

1 **GENERATION OF THE SITE-ADAPTED CLEAREST-SKY YEAR OF DIRECT**
2 **NORMAL IRRADIANCE FOR SOLAR CONCENTRATING TECHNOLOGIES**

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7 **ABSTRACT**

8 Concentrating photovoltaic and thermoelectric solar facilities base their operation on
9 collecting the direct component of solar radiation. Given that the direct beam that
10 reaches the Earth's surface varies greatly in time and space, it is common to assist the
11 bankability of projects with a solar resource assessment. Sun-tracking collector plants
12 are typically examined via a time series analysis of measured weather data and test
13 reference years. Such analysis, which considers the eventual presence of clouds, may be
14 complemented with the use of the synthetic clear-sky year assuring the maximum
15 theoretical availability of direct normal irradiance at a site. This work introduces for the
16 first time the concept of site-adapted clearest-sky year (CSY) and provides a
17 methodology for its generation. Three methods to build the CSY and one algorithm to
18 detect clear-sky moments are proposed.

19 **KEY WORDS**

20 Direct normal irradiance, clear-sky year, concentrating photovoltaics, concentrating
21 solar power, solar resource assessment.

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Nomenclature			
BIFMCP	Backwards Interpolate Forward Measure Correlate Predict	IMCP	Interpolate Model Measure Correlate Predict
CPV	Concentrating photovoltaics	m	Air mass
CSY	Site-adapted clearest-sky year	MCP	Measure Correlate Predict
CSY _{BIFMCP}	CSY obtained with BIFMCP	MCPI	Measure Correlate Predict Interpolate
CSY _{IMCP}	CSY obtained with IMCP	TL(AM2)	Linke turbidity factor for air mass 2
CSY _{MCPI}	CSY obtained with MCPI	TMY	Typical meteorological year
DHI	Diffuse horizontal irradiance, W/m ²	UMY	Untypical meteorological year
DNI	Direct normal irradiance, W/m ²	XMY	Extreme meteorological year
DNI _r	DNI in the reference site, W/m ²		Greek symbols
DNI _t	DNI in the target site, W/m ²	α	Slope of the regression line
GCR	Ground cover ratio	β	Offset of the regression line
GHI	Global horizontal irradiance, W/m ²	δ_{Rayleigh}	Integrated Rayleigh optical thickness for a clean and dry atmosphere
G _{SC}	Solar constant (1367 W/m ²)	E	Correction due to Sun-Earth distance

25 1. INTRODUCTION

26 A solar energy facility project should rely on an accurate assessment of the local solar
 27 resource. This examination would supply any subsequent simulation with essential local
 28 radiation knowledge, enabling a comprehensive evaluation of the facility performance
 29 subjected to different working hypotheses.

30 Considering that the direct normal irradiance (DNI) is the energy source for
 31 concentrating plants, when it comes to assessing their electrical long-term output, it
 32 would be convenient to have long time series of DNI measurements registered at very
 33 high frequency (on the order of a few minutes) at the project site. Unfortunately, such
 34 data are not generally available and it is necessary to resort to the use of mathematical
 35 models to estimate the local DNI.

36 For engineering applications, the literature reports numerous clear sky models that
 37 determine the DNI from the knowledge of atmospheric attenuators, both spectral
 38 (SMARTS2, described in [1, 2]) and parametric or broadband (Bird and Hulstrom,
 39 ESRA, Solis, or REST2 [3-6]). Far fewer models address the problem in the case of

40 skies with clouds. One of them is METSTAT (Meteorological/Statistical) [7], a
41 parametric model suitable for any type of sky which has been successfully used in the
42 United States to generate the National Solar Radiation Database (NSRDB) [8].
43 However, METSTAT is difficult to apply outside the United States due to the general
44 lack of cloud coverage data. A more recent parametric model, with the same capability
45 as METSTAT, is the MRM (Meteorological Radiation Model) [9, 10], developed in the
46 National Observatory of Athens (NOA). MRM can work worldwide and uses
47 meteorological data (temperature, relative humidity, atmospheric pressure, sunshine
48 duration), actual atmospheric aerosol data, aerosol model, ozone amount and cloud
49 products (cloud optical depth and cloud fraction) as input.
50 In contrast to those physical models for engineering applications, which compute the
51 DNI after considering the atmosphere as an attenuating medium in the path of the
52 extraterrestrial radiation towards the Earth's surface, global-to-direct models estimate
53 the DNI from global horizontal irradiance (GHI) data as the main input. This is the case
54 for the DISC [11], DirInt [12] or DirIndex [13] models, which are valid for any type of
55 sky. Global-to-direct models benefit from the greater availability of global radiation
56 data measured on the ground.
57 Another alternative to obtain the DNI for any type of sky are highly sophisticated
58 spectral codes (LOWTRAN [14], MODTRAN [15], libRadtran [16]). These models are
59 typically used by atmospheric scientists to carefully analyze the behavior of the
60 atmosphere or to evaluate climate change, and can incorporate cloudiness and its
61 distribution into different layers. In engineering applications, this high degree of
62 detailed information to the model may be relaxed depending on the required accuracy of
63 the results.

64 Figure 1 summarizes the models to determine the local DNI. Depending on the type of
65 sky and available input variables, the project designer will have to choose the model to
66 determine the locally incident DNI. Table 1 in Gueymard [17] contains an interesting
67 list of clear-sky parametric models that currently may be enlarged with Solis, ESRA,
68 REST2 and McClear [18] models. McClear is a physical model for cloud-free skies,
69 which is based on look-up tables computed with libRadtran.

70 FIGURE 1

71 Fig. 1. Set of models to determine the local DNI for the cases of clear sky and any sky.

72 The input parameters to the models can be obtained from on-ground instruments or
73 from satellite on-board instruments. Instrumentation installed on the surface of the Earth
74 offers very accurate measurements of radiation components, such as DNI, or of
75 atmospheric variables (aerosol levels, water vapor, ozone, barometric pressure,
76 temperature...) that feed the models to deliver the DNI. Satellite data sources are often
77 employed when there are no data available from on-ground instruments. Satellites can
78 provide an estimation of DNI for large regions of the Earth, at the cost of a lower
79 accuracy compared to conventional on-ground instrumentation. To that end, the
80 terrestrial albedo derived from the radiance measured by satellites is used to infer the
81 cloud cover, which, in turn, leads to the global irradiance on horizontal surface under
82 any sky. For clear skies, a model like the one involved in the production of the
83 European Solar Radiation Atlas (ESRA) [4, 19] can be applied to estimate GHI. Then,
84 with the GHI or with satellite measurements of atmospheric variables, and one of the
85 models in Figure 1, the DNI can be determined. Examples of satellite data sources are,
86 among others, the TOMS [20] and Terra [21] missions of the United States, or the CM
87 SAF project [22] of the European Union.

88 In addition to the models and data sources accessible for free, commercial solar
89 radiation databases exist, such as Meteonorm [23], Solar Radiation Data (SoDa) [24],

90 and others. These products offer DNI values for a specific location for customers who
91 do not want to manage terrestrial and satellite measurements, interpolations, and any of
92 the models discussed because the commercial products perform this service.

93 Bearing in mind about the modeling tools and data sources of the foregoing paragraphs,
94 today it is possible to claim that acquisition of DNI data is no longer an insurmountable
95 obstacle for solar resource assessment. Rather, it may be said that the pillars for a good
96 assessment are the quality of the DNI data used, and the suitability of the chosen model
97 for the project site. The latter may be decided from a benchmark of models, but after all
98 this also relies on the quality of the DNI data. Therefore, the quality of the DNI data
99 becomes the key for a trustworthy assessment.

100 Closely linked to the quality of data, features such as the representativeness of the
101 gathered data and their interannual variability are crucial in evaluating the feasibility of
102 a project. These issues have been traditionally dealt with typical meteorological years
103 (TMY).

104 A typical meteorological year, also known as test reference year, is a synthetic year
105 ordinarily composed of the concatenation of 12 actual months, usually from different
106 years, drawn from the historical series of measurements of several meteorological
107 indicators. In particular, once the long DNI series for a project site is known, it can be
108 incorporated into the construction of a TMY for that location.

109 The use of TMYs has been proposed in many papers (Hall et al., Festa and Ratto,
110 Gazela and Mathioulakis, Thevenard and Brunger, Wilcox and Marion, García and
111 Torres [25-30]), and included in standards (EN ISO 15927-4, 2005) [31], when, in the
112 design phase, it is desired to ascertain the long-term performance of solar energy
113 harnessing facilities.

114 By definition TMYs reproduce well the typical conditions, but miss extreme values. For
115 applications where extremes matter, it is advisable to build an extreme year. In the field
116 of bioclimatic architecture and building energy performance, some solutions for this
117 topic have been proposed. While Narowski et al. [32] have developed several untypical
118 meteorological years (UMY) by varying the weighting indices implicated in the
119 generation of TMYs, Crawley and Lawrie [33] have created extreme meteorological
120 years (XMY) by selecting months with daily maxima and minima, and highest and
121 lowest hourly average values of the set of variables involved in the TMYs.

122 Inspired by extreme years and as a complement to TMYs, a site-adapted clear-sky year
123 of maximum energy content might provide overlooked opportunities to enrich the
124 analysis and understanding of the concentrating technology plants. In the framework of
125 DNI, a clear-sky year is an entire year of a permanently clear or cloud-free line-of-sight
126 between the solar disc and a Sun-pointing device placed at the location of interest.

127 Consequently, the site-adapted clear-sky year with maximum energy content will be the
128 clear-sky year made of the clear-sky days with the highest energy content for a given
129 location. Since “site-adapted clear-sky year with maximum energy content” is a little bit
130 long for a name, hereafter it will be referred to as “site-adapted clearest-sky year”, and
131 even simpler, “clearest-sky year” (CSY). The term “clearest” implies the absence of
132 clouds and the reduced presence of aerosol.

133 Apart from semantic questions, the important thing is that the clearest-sky year is site-
134 specific and constitutes the scenario of maximum theoretical available solar resource,
135 which at the same time is the most critical context for capture losses in that location. In
136 the case of a Sun-tracking collector facility the CSY might be at least helpful to better
137 characterize the performance, test different plant layouts, and consider the impact of
138 potential restrictions on the range of motion of the trackers. The amount of DNI on the

139 trackers, assuming no restrictions on their motions, depends on the mutual shadowing
140 and the type of sky. Shadows can be considered deterministically by solving a
141 geometrical problem. However, the type of sky introduces uncertainty due to the partial
142 degrees of cloudiness. The site-adapted CSY, as with any clear-sky year, removes
143 cloudiness from the calculations and, additionally, sets the upper limit of radiation for a
144 site. The same reasoning, when restricting the range of motion of the Sun trackers, is
145 valid and now viable because the causes and effects under CSY are perfectly identified.
146 As an example of application of the CSY, Figure 2.a represents the pattern layout of a
147 plant of two-axis solar trackers with squared CPV planes, placed at Solar Village
148 (24.907°N, 46.397°E). Mutual shadowing, as reproduced in Figure 2.b, will affect the
149 squared CPV planes to a greater or lesser extent.

150 FIGURE 2

151 Fig. 2. (a) Pattern layout of a plant of two-axis solar trackers with squared CPV planes, placed at Solar
152 Village (24.907°N, 46.397°E). The length and width of any tracker is W , and trackers are equally spaced
153 according to a North-South separation and an East-West separation. (b) A moment of mutual shadowing.
154 Some results of this example are shown in Figures 3.a and 3.b. Figure 3.a exhibits the
155 annual irradiation contour lines under CSY on a squared CPV plane with no restriction
156 in its two-axis solar tracking. Depending on the North-South separation and the East-
157 West separation between adjacent trackers, which are expressed in number of CPV
158 plane widths, the annual maximum theoretical amount in MJm^{-2} (including shadowing
159 losses) is displayed. From these maxima, Figure 3.b shows the annual irradiation ratio
160 for the same squared CPV plane when restrictions in the zenithal range swept by
161 trackers take place, as a function of the ground cover ratio (GCR) [34], which can be
162 calculated from the North-South and East-West separations. These two graphs reveal
163 that for irradiation the East-West separation is more critical than the North-South one
164 (see Figure 3.a) due to the increment of mutual shadowing. If trackers sweep less

165 zenithal range, the mutual shadowing becomes weaker, although gains achieved by
166 reducing the shadows are more than lost by limiting the tilting of the trackers (see
167 Figure 3.b). Since the number of hours of high Sun varies with latitude, the zenithal
168 range of trackers will also affect differently and, therefore, the spacing between curves
169 in Figure 3.b will vary with latitude too. Through the use of a CSY all these issues can
170 be rigorously quantified and other interesting enquiries can be conducted. For instance,
171 the influence of several factors on irradiation like the type of sky, the GCR, the latitude,
172 restrictions in motions, or the shape of the CPV plane, not only for Solar Village but
173 also for other sites. These intra-site and inter-site comparisons provide insight into
174 projects and help improve bankability.

175

176 FIGURE 3

177 Fig. 3. (a). Annual irradiation contour lines (shadowing losses included) under CSY on a squared CPV
178 plane with no restrictions in its two-axis solar tracking. (b) Annual irradiation ratio for the same squared
179 CPV plane submitted to restrictions in the zenithal range.

180 The aim of this contribution is to introduce the concept of CSY for direct normal
181 irradiance, mainly intended for concentrating photovoltaic and concentrating solar
182 power applications and their energy calculations, and to describe the methodology for
183 the generation of such a year.

184

185

186 **2. METHODOLOGY AND DATA**

187 **2.1. Description of the site-adapted CSY**

188 Below are some remarks on the nature and requirements of the CSY for the direct
189 normal irradiance:

- 190 • A CSY is composed of only clear-sky DNI values. This obliges combining the
191 measured DNI data and the modeled DNI data in the same CSY series.
- 192 • In line with Hirsch et al. [35], the time step between adjacent data points should
193 be close to 1 minute to support high accuracy in the subsequent system
194 simulations. In this work, 1-minute DNI series have been developed.
- 195 • Every day of a CSY must be of maximum energy content to later procure the
196 realistic maximum annual radiation. Since clear sky, in the sense defined in the
197 introduction, is a prerequisite, the aerosol load will determine the level of DNI at
198 each moment. Therefore, the cleaner the atmosphere, the higher the DNI levels
199 are on the surface. To select the CSY dates, days with a maximum energy
200 content are first assembled into a clear-sky DNI series that should be as long as
201 possible of measured and modeled data. Then, 365 groups are made with days
202 sharing the same ordinal day number. The CSY is built with the most energetic
203 dates in each of those groups.
- 204 • A CSY must be a complete year with no data gaps. Therefore, every minute
205 must have a clear-sky DNI value because every minute counts when it comes to
206 calculate the annual radiation.
- 207 • To the extent possible, a CSY must be site-adapted because every place has
208 unique sky conditions.
- 209 • A CSY must be long-lasting because it is intended to be used as a reference. To
210 fulfill this requirement, the clear-sky DNI series of measured and modeled data
211 should come from as many years as possible.
- 212 In the real world it is improbable, though physically possible, that a CSY be observed in
213 its entire extension in the same natural year.

214 The energy content for a certain day is given by the area enclosed under the DNI. In this
215 work that area has been obtained by integration of the 1-minute DNI values.

216 **2.2. Management of the information stored in several data sets**

217 One of the main purposes of evaluating the solar energy potential at a site is to obtain
218 highly reliable, local, long-term solar resource estimates. Taken to the extreme, this
219 aspiration would need long and expensive on-site measurement campaigns. However,
220 Thuman et al. [36] have shown that the best strategy is to measure the DNI on site for a
221 short period of at least one full year to acquire the usual seasonal variation, and
222 complete the study with data sets spanning much longer periods, ideally coming from
223 reference stations placed in the immediate vicinity of the project site. If there are not
224 any such sites, it is common to utilize satellite-modeled data. According to Schnitzer et
225 al. [37], multiple data sources adequately treated reduce the uncertainty in the solar
226 resource estimates.

227 A methodology that exploits the information stored in data sets from several data
228 sources is the Measure Correlate Predict (MCP) [36], which is a family of methods
229 extensively employed in the field of wind energy. The MCP methods attempt to
230 reproduce the resource conditions at a project site or target site. This may include filling
231 in missing data and extending the measurements series with predicted DNI values. For
232 this, as stated above, it is necessary to have a short series of DNI measurements at the
233 target site, and a long series of DNI measurements from a geographically proximate
234 reference site. The period of the target site with available data must be covered
235 concurrently by a long series of data from the reference site. This long series must also
236 provide measured reference data for the period with missing target data. Assuming a
237 strong correlation between the reference and target sites, a statistical relation can be

238 derived from the concurrent data sets, mapping the reference data into the predicted data
239 for the target site.

240 This work uses MCP to lengthen the DNI series and fill gaps at the target site, and
241 linear regression as correlation technique between the concurrent target and reference
242 data:

$$243 \quad \quad \quad DNI_t = DNI_r \cdot a + b \quad \quad \quad (1)$$

244 where DNI_t is the predicted DNI at the target site, DNI_r is the measured DNI at the
245 reference site, and the parameters a and b , determined by least squares, are the slope and
246 offset of the linear best fit, respectively. The procedure of correlating the reference and
247 target sites to localize the reference data in the target site is known as site adaptation
248 [38].

249 **2.3. Methodology of generating the site adapted CSY**

250 The circumstances around the problem of generating the CSY are illustrated in Fig. 4.
251 The core of the figure (labeled as (h), background filled in blue) shows the MCP
252 method feeding the short and the long DNI series (labeled as (f) and (g), respectively),
253 to supply a long clear-sky DNI series adapted to the site or target (labeled as (i)). The
254 short DNI series (f) has most likely been acquired in an on-site monitoring campaign,
255 taking only the measurements corresponding to clear-sky moments, whereas the long
256 DNI series (g) is to be built from measurements of DNI and/or atmospheric parameters
257 from nearby stations or satellite observations under clear-sky conditions. To fill gaps in
258 data series and to compose the long reference DNI series (g), some data manipulations
259 should be carried out at the pre-MCP stage. Analogously, there exists a post-MCP stage
260 to compile the site-adapted CSY (labeled as (m)) from the long clear-sky DNI series
261 adapted to the site (i) produced in the MCP core (h).

262 FIGURE 4

263

264 Fig. 4. Methodology to generate a site-adapted CSY.

265 Several data transformations can be performed in the pre-MCP stage. It is possible to

266 jump from the atmospheric parameter domain to the DNI domain with small errors [39]

267 if accurate atmospheric parameters have been registered, utilizing a rigorous

268 implementation of the transmittances in the chosen solar radiation model. Similarly, it is

269 possible to jump backwards from the DNI domain to the atmospheric parameter domain

270 through accurate DNI measurements and a sufficient solar radiation model [40, 41]. The

271 isosceles trapezium, labeled as (a) and plotted with the dashed line, represents the

272 complexity of gathering high quality clear-sky atmospheric parameters, which could be

273 the starting point to obtain the DNI series. Three paths have been sketched that link the

274 atmospheric parameter domain to the DNI domain. The first path, solid line, consists of

275 linearly interpolating (labeled as (b)) the clear-sky atmospheric parameters to fill gaps,

276 and then applies a suitable solar radiation model (labeled as (c)) to obtain the

277 corresponding clear-sky DNI value for a certain input of atmospheric parameters. The

278 linear interpolation (b) of the clear-sky atmospheric parameters can be assumed as valid

279 under clear and stable atmospheric conditions, and relatively uniform aerosol load over

280 short intervals, which is the normal behavior of aerosols. The second and third paths,

281 dotted lines, consist of applying a solar radiation model (labeled as (d)) to acquire the

282 corresponding clear sky DNI values, and vice versa, by applying backwards the solar

283 radiation model (labeled as (e)) to obtain the input of the atmospheric parameters. These

284 second and third paths maintain the data gaps that will later have to be filled.

285 For the paths drawn in the dotted line (labels (d) and (e)) in the pre-MCP stage, the

286 ESRA solar radiation model has been chosen for both transformations because it

287 reduces to one parameter, the Linke turbidity factor [42] for an air mass equal to 2. The

288 effects of the atmospheric turbidity and, therefore, the attenuation of clear-sky DNI are

289 given by Eq. (2), which shows the ESRA formula to determine DNI. Eq. (3), deduced
 290 from Eq. (2), is the analytical expression to determine the Linke turbidity factor for an
 291 air mass of 2:

$$292 \quad DNI = G_{SC} \cdot \varepsilon \cdot e^{(-0.8662 \cdot TL(AM2) \cdot m \cdot \delta_{Rayleigh})} \quad (2)$$

$$293 \quad TL(AM2) = -\frac{L_n\left(\frac{DNI}{G_{SC} \cdot \varepsilon}\right)}{0.8662 \cdot m \cdot \delta_{Rayleigh}} \quad (3)$$

294 where G_{SC} is the solar constant, ε is the correction due to actual Sun-Earth distance,
 295 $TL(AM2)$ is the Linke turbidity factor for an air mass equal to 2, m is the relative optical
 296 air mass, and $\delta_{Rayleigh}$ is the integrated Rayleigh optical thickness for a clean and dry
 297 atmosphere.

298 After the MCP stage, a long clear-sky DNI series adapted to the site (i) and spanning
 299 several years is generated. Depending on the path followed in the pre-MCP stage, the
 300 series may or may not contain missing data. Therefore, in the post-MCP stage, two
 301 possible routes or paths could be taken. The path in the solid line (labeled as (j)), which
 302 can be considered as the prolongation of the solid line path from the pre-MCP stage,
 303 does not fill data gaps because this task was accomplished in the pre-MCP stage. In
 304 parallel to this route, the path in the dotted line (labeled as (k) and (l)) fills the missing
 305 data when the output of the MCP has gaps. Both post-MCP routes complete the DNI
 306 series for the CSY. Based on this discussion, each day can be characterized in terms of
 307 the total energy by its daily sum of 1-minute DNI values (labels (j) and (l)). Then, each
 308 of the 365 days of the CSY (m) will be the specific day with the greatest total energy
 309 among all days sharing the ordinal day number (1 to 365) throughout the different years
 310 present in the output (i) of the MCP process. For instance, if 20 February (ordinal 51) is
 311 the day for which its best performing clear-sky day is searched, the day with the greatest
 312 total energy will be chosen among all the years with measurements on 20 February.

313 So far nothing has been said about the detection of clear-sky moments, despite its
314 critical importance. A number of clear-sky detection algorithms can be found in the
315 literature. Some of them, like Long and Ackerman [43], detect moments with
316 completely cloud-free sky vault, and some others, like Smirnov et al. [44], or Polo et al.
317 [45], detect moments with a clear line-of-sight between the solar disc and the site. The
318 former algorithms are too restrictive for concentrating technologies and remove many
319 clear line-of-sight moments. For this reason, the algorithm used to test the McClear
320 solar radiation model, by Lefèvre et al. [18], is suggested to pick the clear line-of-sight
321 moments from an all-sky GHI series.

322 **2.4. Data**

323 What remains in this document is a worked example to illustrate the CSY methodology,
324 with data from the site of Solar Village (24.907°N, 46.397°E, 764 meters above sea
325 level, Saudi Arabia). For this location, around four years (1999 to 2002) of 1-minute
326 DNI data have been downloaded from BSRN (Baseline Surface Radiation Network)
327 [46], whereas roughly fifteen years (1999 to 2013) of records of atmospheric variables
328 have been procured from AERONET (Aerosol Robotic Network) [47]. AERONET data
329 are level 2.0, meaning that observations are cloud-screened and quality-assured. As
330 occurs in nature, the DNI measurements of BSRN [48] include overcast and partly-
331 cloudy sky moments together with clear-sky ones, which unfortunately are not
332 identified. The daily information about the ozone has been taken from the TOMS and
333 OMI satellite on-board instruments. The algorithm of Blanco-Muriel et al. [49] is used
334 to determine the position of the Sun.

335 The MCP requires a short-site series and a long-reference series of DNI measurements.
336 Instead of performing the MCP with the series belonging to different locations, this

337 example uses the short-site series provided by BSRN, which acts as the target site,
338 while the long-reference series comes of AERONET, which acts as the reference site.
339 Normally for the MCP, data from at least another place are needed, or, failing that, from
340 satellites. Since this would only make the example unnecessarily denser, Solar Village
341 has been chosen as an environment with the sole intention of illustrating the model and
342 validating the methodology. Approximately 90% of the DNI measurements are from
343 active cavity radiometer, and 10% from pyrheliometer (see [48]).
344 Since we have selected AERONET data flagged by AERONET as occurring during
345 clear line-of-sight moments, the corresponding BSRN moments are found by matching
346 the timestamp. The algorithm by Lefevre et al. [18] could have been applied, but the
347 AERONET algorithm has been preferred because it provides ready-to-use information
348 and detects better clear-sky moments with low Sun (but, on the other hand, it promotes
349 the emergence of 15-minute data gaps; see section 3).

350

351 **2.5. Procedures to create the site-adapted CSY**

352 Consistent with Figure 4, three CSYs have been synthesized using three different
353 procedures. These three procedures, which compute the daily sum of DNI to find the
354 day of maximum energy content for each ordinal day number of the CSY, are:

- 355 1. Procedure IMCP (Interpolate, Model, Measure, Correlate, Predict): if at the
356 reference site the atmospheric variables of the clear-sky moments needed to feed
357 the SMARTS2 (selected from a serious benchmark of models for Solar Village,
358 as performed by the authors, whose results are not included in this work) are
359 known, the missing values of the atmospheric variables can be filled using linear
360 interpolation. In early mornings and late afternoons, the atmospheric variables
361 keep the values registered in the first clear-sky moment of the day, and the last

362 clear-sky moment of the day, respectively. In this way, a complete long series of
363 atmospheric variables at the reference site is achieved. Then, using SMARTS2,
364 this series is transformed into the corresponding long series of DNI values. This
365 strategy of linear interpolation followed by SMARTS2 is represented in Fig. 5.
366 After executing SMARTS2 (and not shown in Fig. 5), the procedure continues
367 with the application of MCP on the long-reference series and on the short-site
368 DNI series to obtain a long clear-sky series adapted to the site.

369 FIGURE 5

370 Fig. 5. Strategy of IMCP based on applying linear interpolation to atmospheric parameters,
371 followed by the use of SMARTS2. The short lines in blue are the atmospheric parameter values
372 kept in early mornings and late afternoons.

373 2. Procedure BIFMCP (Backwards, Interpolate, Forward, Measure, Correlate,
374 Predict): when the clear-sky DNI series at the reference site is known, the ESRA
375 model is applied backwards with Eq. (3) to acquire the TL(AM2) related to
376 those DNI values. Now, the missing TL(AM2) can be filled using linear
377 interpolation. For early mornings and late afternoons, the TL(AM2) values of
378 the first clear-sky moment of the day and of the last clear-sky moment of the day
379 are kept when filling the missing information. When doing this, a long complete
380 series of TL(AM2) values at the reference site is attained. Then, by forward
381 applying the ESRA model with Eq. (2), this series is converted into its
382 corresponding long series of DNI values. This strategy of applying ESRA
383 backwards, followed by linear interpolation, and applying ESRA forwards is
384 represented in Fig. 6. After executing ESRA forwards (and not shown in Fig. 6),
385 the procedure continues with the application of MCP on the long-reference DNI
386 series and the short-site DNI series to obtain a long clear-sky series adapted to
387 the site.

388 FIGURE 6

389 Fig. 6. Strategy of BIFMCP based on applying ESRA backwards, followed by linear
390 interpolation of TL(AM2), and applying ESRA forwards. The short lines in blue are the
391 TL(AM2) values kept in early mornings and late afternoons.

392 3. Procedure MCPI (Measure, Correlate, Predict, Interpolate): instead of
393 interpolating in the pre-MCP stage, if the clear-sky DNI measurements or clear-
394 sky atmospheric variables (SMARTS2 translates them into DNI values) are
395 available, at a high enough frequency, the MCP process can be carried out
396 directly with the series as they are (with missing data). At the output of the MCP
397 process, the clear-sky series adapted to the site must be filled with a linear
398 interpolation. This strategy of applying linear interpolation on the long clear-sky
399 DNI series adapted to the site obtained from the MCP process is shown in Fig. 7.

400 FIGURE 7

401 Fig. 7. Strategy of MCPI based on linear interpolation of the DNI data adapted to the site
402 (obtained after application of MCP).

403 In a sense, it does not matter which procedure or path is followed; what all these paths
404 try to do is to reconstruct, when possible, the day that would have been real if clouds
405 had not been present. If the reference series is long enough, there will be reconstructed
406 days for each ordinal day number, and then the days with the greatest energy content
407 will be determined using the criterion of the maximum daily sum of DNIs.

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416 **3. RESULTS AND DISCUSSION**

417 Figures 8, 9 and 10 describe step-by-step the procedures identified as CSY_{IMCP} ,

418 CSY_{BIFMCP} and CSY_{MCPI} to obtain the CSY.

419 **FIGURE 8**

420 Fig. 8. Procedure IMCP (Interpolate, Model, Measure, Correlate, Predict) to obtain the site-adapted
421 clearest-sky year CSY_{IMCP} for Solar Village.

422 **FIGURE 9**

423 Fig. 9. Procedure BIFMCP (Backwards, Interpolate, Forward, Measure, Correlate, Predict) to obtain the
424 site-adapted clearest-sky year CSY_{BIFMCP} for Solar Village.

425 **FIGURE 10**

426 Fig. 10. Procedure MCPI (Measure, Correlate, Predict, Interpolate) to obtain the site-adapted clearest-sky
427 year CSY_{MCPI} for Solar Village.

428 Figure 11.a shows the CSY_{IMCP} achieved after following the steps described in Figure 8.

429 For its part, Figure 11.b shows 2001, the year of the series with most DNI

430 measurements registered by BSRN. The contrast between both images highlights the

431 virtues of the CSY: a realistic year with the greatest daily energy content, adapted to the

432 site, useful as a testing environment for the characterization of solar equipments.

433 **FIGURE 11**

434 Fig. 11. (a) Site-adapted clearest-sky year CSY_{IMCP} obtained with procedure IMCP (Interpolate, Model,

435 Measure, Correlate, Predict), and (b) DNI measurements of 2001 for Solar Village.

436 Instead of visualizing the CSY_{BIFMCP} and the CSY_{MCPI} , it is more practical to see the
437 differences between the CSYs. The ideal situation would be to compare with the real
438 DNI measurements corresponding to the moments included in the CSY. However, this
439 is not possible because the radiometer did not work only under clear-sky conditions. In
440 addition, when it measured under clear-sky conditions, the uncertainty inherent to the
441 equipment affected every measurement. So, among these three uncertain series
442 (CSY_{IMCP} , CSY_{BIFMCP} and CSY_{MCPI}), the CSY_{IMCP} has been chosen as the comparison
443 pattern for two reasons. Firstly, models with more inputs tend to be more accurate.
444 Secondly, SMARTS2 has transformed atmospheric variables into DNI measurements to
445 simulate the long-reference series.

446 Thus, Figures 12 and 13 display in parallel the differences between the CSY_{IMCP} and
447 CSY_{BIFMCP} ($CSY_{IMCP} - CSY_{BIFMCP}$; Figures 12.a and 13.a), and between CSY_{IMCP} and
448 CSY_{MCPI} ($CSY_{IMCP} - CSY_{MCPI}$; Figures 12.b and 13.b). Figure 12 depicts the histogram
449 of the relative frequency distribution of the difference (moments with solar altitude
450 under 0° discarded), while Figure 13 exhibits the distribution of the difference along the
451 year.

452 FIGURE 12

453 Fig. 12. (a) Relative frequency distribution at Solar Village for $CSY_{IMCP} - CSY_{BIFMCP}$; (b) Relative
454 frequency distribution at Solar Village for $CSY_{IMCP} - CSY_{MCPI}$; (c) Detail of the relative frequency
455 distribution at Solar Village for $CSY_{IMCP} - CSY_{BIFMCP}$ in the range $[-1.9 \text{ Wm}^{-2}, 1.9 \text{ Wm}^{-2}]$, and (d) Detail
456 of the relative frequency distribution at Solar Village for $CSY_{IMCP} - CSY_{MCPI}$ in the range $[-2 \text{ Wm}^{-2}, 2$
457 $\text{Wm}^{-2}]$. The CSY_{IMCP} is the site adapted CSY obtained using the IMCP procedure (Interpolate, Model,
458 Measure, Correlate, Predict), CSY_{BIFMCP} with the BIFMCP procedure (Backwards, Interpolate, Forward,
459 Measure, Correlate, Predict), and CSY_{MCPI} with the MCPI procedure (Measure, Correlate, Predict,
460 Interpolate).

461 FIGURE 13

462 Fig. 13. Differences distributed throughout the year at Solar Village for (a) $CSY_{IMCP} - CSY_{BIFMCP}$ and (b)
463 $CSY_{IMCP} - CSY_{MCPI}$. The CSY_{IMCP} is the site-adapted CSY obtained using the IMCP procedure
464 (Interpolate, Model, Measure, Correlate, Predict), CSY_{BIFMCP} with the BIFMCP procedure (Backwards,
465 Interpolate, Forward, Measure, Correlate, Predict), and CSY_{MCPI} with the MCPI procedure (Measure,
466 Correlate, Predict, Interpolate).

467 It is observed (Fig. 12.a) that in 81.4% (37.2%+44.2%) of the moments with the Sun
468 over the horizon, the IMCP and BIFMCP estimates of the DNI differ by less than ± 5
469 Wm^{-2} . More specifically (see Fig. 12.c), in 52.8% of the moments that difference is less
470 than $\pm 0.1 Wm^{-2}$, in 71.2% less than $\pm 1 Wm^{-2}$, and in 75% less than $\pm 2 Wm^{-2}$. Looking at
471 the rest of the moments with difference over $\pm 5 Wm^{-2}$, it is noticeable that the difference
472 is very concentrated around 0, with largest values in the early morning and late
473 afternoon (Fig. 13.a). Though the differences range from $-170 Wm^{-2}$ to $125 Wm^{-2}$, these
474 limit values are found to be exceptional. Based on Figure 12.a, the lower and upper
475 bounds are rather $-60 Wm^{-2}$ and $30 Wm^{-2}$. For solar concentrating technologies, this
476 discrepancy in the estimates is a minor problem. Normally these technologies do not
477 take advantage of the very first and last moments of the day.

478 The analogous analysis of differences between IMCP and MCPI is shown in Figures
479 12.b and 13.b. These differences are larger (range from $-240 Wm^{-2}$ to $520 Wm^{-2}$) and
480 spread out throughout the year. In the moments with the Sun over the horizon, 38.4%
481 (8.7%+29.7%) of the IMCP and MCPI estimates of the DNI differ less than $\pm 5 Wm^{-2}$.
482 According to Figure 12.d, only 0.7% of all moments have a difference less than ± 0.1
483 Wm^{-2} , 7.8% less than $\pm 1 Wm^{-2}$, and 26.4% less than $\pm 2 Wm^{-2}$. Figures 12.a and 12.b
484 suggest that the differences between the IMCP and MCPI are more scattered, and spans
485 from $-130 Wm^{-2}$ to $340 Wm^{-2}$.

486 Figures 14.a and 14.b graph the 1-minute mean DNI difference for the entire year for
487 IMCP-BIFMCP and IMCP-MCPI, respectively.

488 FIGURE 14

489 Fig. 14. 1-minute mean difference in Solar Village of (a) $CSY_{IMCP} - CSY_{BIFMCP}$; (b) $CSY_{IMCP} - CSY_{MCPI}$.

490 The CSY_{IMCP} is the site adapted CSY obtained using the IMCP procedure (Interpolate, Model, Measure,

491 Correlate, Predict), CSY_{BIFMCP} with the BIFMCP procedure (Backwards, Interpolate, Forward, Measure,

492 Correlate, Predict), and CSY_{MCPI} with the MCPI procedure (Measure, Correlate, Predict, Interpolate).

493 A glance at Figure 14.a reveals that at sunrise and sunset, the BIFMCP clearly

494 overestimates the DNI compared to the IMCP, but behave very similarly in the central

495 hours of the day. The two bumps in the difference are apparently outliers and are only

496 1.5 Wm^{-2} high each, with a 0.5 Wm^{-2} deep valley in the middle.

497 Considering Figure 14.b, it can be observed that the MCPI underestimates the DNI, and

498 does so most severely in the early mornings and late afternoons. This can be explained

499 by the fact that MCPI approximates the daily DNI, which is a concave curve, from

500 linear interpolation, since there are no DNI measurements for solar zenith angles higher

501 than 78° . This problem with the differences is intrinsic to the MCPI and can be seen in

502 the list of dates composing the CSY_{MCPI} . Whereas IMCP and BIFMCP chose exactly

503 the same dates to build CSY_{IMCP} and CSY_{BIFMCP} , the MCPI coincided 260 days with the

504 IMCP and BIFMCP, but in the remaining 105 days were for a different date. Another

505 side effect of this same problem of larger differences and MCPI is the annual sum of 1-

506 minute DNI values. The sum of the DNI values in the CSY_{IMCP} is 195.1 MWm^{-2} , for

507 CSY_{BIFMCP} is 195.7 MWm^{-2} , and for CSY_{MCPI} is 189.3 MWm^{-2} . Therefore, the

508 CSY_{BIFMCP} overestimates 0.33% and CSY_{MCPI} underestimates 2.97% with respect to the

509 CSY_{IMCP} .

510 These results for the MCPI are clearly worse than those attained with the IMCP and

511 BIFMCP, since the process to acquire them is notably simpler. The MCPI propagates

512 data gaps and uses a linear interpolation of the DNI values, instead of filling gaps with

513 the output of good models fed with stable atmospheric parameters that are linearly

514 interpolated, as the other two procedures do. Notwithstanding, it is still worthwhile to
515 consider the MCPI solution. In the hypothetical case of having DNI measurements
516 registered every two minutes, it is very likely that a linear interpolation would be the
517 preferred method to fill the missing data at a 1-minute rate. This approach would
518 gradually lose its appeal as the time step of the measurements increased and the data
519 gap became bigger. So, there exists a tradeoff gap size after which the MCPI is no
520 longer the preferred choice. For Solar Village, the histogram of gaps sizes (Figure 15)
521 shows a majority of 14-minute gaps (usual time step of AERONET), and a substantial
522 quantity of shorter gaps. The represented gaps sizes have intentionally been reduced to
523 those below 60 minutes, but there are still 240 gaps with sizes larger than 60 minutes
524 and, of these, 23 gaps are above 180 minutes. Examining the CSY_{MCPI} day-by-day, there
525 are many satisfactory days. However, these few large gaps significantly penalize the
526 CSY_{MCPI} overall.

527 FIGURE 15

528 Fig. 15. Histogram of gaps sizes (deliberately cut at 60 minutes) for the DNI series at Solar Village.

529 A set of 20 clear-sky days with measurements from BSRN and coincident versions of
530 the CSY_{IMCP} , CSY_{BIFMCP} and CSY_{MCPI} has been selected by visual inspection, in an
531 attempt to evaluate the accuracy of these three CSYs. The coefficients of correlation
532 with that set of 20 days are, for CSY_{IMCP} 0.999629, for CSY_{BIFMCP} 0.999400, and for
533 CSY_{MCPI} 0.998986. This supports the decision of using the CSY_{IMCP} as the reference
534 pattern.

535 The IMCP and BIFMCP manage large gaps much better than the MCPI. To validate
536 this, the date 16/11/2001, a clear-sky day with all BSRN 1-minute DNI data available,
537 was used. 5 hours of data were artificially removed in the central hours, and the IMCP
538 and BIFMCP were applied as if those data did not exist. The same test was performed in

539 the first hour of the day. Figure 16 displays the results, showing that the IMCP is the
540 method which better fits the measured data.

541 FIGURE 16

542 Fig. 16. Performance of the IMCP (Interpolate, Model, Measure, Correlate, Predict) and BIFMCP
543 (Backwards, Interpolate, Forward, Measure, Correlate, Predict) procedures with simulated gaps during
544 the central hours and early morning. (a) Date 16/11/2001 (a clear-sky day with all BSRN 1-minute DNI
545 data available) was used for test purposes. (b) The IMCP and BIFMCP procedures when a 5-hour gap is
546 simulated during the central hours, and the real BSRN data measured during those 5 hours. (c) The IMCP
547 and BIFMCP procedures when a 1-hour gap is simulated in the early morning, and real BSRN data
548 measured during that hour.

549 As a final point, it remains to deal with the topic of the detection of clear-sky moments
550 to complete a methodology for general purposes. In Solar Village AERONET has been
551 the source to discriminate the clear-sky moments, but normally sites do not have this
552 information on hand. Then, if GHI is known, the algorithm by Lefèvre et al. can be
553 utilized.

554 Figure 17 reflects the coincidences and discrepancies between the AERONET and
555 Lefèvre's algorithm in the set of clear-sky moments for 2001 declared by AERONET.

556 FIGURE 17

557 Fig. 17. From the set of clear-sky moments declared by AERONET for 2001 in Solar Village, (a) shows
558 the clear-sky moments not detected using the Lefèvre's algorithm, and (b) the clear-sky moments detected
559 using the Lefèvre's algorithm. The abscissa axis represents sequentially the 525600 minutes in a year, and
560 every point depicted in the graphs is a clear-sky moment declared by AERONET with its corresponding
561 solar zenith angle in the ordinate axis.

562 There is good agreement for low solar zenith angles only. Figure 18 quantifies the
563 agreement considering solar zenith angles of 10 degrees.

564 FIGURE 18

565 Fig. 18. Agreement in detection of clear-sky moments of Lefèvre's algorithm and AERONET for the data
566 set of clear-sky moments declared by AERONET in the year 2001.

567 It can be said that in low Sun conditions, AERONET detects better than the Lefèvre's
568 algorithm, although at a cost of promoting 14-minute gaps. The algorithm of Lefèvre et
569 al., however, tends to keep the 1-minute variation of the DNI data, which is important
570 for solar concentrating technologies.

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574 **4. CONCLUSIONS**

575 A site-adapted clearest-sky year (CSY) of DNI is a synthetic year composed of the
576 clear-sky days with maximum daily energy content. This CSY sets the theoretical
577 maximum annual radiation for a given site and, therefore, constitutes a pattern useful for
578 tasks of assessing and benchmarking. To generate the CSY, this work developed and
579 validated a methodology of general purpose using three different procedures: IMCP,
580 BIFMCP, and MCPI. The IMCP is the recommended procedure when the atmospheric
581 parameters are available, the BIFMCP should be the chosen method when the DNI
582 measurements are known, and the MCPI is a shortcut of difficult application in practice
583 since the data points should be equally spaced at very short-time steps. For the case of
584 Solar Village, data from the BSRN and AERONET, and the generation of a 1-minute
585 DNI series, there has been shown that the estimates from the IMCP and BIFMCP differ
586 by less than $\pm 5 \text{ Wm}^{-2}$ in 81.4% of the moments with the Sun over the horizon, while the
587 estimates of the IMCP and MCPI only agree to this degree at 38.4% of the time. Finally,
588 an algorithm to detect clear-sky moments has shown a good agreement with the clear-
589 sky moments detected by AERONET.

590 **ACKNOWLEDGEMENTS**

591 The authors thank the researchers of AERONET and BSRN for their efforts in
592 establishing and maintaining the Solar Village site, and Gobierno de Navarra for
593 funding the project OptisSolar.

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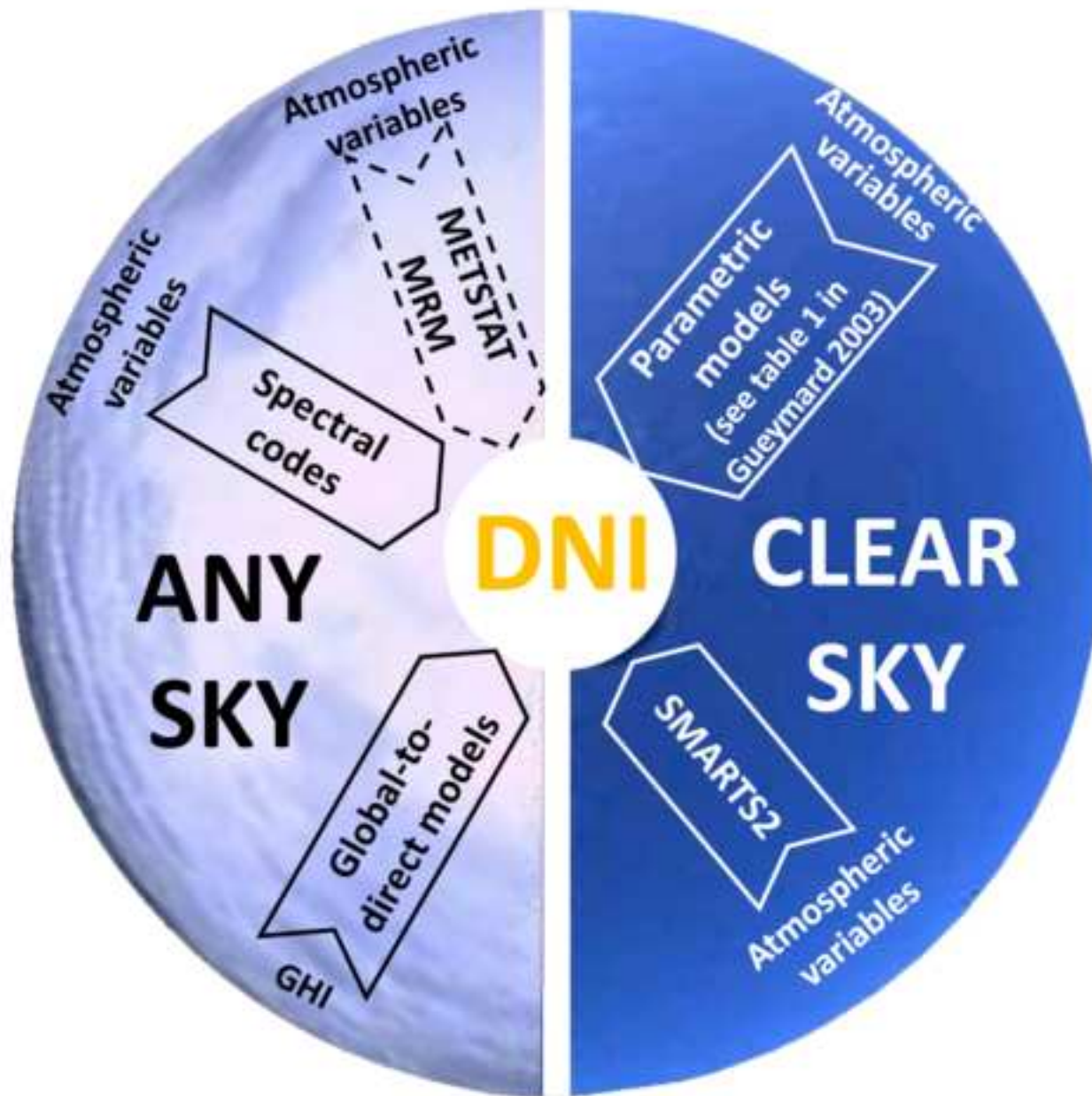
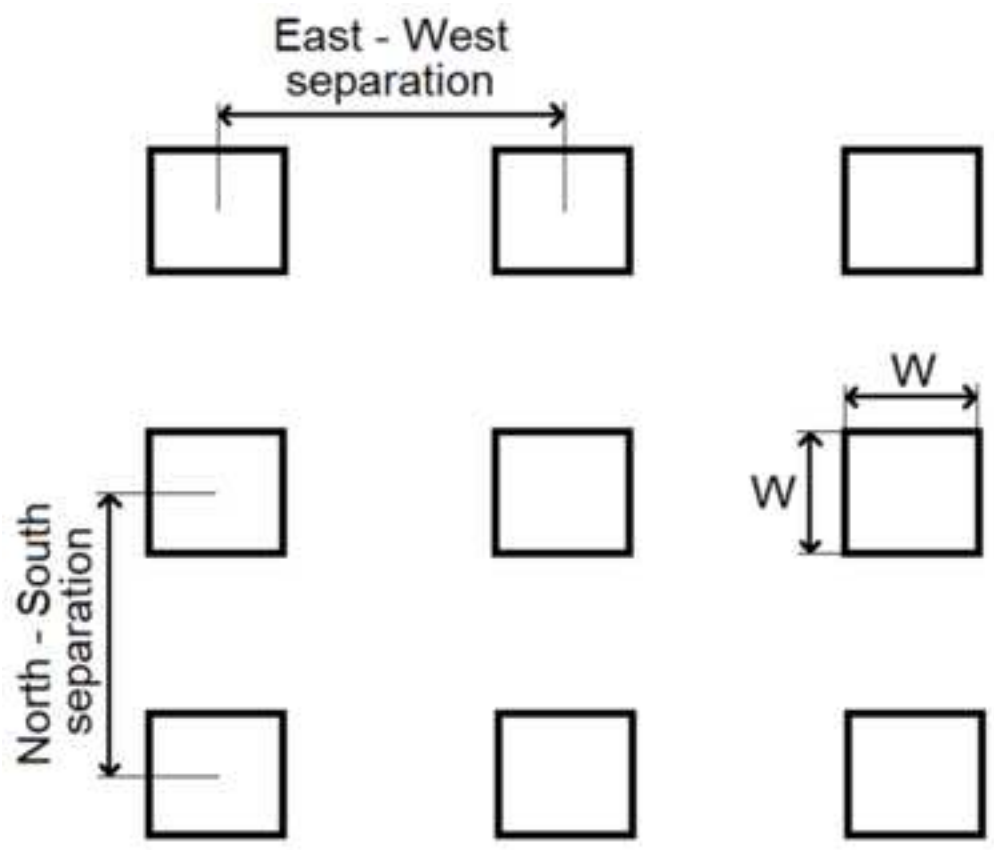
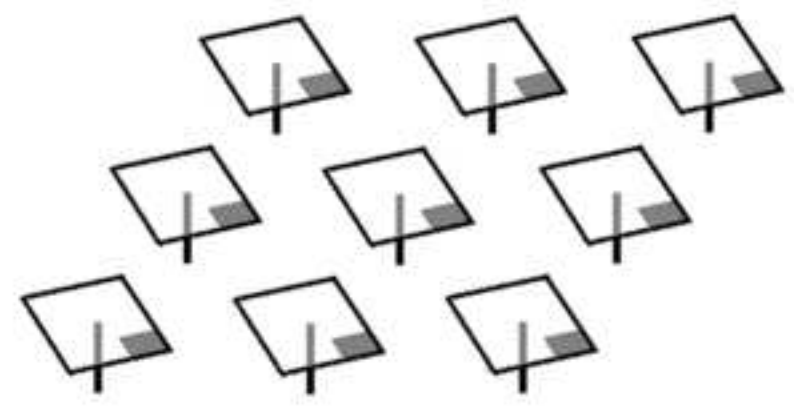


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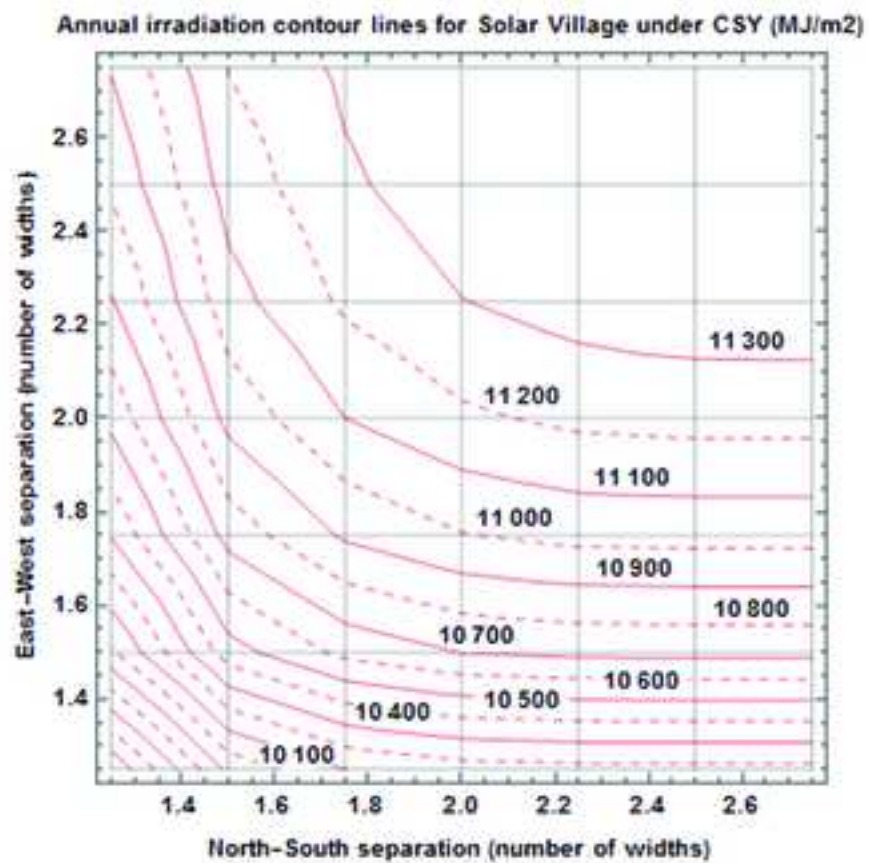
(a)



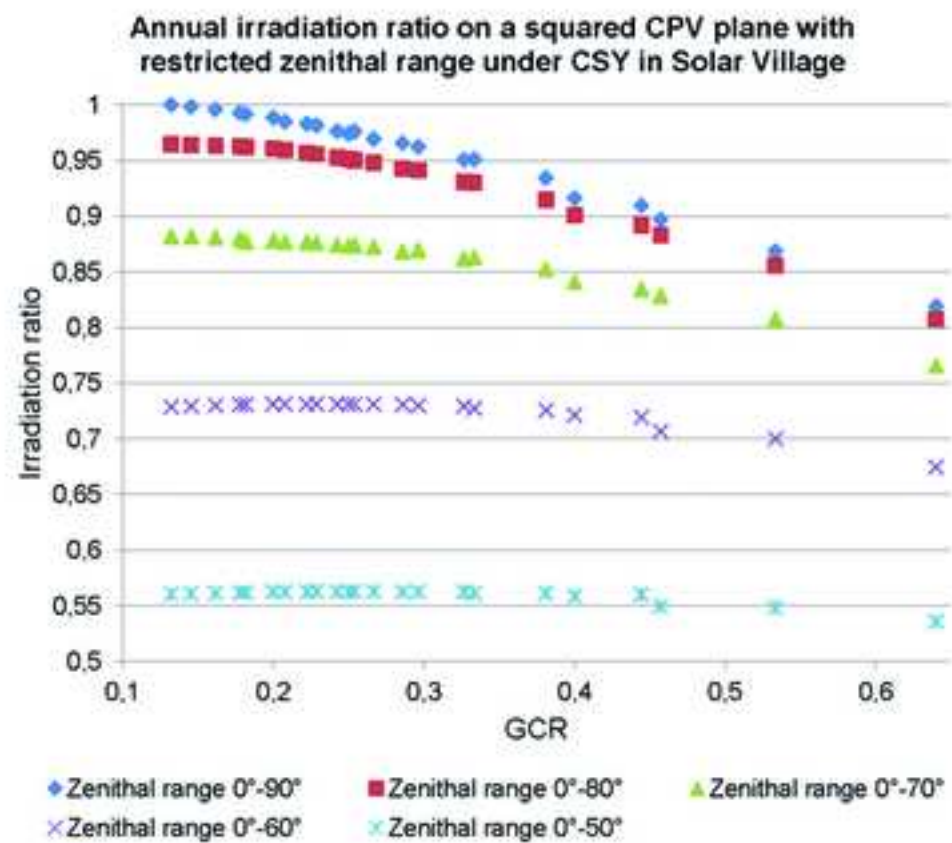
(b)

Figure

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(a)



(b)

Figure

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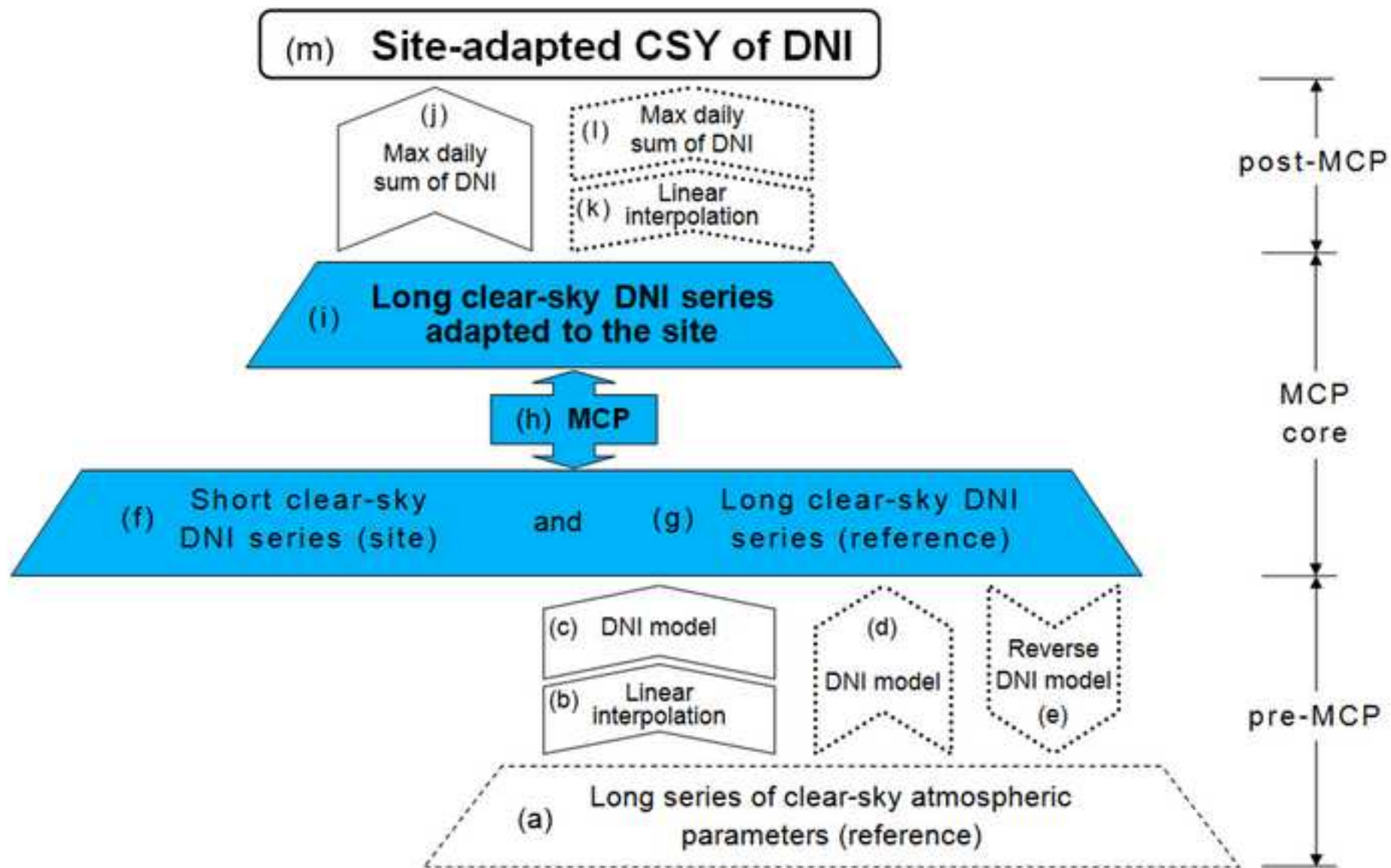


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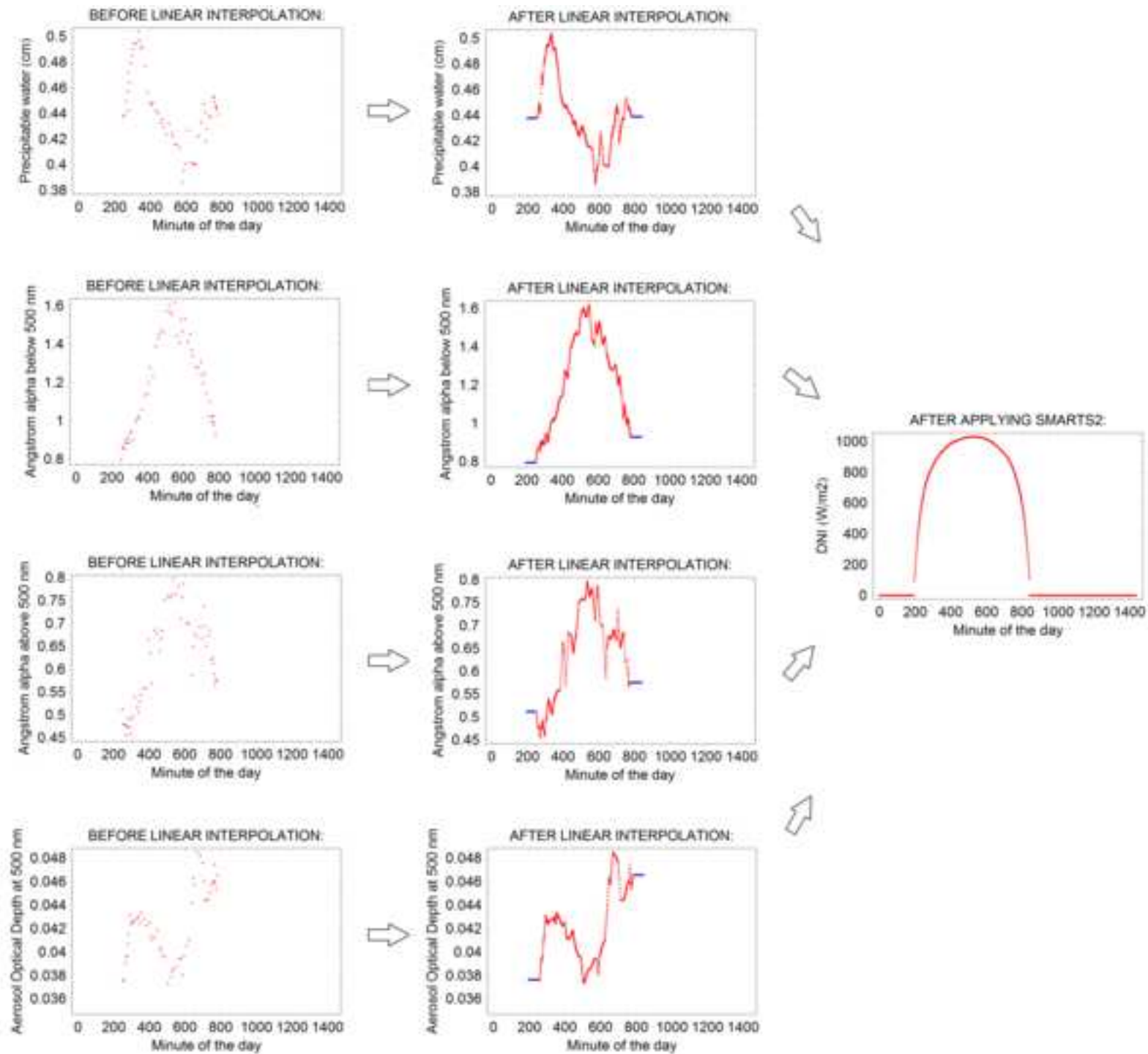
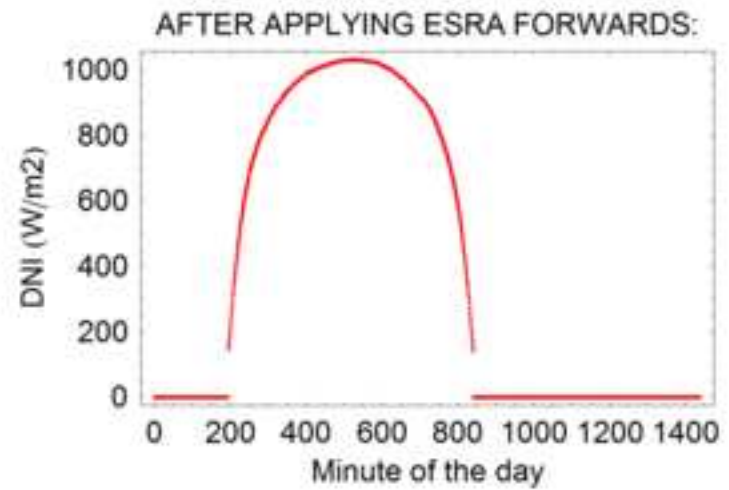
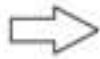
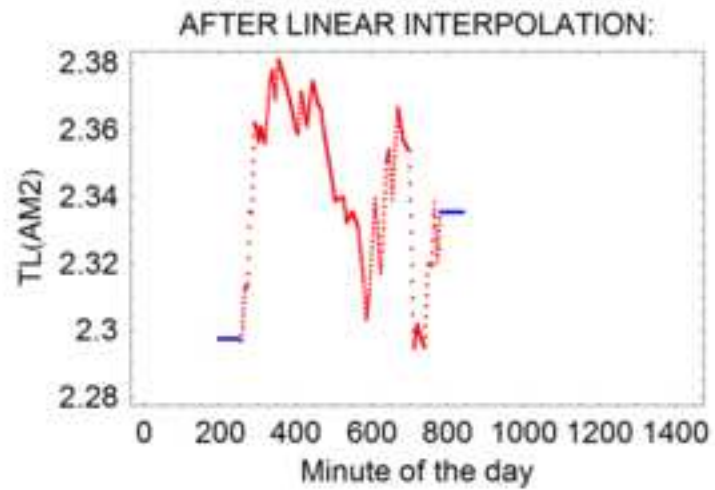
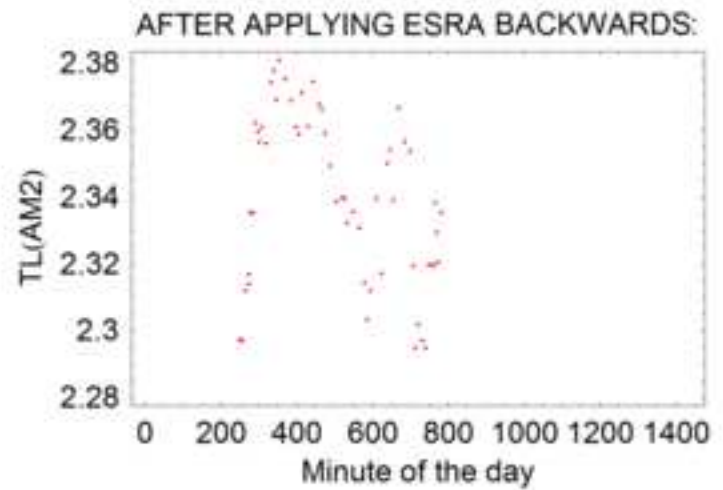
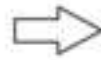
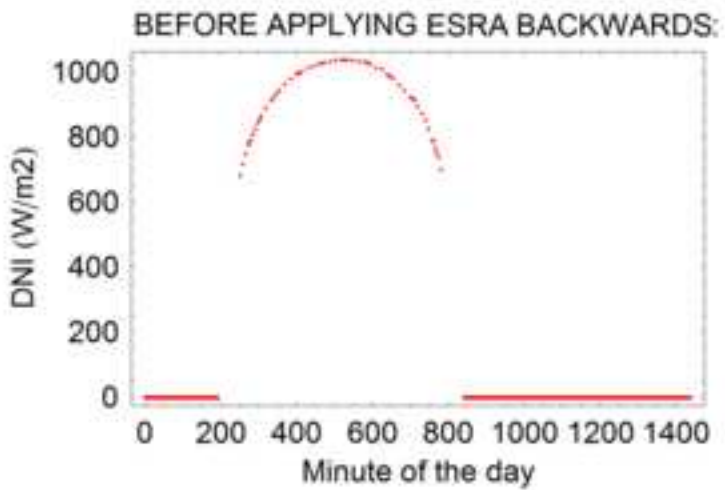
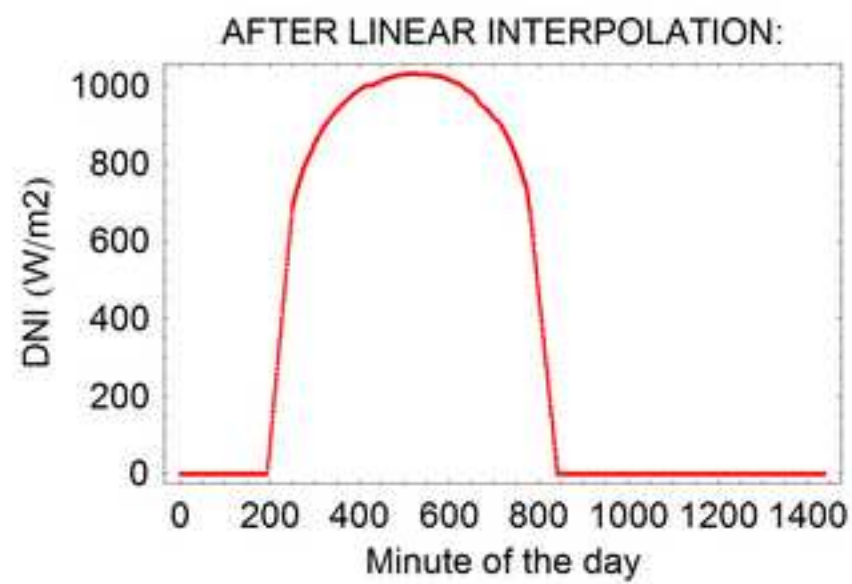
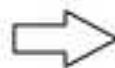
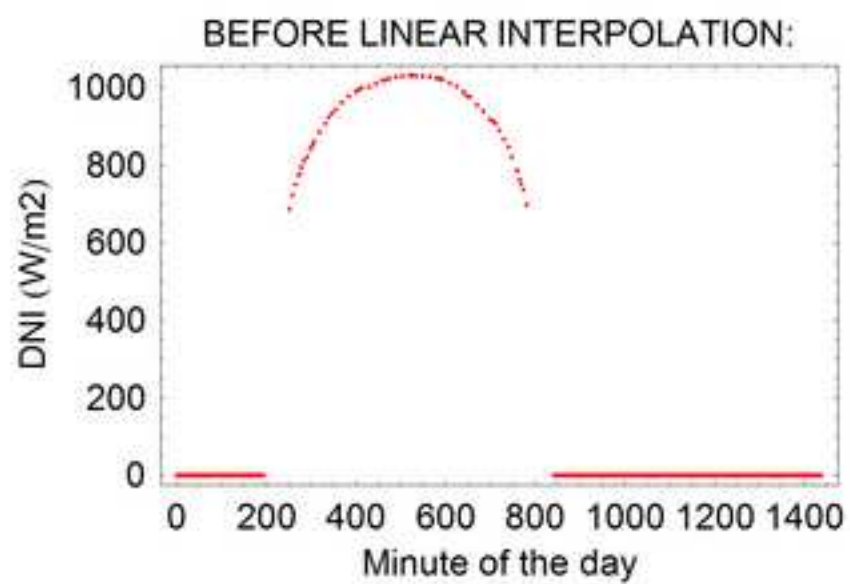


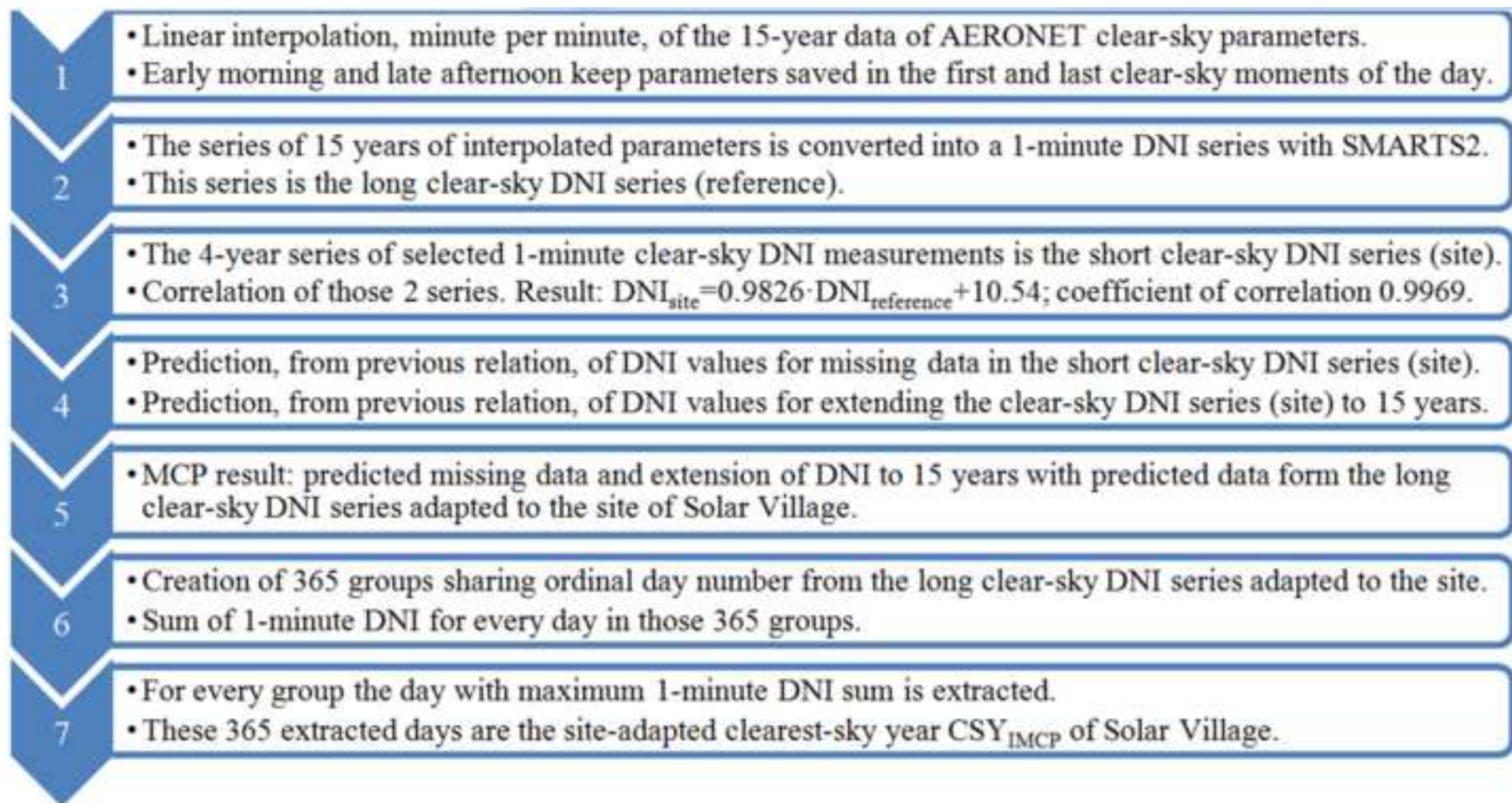
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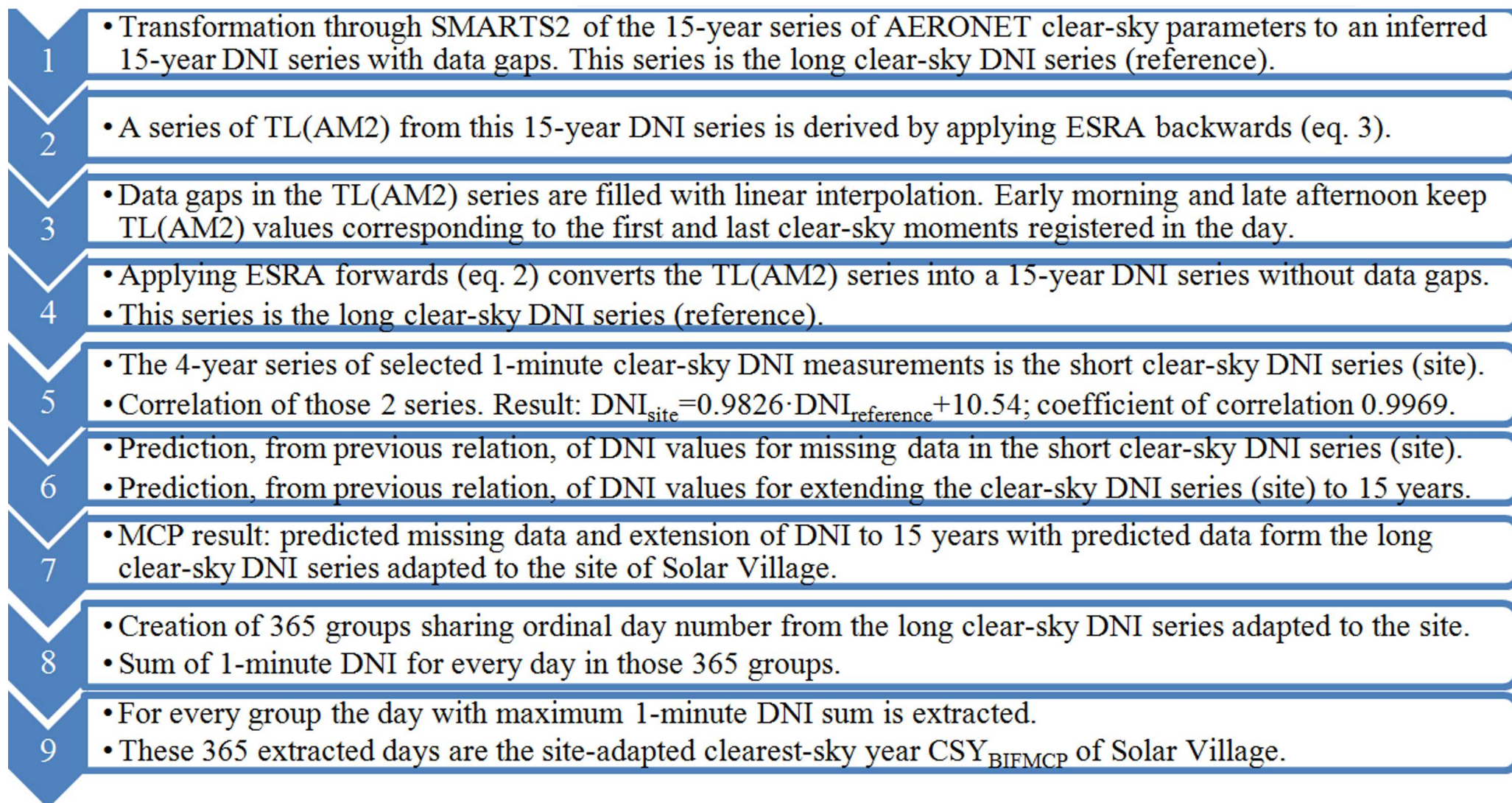


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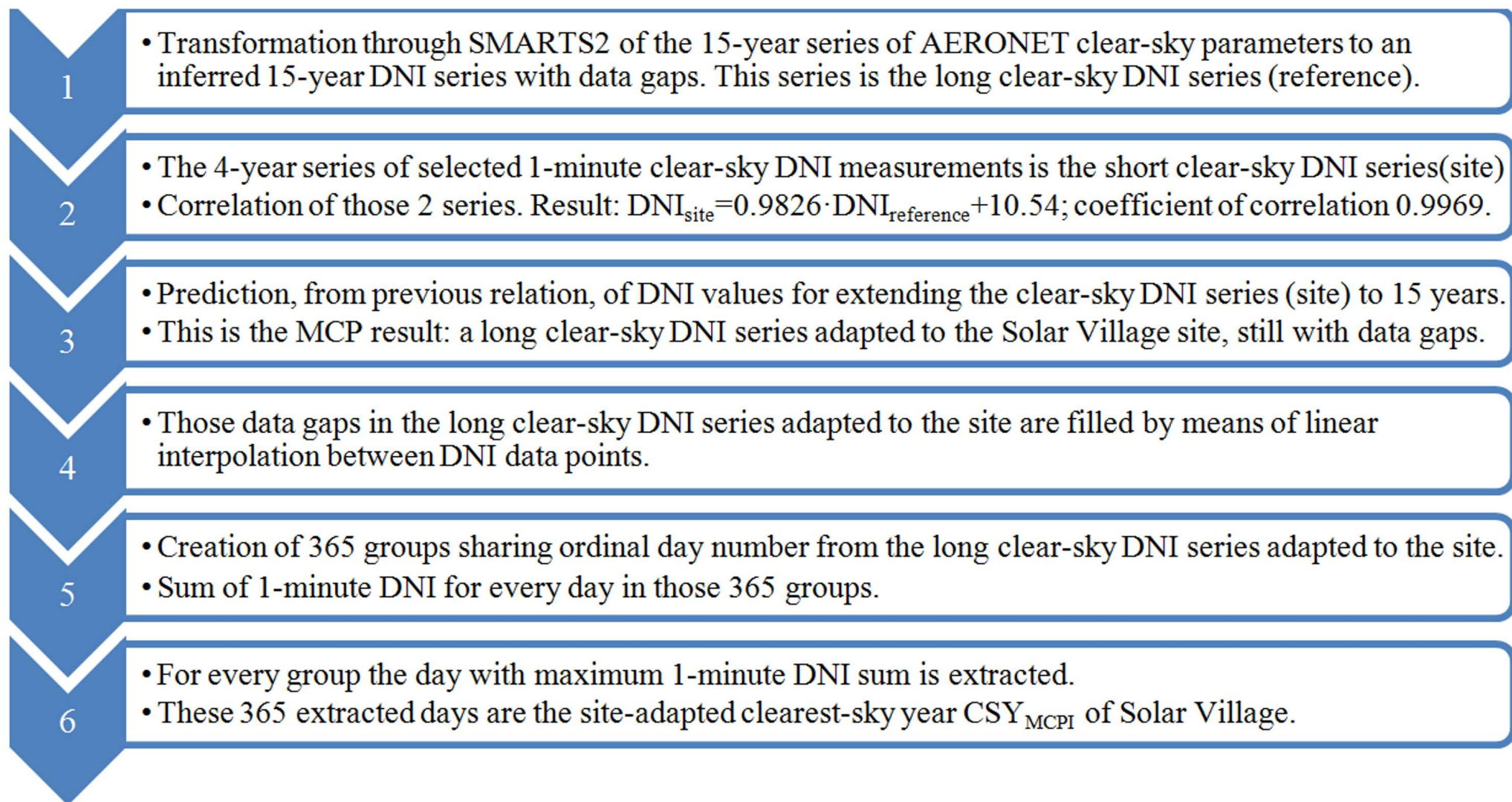
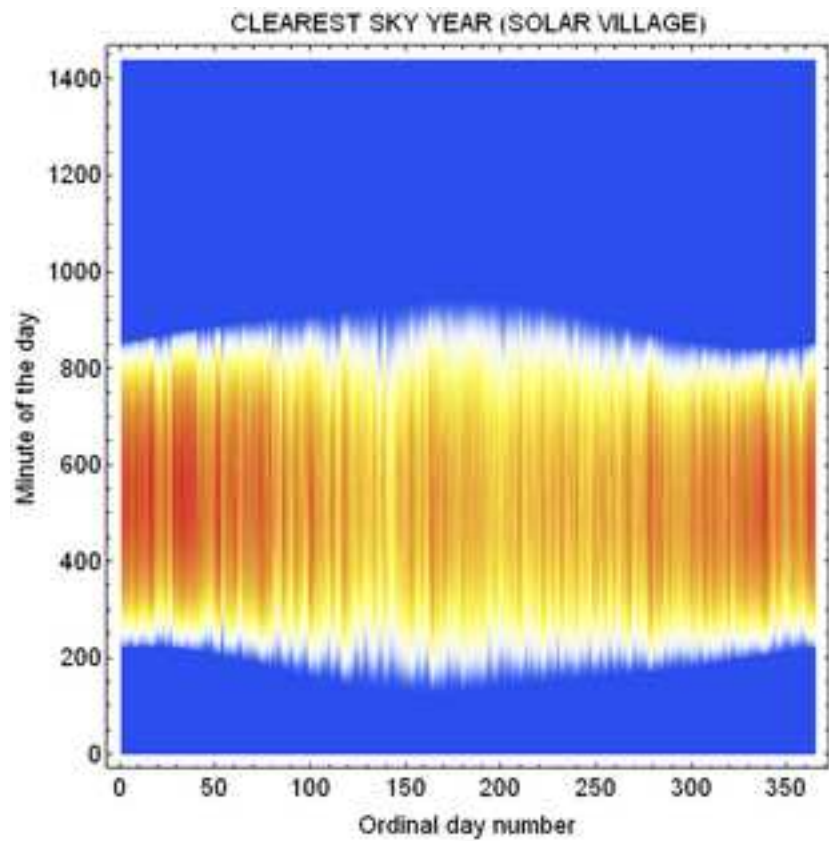
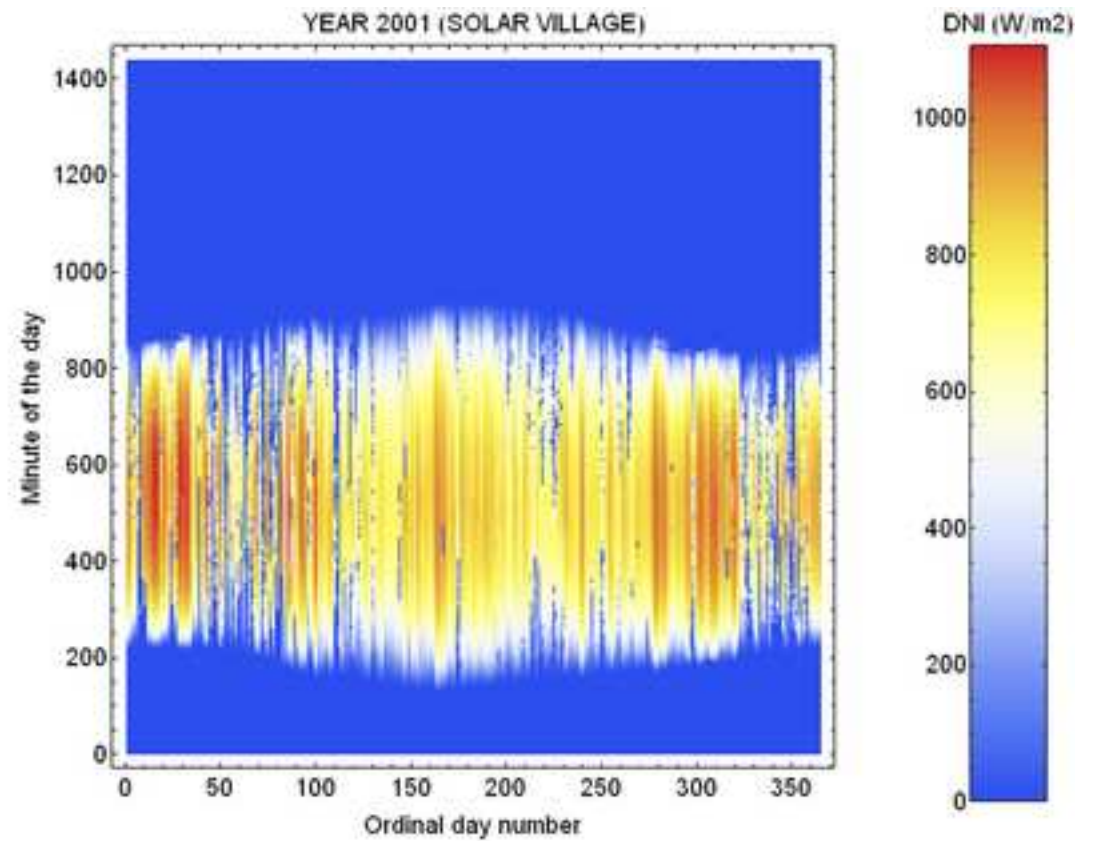


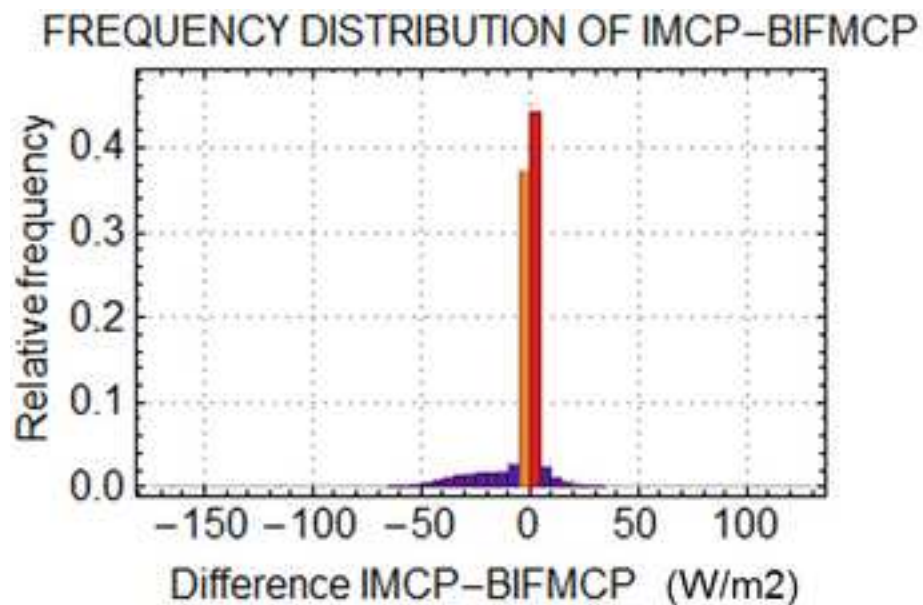
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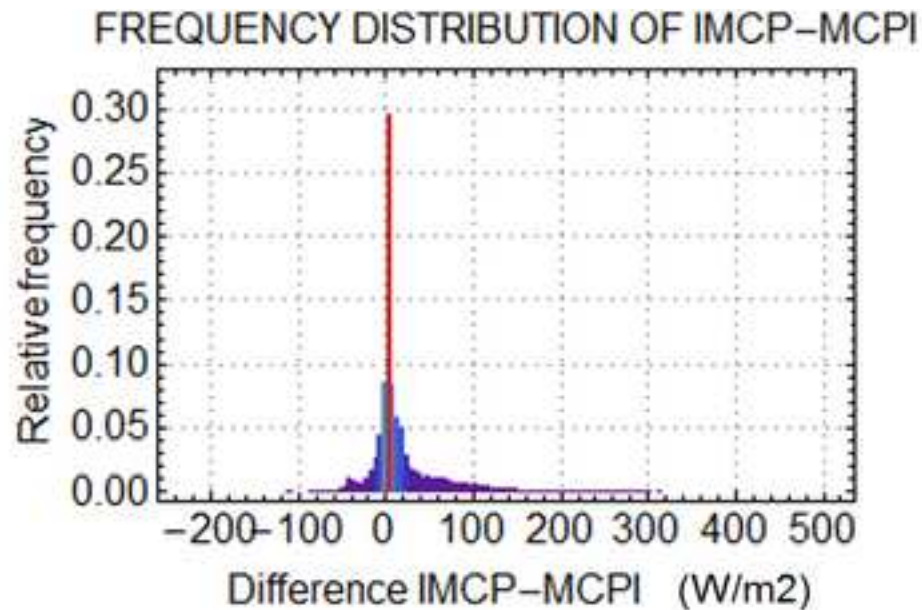
(a)



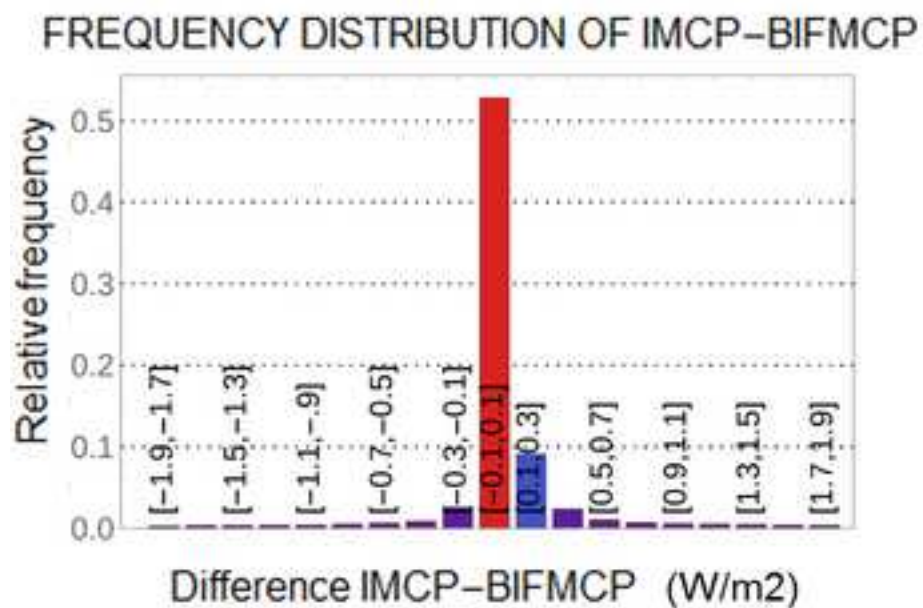
(b)



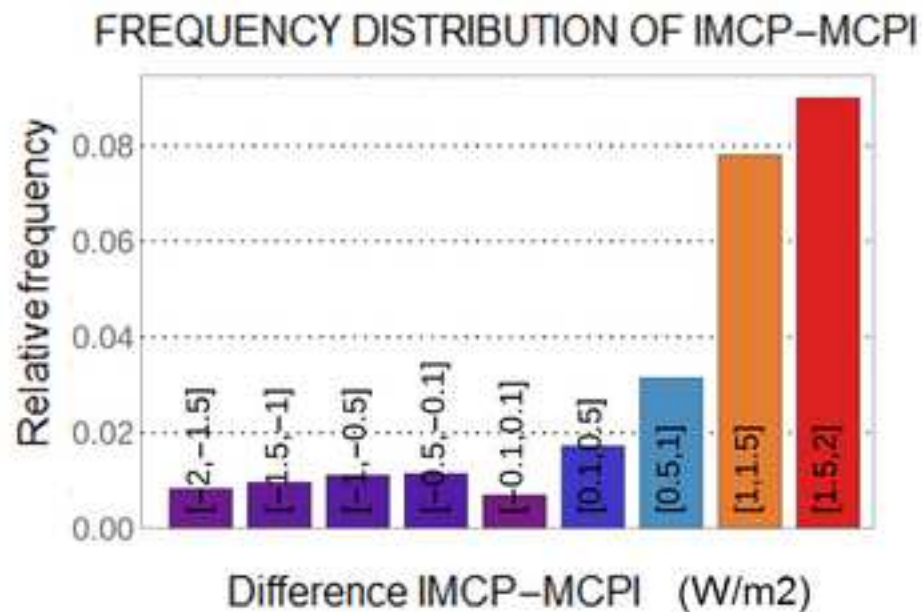
(a)



(b)

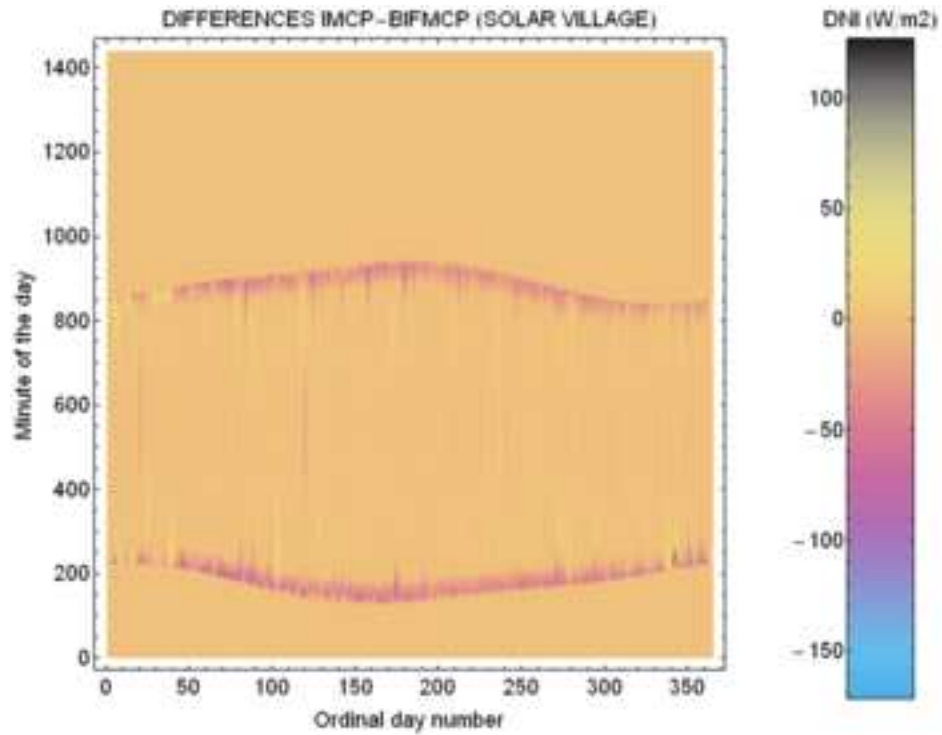


(c)

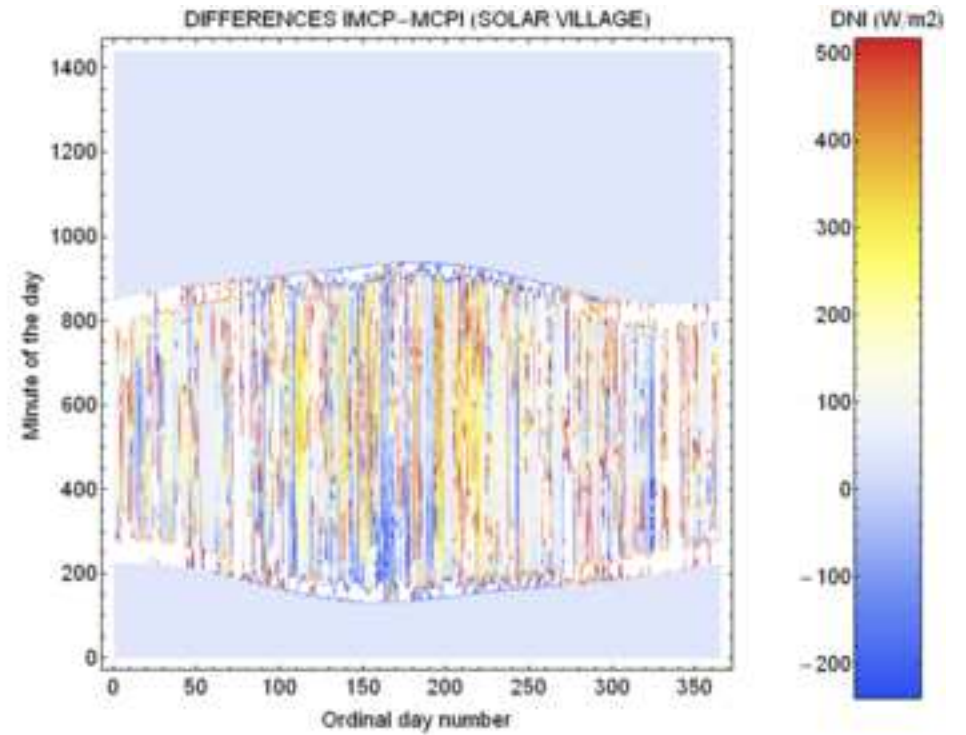


(d)

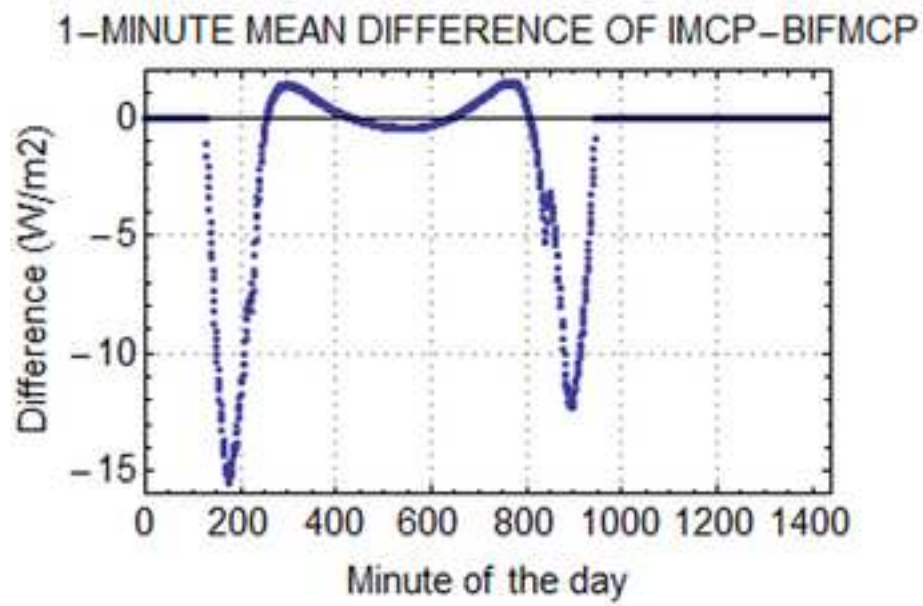
Figure
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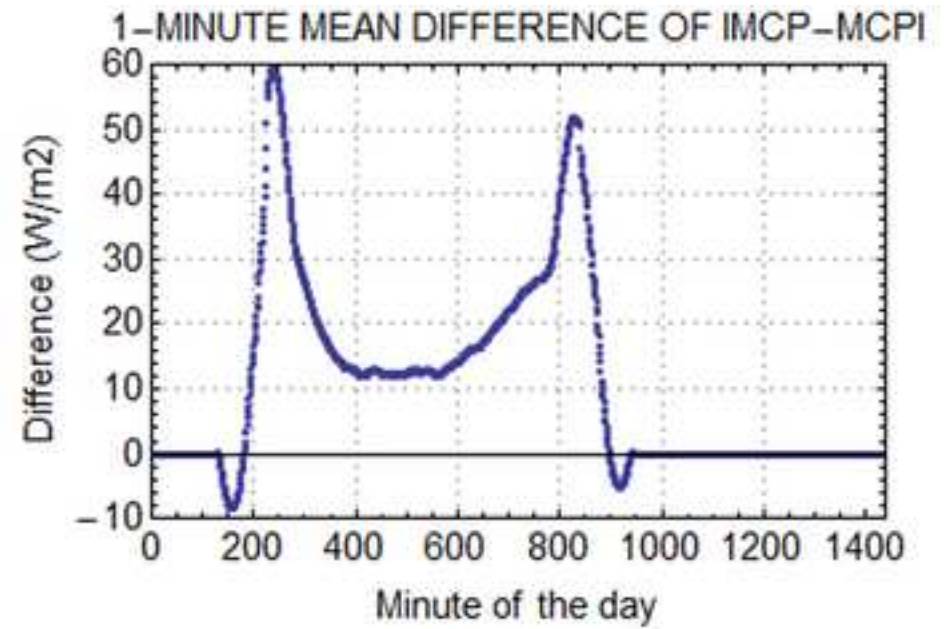
(a)



(b)

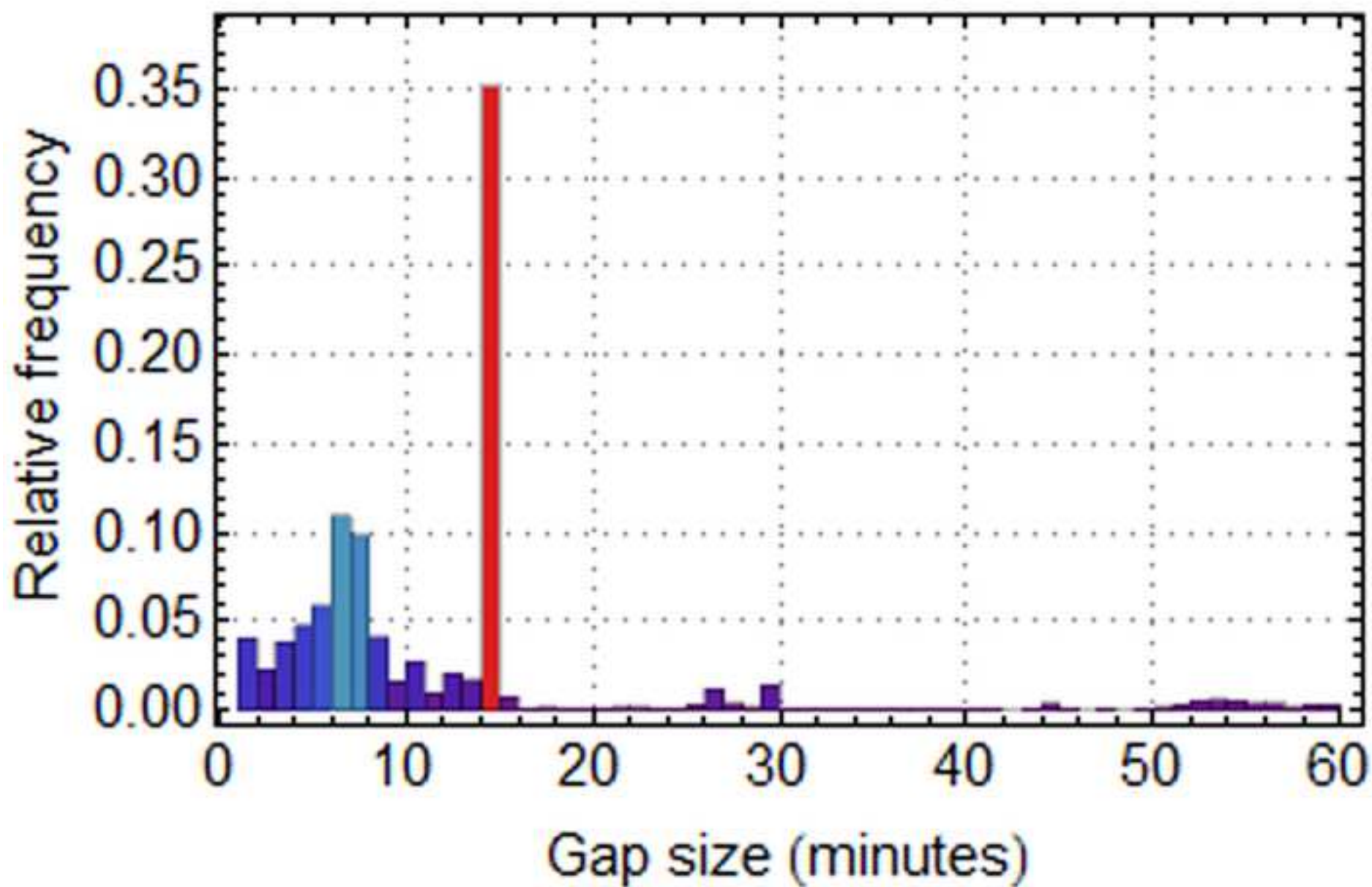


(a)



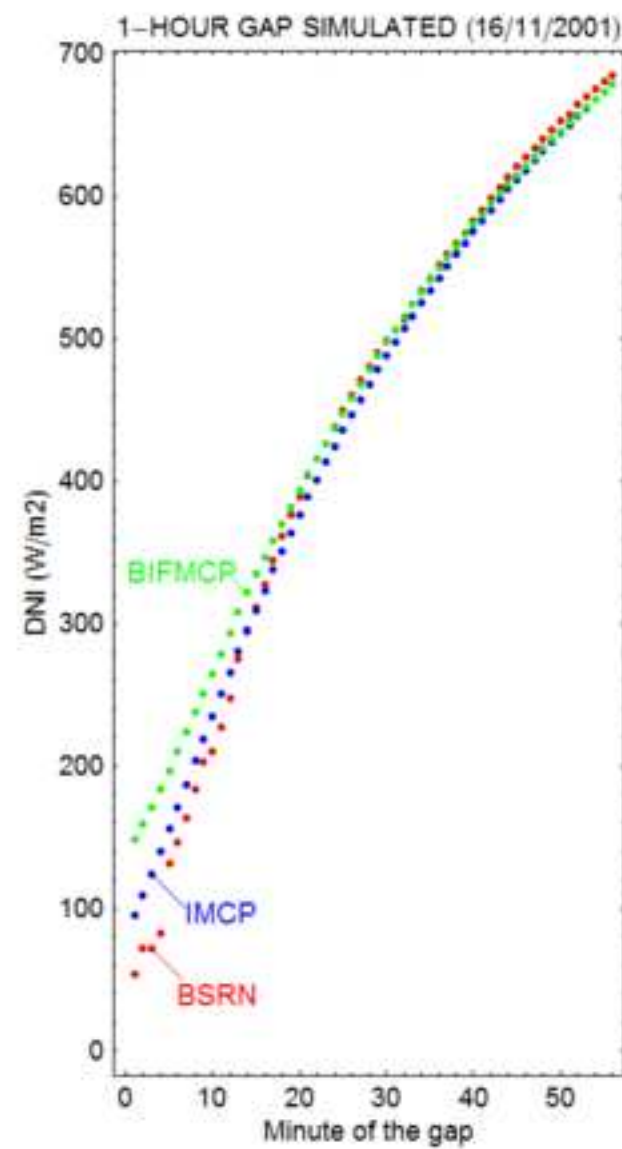
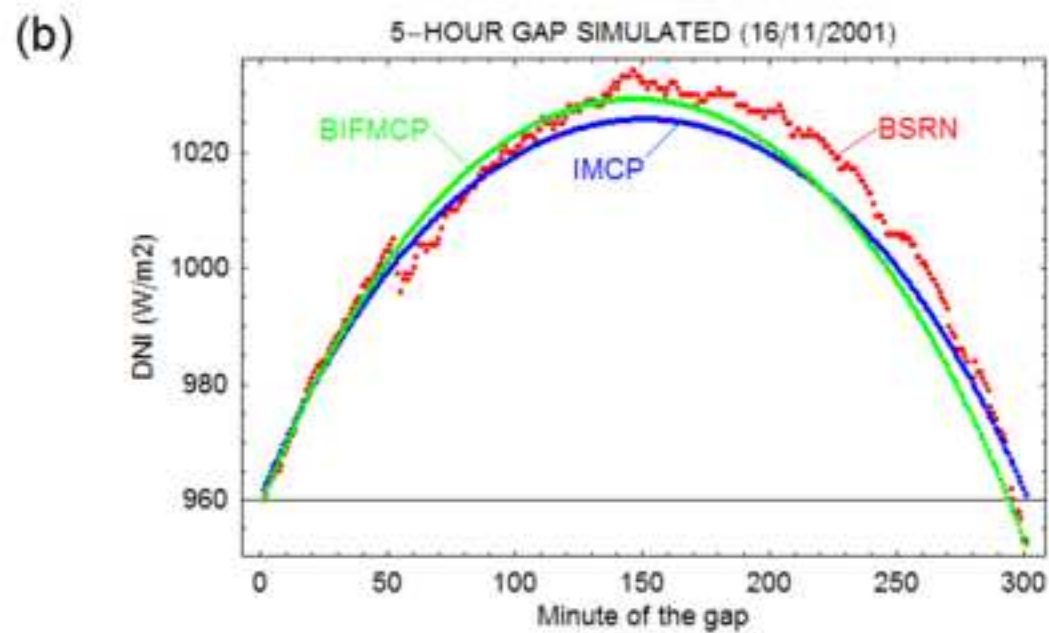
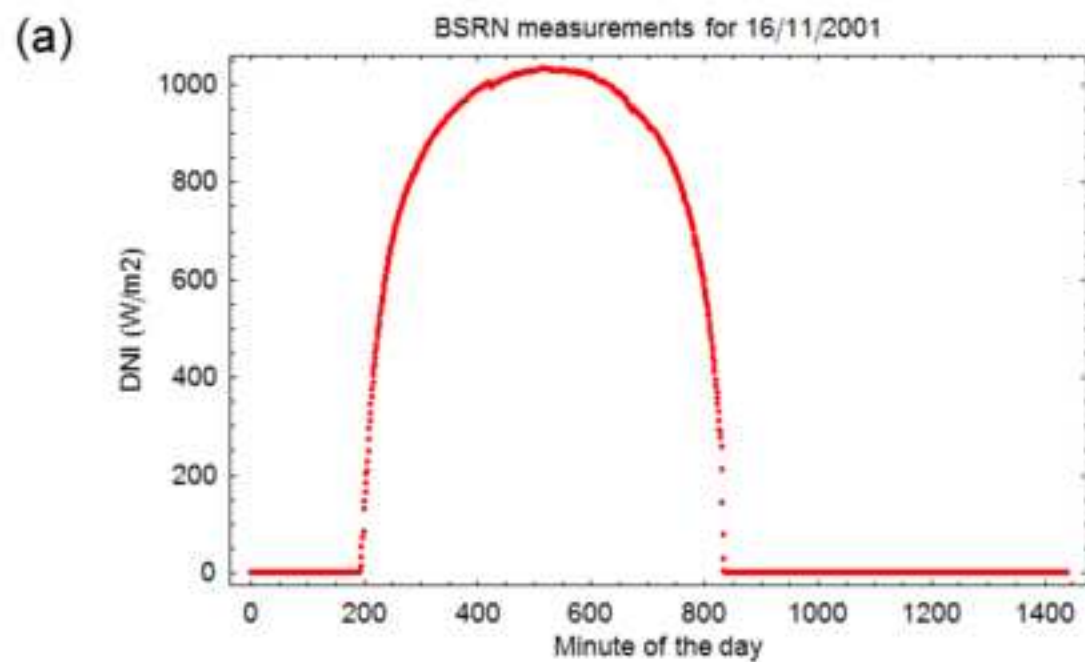
(b)

HISTOGRAM OF GAP SIZES (SOLAR VILLAGE)



Figure

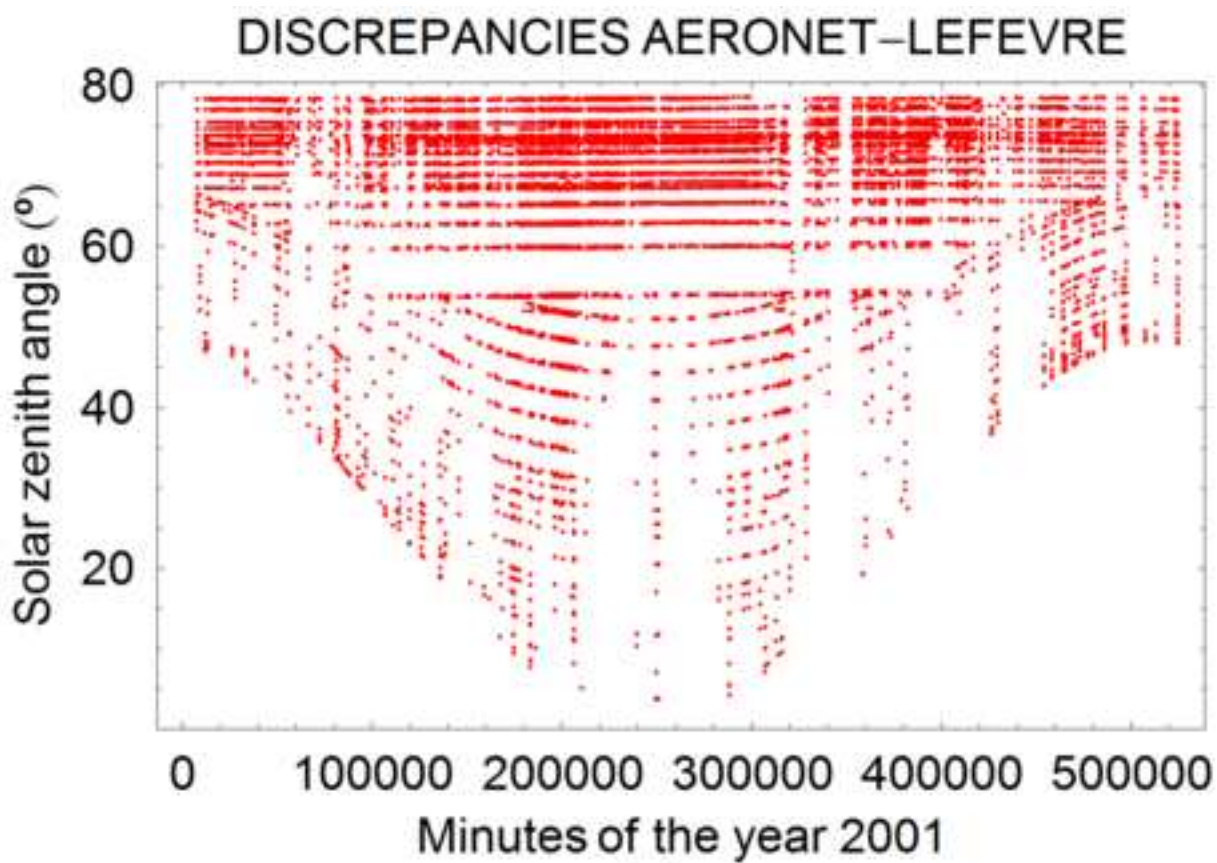
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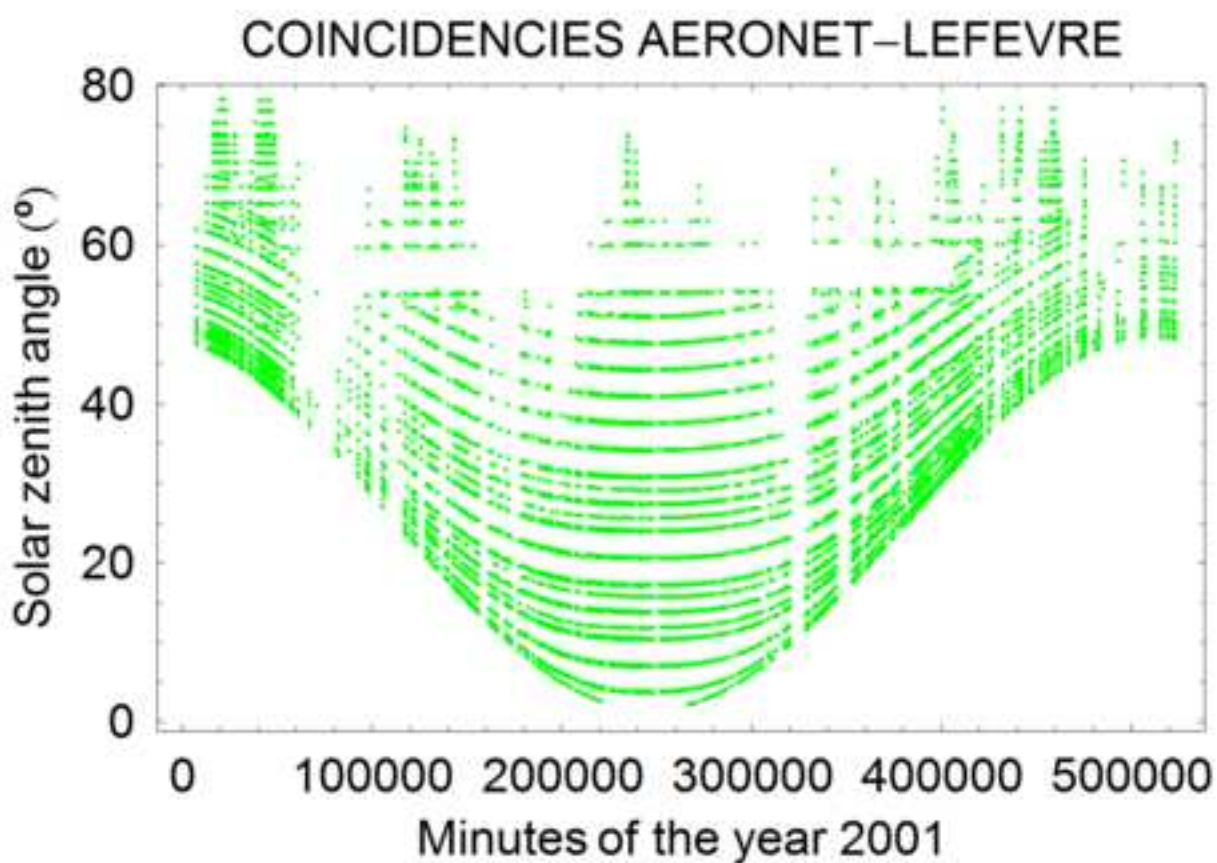
(c)

Figure

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(a)



(b)

Figure
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