±

TITLE: Assessment of cognitive impairment in psychosis spectrum disorders through self-reported and interview-based measures

Authors: Ana M. Sánchez-Torres¹, Lucía Moreno-Izco^{1,2}, Gustavo J. Gil-Berrozpe¹, Ruth Lorente-Omeñaca¹, María Zandio^{1,2}, Amalia Zarzuela^{1,3}, Victor Peralta^{1,3}, Manuel J. Cuesta^{1,2*}

¹ Navarra Institute of Health Research, IdiSNA (Pamplona, Spain)

² Department of Psychiatry, Complejo Hospitalario de Navarra (Pamplona, Spain)

³ Mental Health Department, Servicio Navarro de Salud – Osasunbidea (Pamplona, Spain)

*Corresponding author Manuel J. Cuesta. Psychiatry Departament, Complejo Hospitalario de Navarra (Pamplona, Spain) mcuestaz@navarra.es

Funding: This work was supported by the Government of Navarra (grants 17/31 and 18/41) and the Carlos III Health Institute (FEDER Funds) from the Spanish Ministry of Economy and Competitivity (16/02148 and 19/1698).

Word count:

Abstract: 244 Text: 3121

ABSTRACT

Background: Self-reported and interview-based measures can be considered coprimary measures of cognitive performance. We aimed to ascertain to what extent cognitive impairment in psychotic disorders, as assessed with a neuropsychological battery, is associated with subjective cognitive complaints (SCCs) compared to difficulties in daily activities caused by cognitive impairment.

Methods: We assessed 114 patients who had a psychotic disorder with a set of neuropsychological tests and two additional measures: the Cognitive Assessment Interview-Spanish version (CAI-Sp) and the Frankfurt Complaint Questionnaire (FCQ). Patients also underwent a clinical assessment.

Results: The CAI-Sp correlated significantly with all the clinical dimensions, while the FCQ correlated only with positive and depressive symptoms. The CAI-Sp correlated significantly with all cognitive domains, except for verbal memory and social cognition. The FCQ was associated with attention, processing speed and working memory. The combination of manic and depressive symptoms and attention, processing speed, working memory and explained 38-46% of the variance in the patients' CAI-Sp. Education and negative symptoms, in combination with attention, processing speed, and executive functions, explained 54-59% of the CAI-Sp rater's variance. Only negative symptoms explained the variance in the CAI-Sp informant scores (37-42%). Depressive symptoms with attention and working memory explained 15% of the FCQ variance.

Conclusions: The ability to detect cognitive impairment with the CAI-Sp and the FCQ opens the possibility to consider these instruments to approximate cognitive impairment in clinical settings due to their ease of application and because they are less time-consuming for clinicians.

Keywords: Psychosis, schizophrenia, cognition, self-assessment, interview-based, subjective cognitive complaints

Declarations:

Conflicts of interest: The authors declare no conflicts of interest.

Ethics approval: The investigations were approved by Institutional Review Board of the Ethical Committee of Navarra (Spain).

Consent to participate: All patients provided written informed consent, in accordance with the 1964 Declaration of Helsinki.

Consent for publication: Not applicable.

Availability of data and material: The anonymised data-set is available from the corresponding author on reasonable request.

Code availability: Not applicable.

Author contributions: Manuel J. Cuesta and Victor Peralta designed the study and supervised the draft completion. Ana M. Sánchez-Torres, Ruth Lorente-Omeñaca and Lucía Moreno-Izco collected the cognitive and clinical data, managed the literature searches and contributed to the data analyses. Gustavo J. Gil-Berrozpe helped with database management and the data analyses. Amalia Zarzuela and María Zandio contributed to participants' recruitment and to the clinical assessments. Ana M. Sánchez-Torres wrote the first draft of the manuscript. All authors contributed to and approved the final draft of the manuscript.

1. INTRODUCTION

Cognitive impairment represents a core feature of psychotic disorders. Psychotic disorders show a similar profile of cognitive impairment, presenting differences in the severity of impairment across the disorders [1]. Neuropsychological testing is the gold standard for the assessment of cognitive functioning. Moreover, in recent years, other instruments to measure cognitive deficits and their repercussions on daily functioning have gained interest as coprimary outcome measures [2]. These instruments are easy to administer and link objective testing performance and its real impact on the lives of patients [3]. The Cognitive Assessment Interview (CAI) [4] is one of these instruments. The CAI comes from two interview-based instruments, the Clinical Global Impression of Cognition in Schizophrenia (CGI-CogS) [3] and the Schizophrenia Cognition Rating Scale (SCoRS) [5]. Both instruments were included in the assessment protocols of the Measurement and Treatment Research to Improve Cognition in Schizophrenia (MATRICS) initiative, which intended to provide information about the impact of new treatments for schizophrenia on cognition and functioning [6-8]. The CAI has shown good psychometric properties, excellent internal consistency and test-retest reliability, as well as high item-to-scale correlations [4,9,10].

Subjective cognitive complaints (SCCs), or basic symptoms, are considered subtle subjective disturbances that involve a variety of cognitive complaints involving attention, perception, memory, thinking, language, movement, vegetative functions, stress tolerance and affect. They can occur in every stage of psychotic illness. Although they are rarely observable, they elicit some behaviours that may make them recognizable to others [11,12]. One of their characteristics is that they are perceived with full insight as deviations of normal mental processes.

In recent years, SCCs have gained interest because of their usefulness in the prediction of conversion to psychosis in young people at ultra-high risk (UHR) for psychosis [13,14]. It has been proposed that SCCs even precede the first attenuated psychotic symptoms that characterize the UHR stage [15,16].

There are several scales used to assess SCCs [17,18], which address them from different approaches, focusing on different aspects. However, all of these approaches have two common characteristics: the subjectivity of these experiences and their "deficit" or "anomalous" nature [19]. One of these scales is the Frankfurt Complaint Questionnaire (FCQ) [20,21]. The original scale was designed based on patients with schizophrenia complaints and had a four-factor structure: central cognitive disturbances, perception and motility, depressivity, and internal and external overstimulation. In contrast, other factorial studies have identified a unidimensional solution underlying the SCCs in the FCQ [19,22]. These instruments exhaustively evaluate SCCs and have become the most extensive instruments used to assess SCCs [23].

Our main aim was to ascertain to what extent cognitive impairment in schizophrenia spectrum disorders, as assessed with a neuropsychological battery, is associated with the subjective experiences of cognitive impairment compared to difficulties in daily activities caused by cognitive impairment. We aimed to ascertain whether patients presenting more severe clinical symptoms and cognitive impairment were able to identify subjective cognitive disturbances and the consequences in their daily activities of cognitive impairments.

2. MATERIAL AND METHODS

2.1. Participants

In total, 114 patients with a DSM-IV psychotic disorder diagnosis were included in the study. Patients participated in two studies developed in the Department of Psychiatry of the Complejo Hospitalario de Navarra in Pamplona (Spain) between 2008 and 2011. Of these, 72 patients were recruited from consecutive admissions to the acute treatment department, and 42 patients were outpatients who were re-contacted to participate in a follow-up study. Fifty healthy controls were also included to obtain cognitive data for standardization purposes in a nonpsychiatric sample. Previous work with these samples has been already published [24-28,10,9,29].

All participants were aged 17 to 51 years, with no history of head trauma or drug dependence (except tobacco) and an IQ of over 70. Controls were also required to have no history (personal or first-degree relative) of major psychiatric illness.

The Clinical Research Ethics Committee of Navarra approved both studies, and all participants provided written informed consent. The authors assert that all procedures contributing to this work comply with the ethical standards of the relevant national and institutional committees on human experimentation and with the Helsinki Declaration of 1975, as revised in 2008.

2.2. Procedures

Inpatients were assessed once they were clinically stabilized in two 1.5- to 2-hour sessions by a psychiatrist (LM) and a neuropsychologist (RL or AMS). We contacted outpatients by telephone and invited them to participate in the study. The assessments were distributed in two sessions.

2.2.1. Clinical assessments

We used the Comprehensive Assessment of Symptoms and History (CASH) [30] interview to collect demographic and clinical data. Five psychopathological syndrome scores were obtained for positive, disorganization, negative, and two affective (mania and depression) dimensions.

2.2.2. Cognitive Assessment Interview

The CAI [31] was used to assess the impact of cognitive impairment on daily functioning, or how real world activities are influenced by cognitive impairments. The CAI was developed for use in situations where objective cognitive assessments are not practical, to be used as a coprimary measure in clinical trials or when we want to make an assessment more related to the patient's experience [31]. It includes 10 items that assess six of the seven cognitive domains included in the MATRICS battery [32]: working memory, attention, verbal learning, reasoning and problem solving, processing speed, and social cognition. It was administered to the patient and a close relative (one or both parents or a sibling), considering the predominant functioning of the patient during the last year. These interviews resulted in two independent scores (patient and informant) and were combined by the clinician into a composite rater score. The rater score is based in the patients' and relatives' interviews and when available, other sources (e.g., medical records, or other knowledge of the patient). In 21 cases, no informant was available. Thus, the rater's score was based on the patient interview and all information available from medical records.

In the CAI, higher scores reflect poorer cognitive functioning, but we reversed the scores, so that higher scores indicate better functioning. The items are rated on a 7-point Likert-type scale. We used a Spanish version of the CAI (CAI-Sp), which was approved by the original authors [10]. The CAI has demonstrated adaptability to other countries, including Spain [33,34].

2.2.3. Neuropsychological assessments

We chose a set of neuropsychological tests to assess each of the seven cognitive domains included in the MATRICS initiative [7,32]. Table 1 shows the tests used and the variables that composed each of the cognitive domains.

2.2.4. Frankfurt Complaint Questionnaire

The FCQ [20,19] is a self-rated questionnaire for the assessment of subjective cognitive disturbances. It consists of 98 items, each of which is rated on a 0-1 point scale, considering the presence-absence of each symptom. The total score is the sum of all the items, so higher scores indicate more subjective cognitive complaints. However, we reversed the scores to make the results more understandable, so higher scores indicated better performance.

2.3. Data analysis

We compared the demographic characteristics and cognitive scores of patients and controls with t-test (age), chi-squared test (gender) and Mann-Whitney U (years of education, verbal and visual memory, and executive function), according to their distribution.

Using the means and standard deviations of the control group, we converted all neuropsychological variables to z-scores. These z-scores were averaged to calculate each of the cognitive domains (see Table 1). To explore the reliability of the cognitive domains and the FCQ and CAI-Sp items, we used Cronbach's alpha.

Since most of the variables analysed were not normally distributed, we calculated nonparametric Spearman's correlation coefficients to explore the associations between the CAI-Sp, FCQ, cognitive domains, clinical syndrome scores and antipsychotic treatment in chlorpromazine equivalents. To assess the percentage of variance of the CAI-Sp and the FCQ total score explained by clinical and cognitive variables, we performed hierarchical regression analyses. We performed the relevant transformations to ensure that the requirements for the regression analyses were met. To determine which variables to include in the regression, we performed Spearman correlations between the CAI-Sp (patient, informant and rater) and the FCQ with age, education, clinical syndromes and cognitive domains. The variables with p-values less than 0.05 were included in the regression model.

All data analyses were performed using the statistical package IBM SPSS for Windows (version 25.0) [45]

3. RESULTS

The Cronbach's alpha results indicated moderate to high reliability for all the cognitive domains (see Table 1). CAI-Sp patients, informants and raters showed Cronbach's alpha values of 0.845, 0.916 and 0.919, respectively. Additionally, the FCQ showed high reliability, with an alpha value of 0.974.

The sociodemographic and clinical characteristics of the sample are shown in Table 2. The patient sample had a lower proportion of women than the controls (33.3% vs. 46%). Controls showed significantly higher education than patients. Regarding neuropsychological assessment, patients underperformed healthy controls in all the cognitive domains assessed.

Age, verbal memory and social cognition did not show any significant association (Table 3, Supplementary material). To avoid multicollinearity between cognitive variables, we performed one analysis per cognitive function.

We transformed the independent variables (CAI scores with logarithmic transformations, and FCQ with squared root transformations) and explored the residuals of the hierarchical regressions, to ensure that they had a normal distribution. The residuals of the regression between the CAI patients score, education and clinical variables and visual memory did not have a normal distribution. Thus, we performed an ordinal regression, grouping the CAI patient scores according to the quartiles. Regarding CAI informant scores, the residuals of the

regression analysis including visual memory as independent variable did not have neither a normal distribution, so we applied a logistic regression after dichotomizing the dependent variables by the median.

Table 4 shows the significant models obtained for each of the dependent variables (CAI-Sp patient/informant/rater scores). For CAI-Sp patient scores, the model that explained the higher percentage of variance included manic and depressive symptoms and processing speed (42.6% of the variance). Manic and depressive symptoms were significant with processing speed (46% of the variance) and working memory (43% of the variance)). Attention, in combination with depressive symptoms, explained 41% of the variance of CAI-Sp scores. Executive functions and visual memory did not show statistical significance when included in the analyses.

Regarding CAI-Sp informant scores, only negative symptoms showed significant values in the regression analyses, explaining between 37.4% and 42.2% of the variance. None of the cognitive functions were significant in the regression models.

CAI rater scores were better explained by negative symptoms and processing speed (58.5% of the variance), followed by negative symptoms and working memory (56.5%) and negative symptoms and attention (57.3%). Education entered the model with executive functions, jointly with negative symptoms (54%). Visual memory was not significant when included in the regression model.

The regression analyses with the FCQ total score as the dependent variable showed lower explained variance results than the CAI-Sp analyses. Attention was the only cognitive domain that entered the model, together with depressive symptoms, and explained 15.5% of the variance in the FCQ total score.

4. **DISCUSSION**

In this work, we aimed to ascertain to what extent cognitive impairment in schizophrenia spectrum disorders, as assessed with a neuropsychological battery, is associated with SCCs compared to real-world disturbances caused by cognitive impairment. SCCs were assessed with the FCQ, a self-report questionnaire, and the presence of real-world disturbances caused by cognitive impairment was assessed with the CAI-Sp, which is an interview-based instrument. Our results showed that both instruments were able to significantly identify cognitive impairment but with quantitative and qualitative differences. Cognitive impairment in combination with depressive and manic symptoms explained 33-57% of the variance in the CAI-Sp scores, whereas only 15% of the variance in the FCQ was explained by the combination of depressive symptoms with attention. Moreover, while the CAI-Sp scores were related to each cognitive function (except for verbal memory and social cognition), the FCQ scores were significantly associated with basic cognitive processes, such as attention, working memory and processing speed.

The CAI-Sp has been shown to be a valid and reliable instrument that can be used to assess cognitive functioning in the context of its impact on daily living [10]. Cognitive functioning assessed with the CAI-Sp was associated with illness severity, since we found a positive association between positive, negative, disorganized and depressive symptoms and CAI-Sp patient, informant and rater scores. Additionally, a higher presence of manic symptoms was associated with lower CAI-Sp patient scores. When clinical symptoms were combined with objective cognitive assessment in the regression analyses, we found that manic and depressive symptoms were significant when including CAI-Sp patient regression models, while mainly negative symptoms were significant in the CAI-Sp informant and rater models. These different associations can be explained considering the subjective perception of the manifestation of symptoms. Depressive and manic symptoms can lead patients to overestimate and underestimate, respectively, their cognitive difficulties [46-48]. Additionally, depressive symptoms have been associated with enhanced neurocognitive insight [49] and with self-reported cognitive performance [50]. However, the repercussion in daily activities of negative

and positive symptoms may be more visible to caregivers and clinicians, so these symptoms may influence their appreciation of the impact of cognitive functioning in the daily lives of patients. In fact, real-life functional impairment associated with cognitive impairment reported by the informants was not significant in the regression analyses, and negative symptoms explained a high percentage of their ratings. Patient education, in combination with negative symptoms and executive functions, also explained a high percentage of the variance in the CAI-Sp rater scores. Knowledge of patients' educational levels may influence raters' expectations about their cognitive deficits and, as a consequence, about their ability to cope with these difficulties in real life.

The CAI-Sp rater scores obtained higher associations in the correlation and regression analyses than the CAI-Sp patient and informant scores. This was an expected result, since raters completed the CAI-Sp with all the information available, both the interviews of patients and caregivers and those available from medical charts and other sources. Similar results have been obtained in previous works [9,10,51].

The lack of association between the CAI-Sp and verbal memory may be because we employed a verbal learning test to assess the domain "verbal memory". However, the CAI-Sp items that refer to verbal memory consider everyday activities also related to episodic and semantic memory (e.g., memory of recent events). Social cognition, otherwise, is considered a different construct than neurocognition, although they are related [52,53], and social cognition is a mediator between neurocognition and functional outcome [54,55].

Regarding SCCs, higher rates of positive and depressive symptoms and poorer performance in attention, working memory and processing speed were associated with higher scores on the FCQ. The combination of these clinical symptoms and cognitive functions resulted in one significant regression model, including depressive symptoms and attention. Depressive symptoms may contribute to the distress caused by subjective experiences, acting as an enhancer of the effect of attention impairments on subjective cognitive complaints.

The interrelationship between cognitive deficits, SCCs, clinical symptoms and how cognitive deficits impact real-life functioning may be difficult to disentangle. Subtle subjective experiences are sometimes nonspecific but may respond to an underlying information processing deficit, as has been proposed by other authors [17]. Indeed, subjective cognitive complaints and cognitive deficits have in common that they often precede the onset of psychotic illness [56].

SCCs can be present at the early stages of psychotic illness, but they can also appear during a psychotic episode and in remitted patients. They differ from psychotic symptoms in that the latter are experienced as real, while the former are spontaneously recognized by the patient as an abnormal experience [12]. Few studies have explored the associations of SCCs and objective cognitive performance. Our group, for example, found strong associations between FCQ scores and visuospatial, working memory, processing speed and executive function measures in a sample of patients with schizophrenia [57]. Glenthoj et al. [58] reported associations between emotion recognition processing speed and basic cognitive symptoms, concluding that basic cognitive symptoms are associated with different levels of processes. However, their work focused on ultrahigh risk (UHR) patients with psychosis. Additionally, in UHR patients, Schultze-Lutter et al. [59] found modest associations between affective-dynamic disturbances, which is a cognitive basic symptom subdomain, with attention and processing speed measures. Comparelli et al. [60] assessed a sample of remitted (outpatients) and short-term patients with schizophrenia spectrum disorders. They observed associations of subjective disturbances with reasoning and problem solving, executive control and social cognition scores in the whole sample. However, when analysing both groups separately, they only found an association with reasoning and problem solving scores in the remission group. In our work, the FCQ scores were associated with attention, working memory and processing speed, which are key functions that act as resources for carrying out other high-order cognitive processes [61]. These

associations are not only congruent with the nonspecificity of SCCs but also highlight the utility of a self-reported measure to screen for cognitive impairment in an exploratory way.

Differences between our results and those obtained in previous works could be explained by the characteristics of the samples (UHR patients vs. patients with a psychotic disorder) [12,58] and by the instruments used to measure subjective cognitive complaints and cognitive impairment [60]. As an example, Comparelli et al. used, as a sustained attention test, WCST nonperseverative errors, while we used the CPT-IP test and Digits forward and Spatial span forward subtests.

Patients with schizophrenia and related disorders are considered to underestimate their cognitive impairments and difficulties in real-life functioning, making self-assessment of these areas fallible in those disorders [62,50]. However, there are other possible explanations for the low correlations between self-reported measures and behavioural measures, such as differences in scale reliability and differences in the processes underlying the distinct measures [63,64].

Our results show that the CAI-Sp for the patient and rater are able to detect specific difficulties in daily functioning associated with cognitive deficits, except for verbal memory and social cognition, while the FCQ identifies better basic cognitive processes than those of higher complexity, such as executive functions or memory processes. One possible explanation is the capacity of patients to recognize and report subjective cognitive disturbances and to differentiate them from real cognitive impairment. The combination of depressive symptoms and cognitive performance accounts for a high percentage of the variance of the CAI-Sp reported by patients and, to a lesser extent, of subjective experiences of cognitive deficits. Thus, we may conclude that patients are able to differentiate which of their daily experiences are due to cognitive deficits and which are not.

4.1. Conclusions

In summary, the FCQ in combination with psychopathological assessment may provide the clinician an approach to the difficulties in basic cognitive processes experienced by the patients, with the advantage of being less time-consuming for the clinician, compared to the CAI-Sp, which requires two interviews of approximately 15 minutes each to be completed. Although they are not comparable in terms of the information obtained, these instruments can be added to the tools of the clinician, depending on the aims and available time. Neuropsychological testing is undoubtedly the gold standard for assessing cognitive impairment, but we may consider other instruments to approximate cognitive impairment, such as self-reported measures and interview-based scales, which may have more ecological validity and help in making clinical decisions.

4.2. Limitations

Our sample was heterogeneous regarding diagnoses due to naturalistic recruitment. Thus, our results cannot be generalized to specific diagnoses. Additionally, we mixed outpatients and inpatients, although inpatients were clinically stabilized and close to discharge.

This was a cross-sectional study, which can also be considered a limitation. Longitudinal studies are desirable to assess the stability over time of the associations found.

Assessment of cognitive impairment in psychosis spectrum disorders through self-reported and interview-based measures. Sánchez-Torres AM, Moreno-Izco L, Gil-Berrozpe GJ, Lorente-Omeñaca R, Zandio M, Zarzuela A, Peralta V, Cuesta MJ. Eur Arch Psychiatry Clin Neurosci. 2022 Oct;272(7):1183-1192. doi: 10.1007/s00406-022-01399-4.

5. REFERENCES

1. Reichenberg A, Harvey PD, Bowie CR, Mojtabai R, Rabinowitz J, Heaton RK, Bromet E (2009) Neuropsychological function and dysfunction in schizophrenia and psychotic affective disorders. Schizophr Bull 35 (5):1022-1029. doi:10.1093/schbul/sbn044

2. Green MF, Nuechterlein KH, Kern RS, Baade LE, Fenton WS, Gold JM, Keefe RS, Mesholam-Gately R, Seidman LJ, Stover E, Marder SR (2008) Functional co-primary measures for clinical trials in schizophrenia: results from the MATRICS Psychometric and Standardization Study. Am J Psychiatry 165 (2):221-228. doi:10.1176/appi.ajp.2007.07010089

3. Ventura J, Cienfuegos A, Boxer O, Bilder R (2008) Clinical global impression of cognition in schizophrenia (CGI-CogS): reliability and validity of a co-primary measure of cognition. Schizophr Res 106 (1):59-69. doi:10.1016/j.schres.2007.07.025

4. Ventura J, Reise SP, Keefe RS, Hurford IM, Wood RC, Bilder RM (2013) The Cognitive Assessment Interview (CAI): reliability and validity of a brief interview-based measure of cognition. Schizophr Bull 39 (3):583-591. doi:10.1093/schbul/sbs001

5. Keefe RS, Poe M, Walker TM, Kang JW, Harvey PD (2006) The Schizophrenia Cognition Rating Scale: an interview-based assessment and its relationship to cognition, real-world functioning, and functional capacity. Am J Psychiatry 163 (3):426-432. doi:10.1176/appi.ajp.163.3.426

6. Green MF, Nuechterlein KH, Gold JM, Barch DM, Cohen J, Essock S, Fenton WS, Frese F, Goldberg TE, Heaton RK, Keefe RS, Kern RS, Kraemer H, Stover E, Weinberger DR, Zalcman S, Marder SR (2004) Approaching a consensus cognitive battery for clinical trials in schizophrenia: the NIMH-MATRICS conference to select cognitive domains and test criteria. Biol Psychiatry 56 (5):301-307

7. Green MF, Nuechterlein KH (2004) The MATRICS initiative: developing a consensus cognitive battery for clinical trials. Schizophr Res 72 (1):1-3. doi:10.1016/j.schres.2004.09.006

8. Green MF, Kern RS, Heaton RK (2004) Longitudinal studies of cognition and functional outcome in schizophrenia: implications for MATRICS. Schizophr Res 72 (1):41-51. doi:10.1016/j.schres.2004.09.009

9. Sánchez-Torres AM, Elosúa MR, Lorente-Omeñaca R, Moreno-Izco L, Peralta V, Cuesta MJ (2016) The Cognitive Assessment Interview: A comparative study in first episode and chronic patients with psychosis. Schizophr Res 178 (1-3):80-85. doi:10.1016/j.schres.2016.08.028

10. Sánchez-Torres AM, Elosúa MR, Lorente-Omeñaca R, Moreno-Izco L, Peralta V, Ventura J, Cuesta MJ (2016) Using the cognitive assessment interview to screen cognitive impairment in psychosis. Eur Arch Psychiatry Clin Neurosci 266 (7):629-637. doi:10.1007/s00406-016-0700-y

11. Zanello A, Huguelet P (2001) Relationship between subjective cognitive symptoms and frontal executive abilities in chronic schizophrenic outpatients. Psychopathology 34 (3):153-158. doi:10.1159/000049299

12. Schultze-Lutter F (2009) Subjective symptoms of schizophrenia in research and the clinic: the basic symptom concept. Schizophr Bull 35 (1):5-8. doi:10.1093/schbul/sbn139

13. Schultze-Lutter F, Klosterkötter J, Ruhrmann S (2014) Improving the clinical prediction of psychosis by combining ultra-high risk criteria and cognitive basic symptoms. Schizophr Res 154 (1-3):100-106. doi:10.1016/j.schres.2014.02.010

14. Ruhrmann S, Schultze-Lutter F, Salokangas RK, Heinimaa M, Linszen D, Dingemans P, Birchwood M, Patterson P, Juckel G, Heinz A, Morrison A, Lewis S, von Reventlow HG, Klosterkötter J (2010) Prediction of psychosis in adolescents and young adults at high risk: results from the prospective European prediction of psychosis study. Arch Gen Psychiatry 67 (3):241-251. doi:10.1001/archgenpsychiatry.2009.206

15. Michel C, Ruhrmann S, Schimmelmann BG, Klosterkötter J, Schultze-Lutter F (2018) Course of clinical high-risk states for psychosis beyond conversion. Eur Arch Psychiatry Clin Neurosci 268 (1):39-48. doi:10.1007/s00406-016-0764-8

16. Addington J (2003) The prodromal stage of psychotic illness: observation, detection or intervention? J Psychiatry Neurosci 28 (2):93-97

17. Peralta V, Cuesta MJ (1994) Subjective experiences in schizophrenia: a critical review. Compr Psychiatry 35 (3):198-204

18. Miret S, Fatjó-Vilas M, Peralta V, Fañanás L (2016) [Basic symptoms in schizophrenia, their clinical study and relevance in research]. Rev Psiquiatr Salud Ment 9 (2):111-122. doi:10.1016/j.rpsm.2015.10.007

19. Cuesta MJ, Peralta V, Irigoyen I (1996) Factor analysis of the Frankfurt Complaint Questionnaire in a Spanish sample. Psychopathology 29 (1):46-53

20. Süllwold L (1986) Frankfurter Beschwerde-Fragebogen (3). In: Huber G, Süllwold, L. (ed) Schizophrene Basisstörungen. Springer, Berlin,

21. Cuesta MJ, Peralta V, Irigoyen I (1995) Escala de experiencias subjetivas Frankfurt-Pamplona. Actas Luso-Esp Neurol Psiquiatr 23 (4):7

22. Loas G, Yon V, Brien D (2002) Dimensional structure of the Frankfurt Complaint Questionnaire. Compr Psychiatry 43 (5):397-403. doi:10.1053/comp.2002.33487

23. Uttinger M, Studerus E, Ittig S, Heitz U, Schultze-Lutter F, Riecher-Rössler A (2018) The Frankfurt Complaint Questionnaire for self-assessment of basic symptoms in the early detection of psychosis-Factor structure, reliability, and predictive validity. Int J Methods Psychiatr Res 27 (2):e1600. doi:10.1002/mpr.1600

24. Cuesta MJ, Sánchez-Torres AM, Lorente-Omeñaca R, Zandio M, Moreno-Izco L, Peralta V (2020) Validity and utility of a set of clinical criteria for cognitive impairment associated with psychosis (CIAPs). Psychiatry research 293:113404. doi:10.1016/j.psychres.2020.113404

25. Cuesta MJ, Sánchez-Torres AM, Lorente-Omeñaca R, Moreno-Izco L, Peralta V (2021) Cognitive, community functioning and clinical correlates of the Clinical Assessment Interview for Negative Symptoms (CAINS) in psychotic disorders. Eur Arch Psychiatry Clin Neurosci 271 (8):1537-1546. doi:10.1007/s00406-020-01188-x

26. Cuesta MJ, Sánchez-Torres AM, Gil-Berrozpe G, Lorente-Omeñaca R, Moreno-Izco L, Peralta V (2021) A neuropsychological study on Leonhard's nosological system. Eur Arch Psychiatry Clin Neurosci. doi:10.1007/s00406-021-01298-0

б

27. Sánchez-Torres AM, Elosúa MR, Lorente-Omeñaca R, Moreno-Izco L, Peralta V, Cuesta MJ (2017) Lifetime psychopathological dimensions, cognitive impairment and functional outcome in psychosis. Schizophr Res 179:30-35. doi:10.1016/j.schres.2016.10.002

28. Sanchez-Torres AM, Moreno-Izco L, Lorente-Omenaca R, Cabrera B, Lobo A, Gonzalez-Pinto AM, Merchan-Naranjo J, Corripio I, Vieta E, de la Serna E, Butjosa A, Contreras F, Sarro S, Mezquida G, Ribeiro M, Bernardo M, Cuesta MJ (2017) Individual trajectories of cognitive performance in first episode psychosis: a 2-year follow-up study. European archives of psychiatry and clinical neuroscience

29. Moreno-Izco L, Sanchez-Torres AM, Lorente-Omenaca R, Fananas L, Rosa A, Salvatore P, Peralta V, Cuesta MJ (2015) Ten-year stability of self-reported schizotypal personality features in patients with psychosis and their healthy siblings. Psychiatry research

30. Andreasen N (1992) Comprehensive assessment of symptoms and history (CASH): an instrument for assessing diagnosis and psychopathology. Arch Gen Psychiatry 49:615-623

31. Ventura J, Reise SP, Keefe RS, Baade LE, Gold JM, Green MF, Kern RS, Mesholam-Gately R, Nuechterlein KH, Seidman LJ, Bilder RM (2010) The Cognitive Assessment Interview (CAI): development and validation of an empirically derived, brief interview-based measure of cognition. Schizophr Res 121 (1-3):24-31

32. Nuechterlein KH, Green, M.F. (2006) MCCB: Matrics Consensus Cognitive Battery. Matrics Assessment, Los Angeles

33. González JM, Rubin M, Fredrick MM, Velligan DI (2013) A qualitative assessment of cross-cultural adaptation of intermediate measures for schizophrenia in multisite international studies. Psychiatry Res 206 (2-3):166-172

34. Velligan DI, Rubin M, Fredrick MM, Mintz J, Nuechterlein KH, Schooler NR, Jaeger J, Peters NM, Buller R, Marder SR, Dube S (2012) The cultural adaptability of intermediate measures of functional outcome in schizophrenia. Schizophr Bull 38 (3):630-641

35. Cornblatt BA, Risch NJ, Faris G, Friedman D, Erlenmeyer-Kimling L (1988) The Continuous Performance Test, identical pairs version (CPT-IP): I. New findings about sustained attention in normal families. Psychiatry Res 26 (2):223-238

36. Wechsler D (1999) WAIS-III: Escala de Inteligencia Wechsler para Adultos Tercera Edición TEA, Madrid

37. Wechsler D (2004) WMS-III: Escala de Memoria de Wechsler Tercera Edición TEA, Madrid

38. Golden CJ (2007) Stroop. Test de Colores y Palabras. Traducido y adaptado por Deptartamento I+D de TEA Ediciones. (Stroop. Test de Colores y Palabras). 5ª edn. TEA Ediciones, Madrid

39. Reitan R, Wolfson D (1993) The Halstead-Reitan Neuropsychological Test Battery: Theory and clinical interpretation. Neuropsychology Press, Tucson, AZ

40. Benedet MJ, Alejandre MA (1998) Test de Aprendizaje Verbal España-Complutense. TEA Ediciones, Madrid

41. Benedict RHB (1997) Brief Visuospatial Memory Test-Revised. Psychological Assessment Resources, Odessa, FL

42. Heaton RK, Chelune, G.J., Talley, J.L., Talley, J.L., Kay, G.G., Curtiss, G. (1993) Wisconsin Card Sorting Test (WCST)-CV-64 Psychological Assessment Resources, Odessa, FL

43. Burgess P, Shallice T (1997) The Hayling and Brixton Tests. Test manual. Thames Valley Test Company, Bury St Edmunds, UK

44. Mayer JD, Salovey P, Caruso DR (2009) Mayer-Salovey-Caruso Emotional Intelligence Test (Spanish version). TEA Ediciones, Madrid

45. IBM Corp. (2017) IBM SPSS Statistics for Windows, Version 25.0.

46. Serra-Blasco M, Torres IJ, Vicent-Gil M, Goldberg X, Navarra-Ventura G, Aguilar E, Via E, Portella MJ, Figuereo I, Palao D, Lam RW, Cardoner N (2019) Discrepancy between objective and subjective cognition in major depressive disorder. Eur Neuropsychopharmacol 29 (1):46-56. doi:https://doi.org/10.1016/j.euroneuro.2018.11.1104

47. Schwert C, Stohrer M, Aschenbrenner S, Weisbrod M, Schröder A (2018) Biased neurocognitive self-perception in depressive and in healthy persons. J Affect Disord 232:96-102. doi:https://doi.org/10.1016/j.jad.2018.02.031

48. Lin X, Lu D, Huang Z, Chen W, Luo X, Zhu Y (2019) The associations between subjective and objective cognitive functioning across manic or hypomanic, depressed, and euthymic states in Chinese bipolar patients. J Affect Disord 249:73-81. doi:<u>https://doi.org/10.1016/j.jad.2019.02.025</u>

49. Burton CZ, Twamley EW (2015) Neurocognitive insight, treatment utilization, and cognitive training outcomes in schizophrenia. Schizophr Res 161 (2-3):399-402. doi:10.1016/j.schres.2014.12.002

50. Durand D, Strassnig M, Sabbag S, Gould F, Twamley EW, Patterson TL, Harvey PD (2015) Factors influencing self-assessment of cognition and functioning in schizophrenia: implications for treatment studies. Eur Neuropsychopharmacol 25 (2):185-191. doi:10.1016/j.euroneuro.2014.07.008

51. Ventura J, Subotnik KL, Ered A, Hellemann GS, Nuechterlein KH (2016) Cognitive Assessment Interview (CAI): Validity as a co-primary measure of cognition across phases of schizophrenia. Schizophr Res 172 (1-3):137-142. doi:10.1016/j.schres.2016.01.028

52. Sergi MJ, Rassovsky Y, Widmark C, Reist C, Erhart S, Braff DL, Marder SR, Green MF (2007) Social cognition in schizophrenia: relationships with neurocognition and negative symptoms. Schizophr Res 90 (1-3):316-324. doi:10.1016/j.schres.2006.09.028

Assessment of cognitive impairment in psychosis spectrum disorders through self-reported and interview-based measures. Sánchez-Torres AM, Moreno-Izco L, Gil-Berrozpe GJ, Lorente-Omeñaca R, Zandio M, Zarzuela A, Peralta V, Cuesta MJ. Eur Arch Psychiatry Clin Neurosci. 2022 Oct;272(7):1183-1192. doi: 10.1007/s00406-022-01399-4.

53. Mehta UM, Thirthalli J, Subbakrishna DK, Gangadhar BN, Eack SM, Keshavan MS (2013) Social and neuro-cognition as distinct cognitive factors in schizophrenia: a systematic review. Schizophr Res 148 (1-3):3-11. doi:10.1016/j.schres.2013.05.009

54. González-Ortega I, González-Pinto A, Alberich S, Echeburúa E, Bernardo M, Cabrera B, Amoretti S, Lobo A, Arango C, Corripio I, Vieta E, de la Serna E, Rodriguez-Jimenez R, Segarra R, López-Ilundain JM, Sánchez-Torres AM, Cuesta MJ, Zorrilla I, López P, Bioque M, Mezquida G, Barcones F, De-la-Cámara C, Parellada M, Espliego A, Alonso-Solís A, Grasa EM, Varo C, Montejo L, Castro-Fornieles J, Baeza I, Dompablo M, Torio I, Zabala A, Eguiluz JI, Moreno-Izco L, Sanjuan J, Guirado R, Cáceres I, Garnier P, Contreras F, Bobes J, Al-Halabí S, Usall J, Butjosa A, Sarró S, Landin-Romero R, Ibáñez A, Selva G (2020) Influence of social cognition as a mediator between cognitive reserve and psychosocial functioning in patients with first episode psychosis. Psychol Med 50 (16):2702-2710. doi:10.1017/s0033291719002794

55. Schmidt SJ, Mueller DR, Roder V (2011) Social cognition as a mediator variable between neurocognition and functional outcome in schizophrenia: empirical review and new results by structural equation modeling. Schizophr Bull 37 Suppl 2:S41-54. doi:10.1093/schbul/sbr079

56. Fusar-Poli P, Cappucciati M, Borgwardt S, Woods SW, Addington J, Nelson B, Nieman DH, Stahl DR, Rutigliano G, Riecher-Rossler A, Simon AE, Mizuno M, Lee TY, Kwon JS, Lam MM, Perez J, Keri S, Amminger P, Metzler S, Kawohl W, Rossler W, Lee J, Labad J, Ziermans T, An SK, Liu CC, Woodberry KA, Braham A, Corcoran C, McGorry P, Yung AR, McGuire PK (2016) Heterogeneity of Psychosis Risk Within Individuals at Clinical High Risk: A Meta-analytical Stratification. JAMA psychiatry 73 (2):113-120. doi:10.1001/jamapsychiatry.2015.2324

57. Cuesta MJ, Peralta V, Juan JA (1996) Abnormal subjective experiences in schizophrenia: its relationships with neuropsychological disturbances and frontal signs. Eur Arch Psychiatry Clin Neurosci 246 (2):101-105

58. Glenthoj LB, Bailey B, Kristensen TD, Wenneberg C, Hjorthoj C, Nordentoft M (2020) Basic symptoms influence real-life functioning and symptoms in individuals at high risk for psychosis. Acta Psychiatr Scand 141 (3):231-240. doi:10.1111/acps.13117

59. Schultze-Lutter F, Ruhrmann S, Picker H, von Reventlow HG, Daumann B, Brockhaus-Dumke A, Klosterkkotter J, Pukrop R (2007) Relationship between subjective and objective cognitive function in the early and late prodrome. Br J Psychiatry Suppl 51:s43-51. doi:10.1192/bjp.191.51.s43

60. Comparelli A, De Carolis A, Corigliano V, Romano S, Kotzalidis G, Brugnoli R, Tamorri S, Curto M, Tatarelli R, Ferracuti S, Girardi P (2012) Neurocognition, psychopathology, and subjective disturbances in schizophrenia: a comparison between short-term and remitted patients. Compr Psychiatry 53 (7):931-939. doi:10.1016/j.comppsych.2012.02.007

61. Oberauer K (2019) Working Memory and Attention - A Conceptual Analysis and Review. J Cogn 2 (1):36. doi:10.5334/joc.58

62. Gould F, McGuire LS, Durand D, Sabbag S, Larrauri C, Patterson TL, Twamley EW, Harvey PD (2015) Self-assessment in schizophrenia: Accuracy of evaluation of cognition and everyday functioning. Neuropsychology 29 (5):675-682. doi:10.1037/neu0000175

Assessment of cognitive impairment in psychosis spectrum disorders through self-reported and interview-based measures. Sánchez-Torres AM, Moreno-Izco L, Gil-Berrozpe GJ, Lorente-Omeñaca R, Zandio M, Zarzuela A, Peralta V, Cuesta MJ. Eur Arch Psychiatry Clin Neurosci. 2022 Oct;272(7):1183-1192. doi: 10.1007/s00406-022-01399-4.

63. Dang J, King KM, Inzlicht M (2020) Why Are Self-Report and Behavioral Measures Weakly Correlated? Trends Cogn Sci 24 (4):267-269. doi:10.1016/j.tics.2020.01.007

64. Hedge C, Powell G, Sumner P (2018) The reliability paradox: Why robust cognitive tasks do not produce reliable individual differences. Behav Res Methods 50 (3):1166-1186. doi:10.3758/s13428-017-0935-1

Cognitive domain	Test and measures used to calculate the domain's composite	Chronbach's	
	score	alpha	
Attention/vigilance	Continuous Performance Test-Identical pairs [35,32]: d' scores		
	(2, 3 and 4 digits).		
	Digits forward (WAIS-III) [36]: direct score	0.767	
	Spatial Span forward of the Wechsler Memory Scale-III (WMS-		
	III) [37] : direct score		
Processing speed	Digit Symbol Coding and Symbol Search subtests of the WAIS-III:		
	direct scores		
	Word and Word-colour parts of the Stroop test [38]: direct	0.851	
	scores		
	Trail Making Test (form A) [39]: time in seconds		
Verbal memory	España-Complutense Verbal Learning Test (TAVEC) [40] :Short	0.833	
	and long-term free recall and recognition scores	0.855	
Visual memory	Brief Visual Memory Test-Revised (BVMT-R) [41]: direct score		
Working memory	Digit and Spatial Span backwards tests (WAIS-III and WMS-III,		
	respectively): direct scores	0.807	
	Letter-number Sequencing (WAIS-III):direct score	0.807	
	Arithmetics (WAIS-III): direct score		
Executive	Wisconsin Card Sorting Test-64 cards computerised version		
functions	(WCST-64) [42]: total number of categories, total number of		
	errors, number of perseverative errors and number of		
	conceptual-level responses)	0.811	
	Hayling Test [43]: total score		
	Semantic and phonological fluency: number of animal names		
	and words starting with "p" produced in 1 minute, respectively		
Social cognition	Managing Emotions section of the Mayer-Salovey-Caruso		
	Emotional Intelligence Test (MSCEIT) [44]: total section score		

Table 2. Sociodemographic and clinical characteristics of the sample.

	Patients (n=114)	Controls (n=50)	Students' t / X ² / z
Age	35.28 (7.37)	32.72 (8.94)	n.s.
Gender (F/M)	38/76	27/23	6.21 (p=0.013)
Education	11.63 (3.48)	13.90 (3.11)	-4.07 (p<0.001)*
Age at illness onset	24.90 (8.17)	-	
Years since illness onset	11.75 (7.62)	-	
Antipsychotic treatment (CPZ	412.64 (306.44)		
equivalents, mg per day)			
NEUROPSYCHOLOGICAL ASSESS	MENT		
Attention	-0.89 (0.90)	0 (0.73)	-6.17 (p<0.001)
Processing speed	-1.37 (0.95)	0 (0.77)	-8.91 (p<0.001)
Verbal memory	-0.92 (1.19)	0 (0.86)	-4.69 (p<0.001)*
Visual memory	-1.26 (1.46)	0 (1)	-5.37 (p<0.001)*
Working memory	-0.77 (0.78)	0 (0.77)	-5.82 (p<0.001)
Executive functioning	-1.23 (1.20)	0 (0.62)	-6.72 (p<0.001)*
Social cognition	-0.79 (0.92)	0 (1)	-4.92 (p<0.001)
DSM-IV DIAGNOSIS			
Schizophrenia	59 (51.8)		
Schizoaffective disorder	22 (19.3)		
Bipolar disorder	29 (25.4)		
Brief psychotic disorder	4 (3.5)		
PSYCHOPATHOLOGICAL DIMENS	IONS		
Positive	2.21 (1.33)		
Negative	2.11 (1.50)		
Disorganization	1.39 (1.10)		
Depression	1.79 (1.53)		
Mania	0.86 (1.16)		
CAI-Sp			
CAI-Sp patient	16.19 (7.57)		
CAI-Sp informant	18.17 (10.89)		
CAI-Sp rater	21.65 (11.13)		
Frankfurt Complaint Questionna	ire (FCQ)		
FCQ total score	26.56 (22.12)		

*Mann Whitney U

	CAI-Sp Patient	CAI-Sp Informant	CAI- Sp rater	FCQ total score	
SOCIODEMOGRAPHIC A					
Age	0.03	0.04	-0.03	0.20	
Education	0.30**	0.40**	0.49**	0.14	
Positive	-0.43**	-0.34**	-0.48**	-0.21*	
Negative	-0.57**	-0.60**	-0.64**	-0.11	
Disorganised	-0.29**	-0.25*	-0.36**	0.05	
Mania	0.24*	0.08	0.18	0.13	
Depression	-0.38**	-0.28*	-0.23*	-0.30**	
CPZ	0.19	0.07	0.20*	0.04	
COGNITIVE DOMAINS					
Attention	0.38**	0.43**	0.53**	0.27**	
Processing speed	0.42**	0.34**	0.53**	0.21*	
Verbal memory	0.09	0.03	0.17	-0.09	
Visual memory	0.29**	0.33**	0.42**	0.09	
Working memory	0.39**	0.35**	0.54**	0.22*	
Executive functioning	0.28*	0.31**	0.46**	0.04	
Social cognition	-0.01	0.09	0.14	-0.11	

 Table 3. Spearman correlations between CAI-Sp, FCQ and cognitive domains and clinical syndromes.

CPZ: antipsychotic treatment in chlorpromazine equivalents

* p<0.05

**p<0.003

Hierarchical regression analyses	Dependent variable	Variables in the model	β (Cl 95%)	p-value	Step 1 Adjusted R ²	Step 2 Adjusted R ²
1 st step: Education, positive, negative, disorganized, mania and depressive symptoms 2 nd step: cognitive functions (1 function per analysis)	CAI-Sp patient ^a	Depressive symptoms Attention	-0.033 (-0.053, -0.013) 0.047 (0.015, 0.079)	<0.001 0.004	0.359	0.407
		Manic symptoms Depressive symptoms Processing speed	0.035 (0.012, 0.057) -0.03 (-0.048, -0.012) 0.057 (0.027, 0.087)	0.003 0.002 <0.001	0.382	0.455
		Manic symptoms Depressive symptoms Working memory	0.032 (0.009, 0.055) -0.031 (-0.05, -0.012) 0.057 (0.017, 0.097)	0.008 0.002 0.006	0.386	0.426
		**Manic symptoms Depressive symptoms Negative symptoms Visual memory	0.427 (0.064, 0.79) -0.39 (-0.677, -0.103) -0.542 (-0.889, -0.195) -	0.021 0.008 0.002 n.s.	0.422*	0.440*
		Manic symptoms Depressive symptoms Executive functions	0.03 (0.005, 0.054) -0.031 (-0.051, -0.011) -	0.017 0.002 n.s.	0.385	0.383
1st step: Education, positive, negative, disorganized, and	CAI-Sp informant ^a	Negative symptoms Attention	-0.056 (-0.085, 0.027) -	<0.001 n.s.	0.391	0.395
depressive symptoms 2nd step: cognitive functions (1		Negative symptoms Working Memory	-0.059 (-0.088, -0.03) -	<0.001 n.s.	0.413	0.422
function per analysis)		Negative symptoms Processing speed	-0.06 (-0.089, -0.032) -	<0.001 n.s.	0.383	0.383
		Negative symptoms Executive functions	-0.054 (-0.084, -0.025)	0.001 n.s.	0.381	0.374
1st step: Education, positive, negative, disorganized, and depressive symptoms 2nd step: cognitive functions (1 function per analysis)	CAI-Sp rater ^a	Negative symptoms Attention	-0.61 (-0.086, -0.036) 0.059 (0.025, 0.094)	<0.001 0.001	0.524	0.573
		Negative symptoms Working memory	-0.065 (-0.09, -0.04) 0.076 (0.03, 0.122)	<0.001 0.001	0.519	0.565
		Negative symptoms Processing speed	-0.068 (-0.09, -0.044) 0.07 (0.035, 0.104)	<0.001 <0.001	0.519	0.585
		Education Negative symptoms Visual memory	0.014 (0.003, 0.024) -0.069 (-0.095, -0.044) -	0.013 <0.001 n.s.	0.519	0.536
		Education Negative symptoms Executive functions	0.013 (0.003, 0.024) -0.065 (-0.091, -0.039) 0.037 (0.008, 0.067)	0.016 <0.001 0.013	0.513	0.540
1st step: Positive and depressive symptoms 2nd step: Cognitive functions (1 function per analysis)	FCQ ^b	Depressive symptoms Attention	-0.435 (-0.736, -0.134) 0.657 (0.185, 1.128)	0.005 0.007	0.092	0.155
		Depressive symptoms Processing speed	-0.413 (-0.727, -0.100) -	0.010 n.s.	0.091	0.109
		Depressive symptoms Working memory	-0.403 (-0.714, -0.092) -	0.012 n.s.	0.091	0.115

CI: Confidence interval

^a Bonferroni correction for multiple comparisons: 0.01

^b Bonferroni correction for multiple comparisons: 0.017

*Nagelkerke R

**Ordinal regression

Supplementary Material

Click here to access/download Supplementary Material Supplementary_material.pdf