. Surf. Sci.* 2015, *351*, 803–810. [CrossRef]
- 49. Zhang, S.; Wang, L.; Wang, Q.; Li, M. A superhard CrAlSiN superlattice coating deposited by a multi-arc ion plating: II. Thermal stability and oxidation resistance. *Surf. Coat. Technol.* **2013**, *214*, 153–159. [CrossRef]
- 50. Musil, J.; Jirout, M. Toughness of hard nanostructured ceramic thin films. Surf. Coat. Technol. 2007, 201, 5148–5152. [CrossRef]
- 51. Chen, M.; Cai, F.; Chen, W.; Wang, Q.; Zhang, S. Influence of vacuum annealing on structures and properties of AlTiSiN coatings with corrosion resistance. *Surf. Coat. Technol.* **2017**, *312*, 25–31. [CrossRef]
- Wang, R.; Mei, H.; Li, R.; Zhang, T.; Wang, Q. Influence of V addition on the microstructure, mechanical, oxidation and tribological properties of AlCrSiN coatings. *Surf. Coat. Technol.* 2021, 407, 126767. [CrossRef]
- 53. Zhang, S.; Sun, D.; Fu, Y.; Du, H. Effect of sputtering target power on microstructure and mechanical properties of nanocomposite nc-TiN/a-SiNx thin films. *Thin Solid Film*. **2004**, 447, 462–467. [CrossRef]
- 54. Huang, Y.-C.; Chang, S.-Y.; Chang, C.-H. Effect of residual stresses on mechanical properties and interface adhesion strength of SiN thin films. *Thin Solid Film.* **2009**, *517*, 4857–4861. [CrossRef]
- 55. Chang, S.-Y.; Lee, Y.-S.; Lu, C.-L. Effect of plasma treatments on the interface chemistry and adhesion strength between Cu metallization and SiCN etch stop layer. *J. Electrochem. Soc.* **2007**, *154*, D241. [CrossRef]
- Bull, S.; Rickerby, D. New developments in the modelling of the hardness and scratch adhesion of thin films. *Surf. Coat. Technol.* 1990, 42, 149–164. [CrossRef]
- 57. Patnaik, L.; Maity, S.; Kumar, S. Evaluation of crack resistance and adhesive energy of AlCrN and Ag doped aC films deposited on chrome nitrided 316 LVM stainless steel. *Adv. Mater. Process. Technol.* **2021**, 1–22. [CrossRef]
- Ju, H.; Yu, L.; Yu, D.; Asempah, I.; Xu, J. Microstructure, mechanical and tribological properties of TiN-Ag films deposited by reactive magnetron sputtering. *Vacuum* 2017, 141, 82–88. [CrossRef]
- 59. Wang, Q.; Zhou, F.; Yan, J. Evaluating mechanical properties and crack resistance of CrN, CrTiN, CrAIN and CrTiAIN coatings by nanoindentation and scratch tests. *Surf. Coat. Technol.* 2016, 285, 203–213. [CrossRef]